

# Surfaces: Smooth and Discrete

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6.8410: Shape Analysis

Spring 2023



# What's Next?

Step up

**one dimension**

from curves to surfaces.

- Theoretical definition
- Discrete representations
- Higher dimensionality

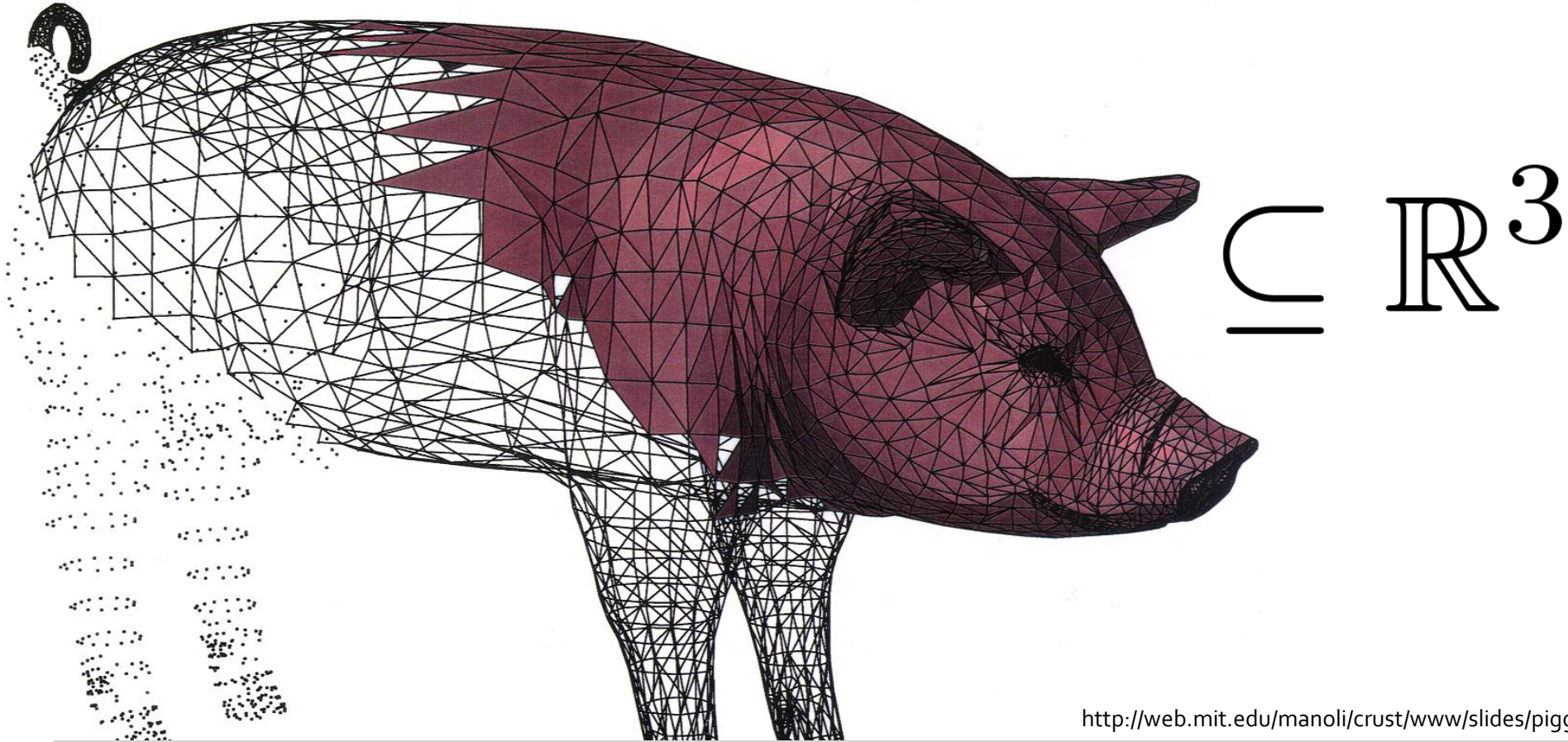
# Briefly Will Mention

Step up  
 **$n$  dimensions**  
from surfaces to (sub)manifolds.

**Easier transition.**

*Not entirely true:  
e.g. topology of 3-manifolds*

# Our Focus

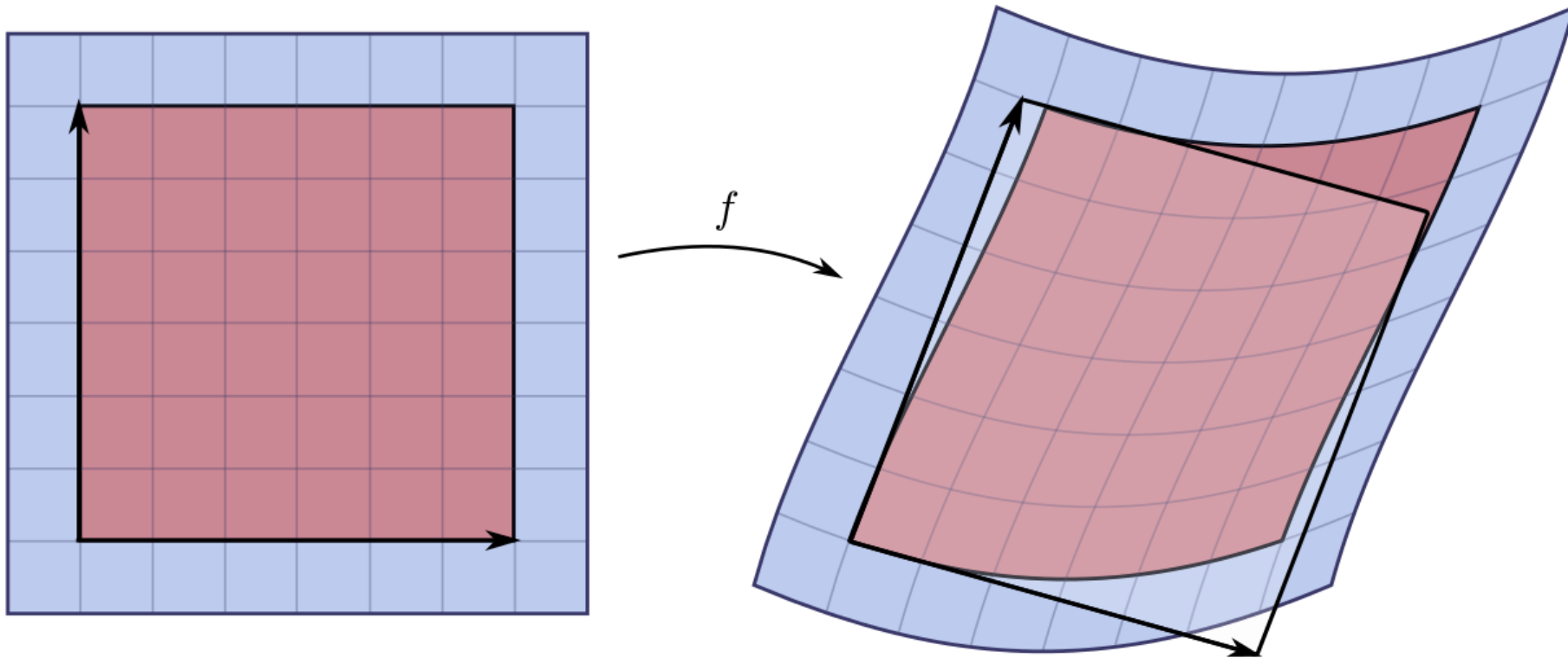


**Embedded geometry**



What is an  
**embedded surface?**

# Warm Up: Parametric Surface

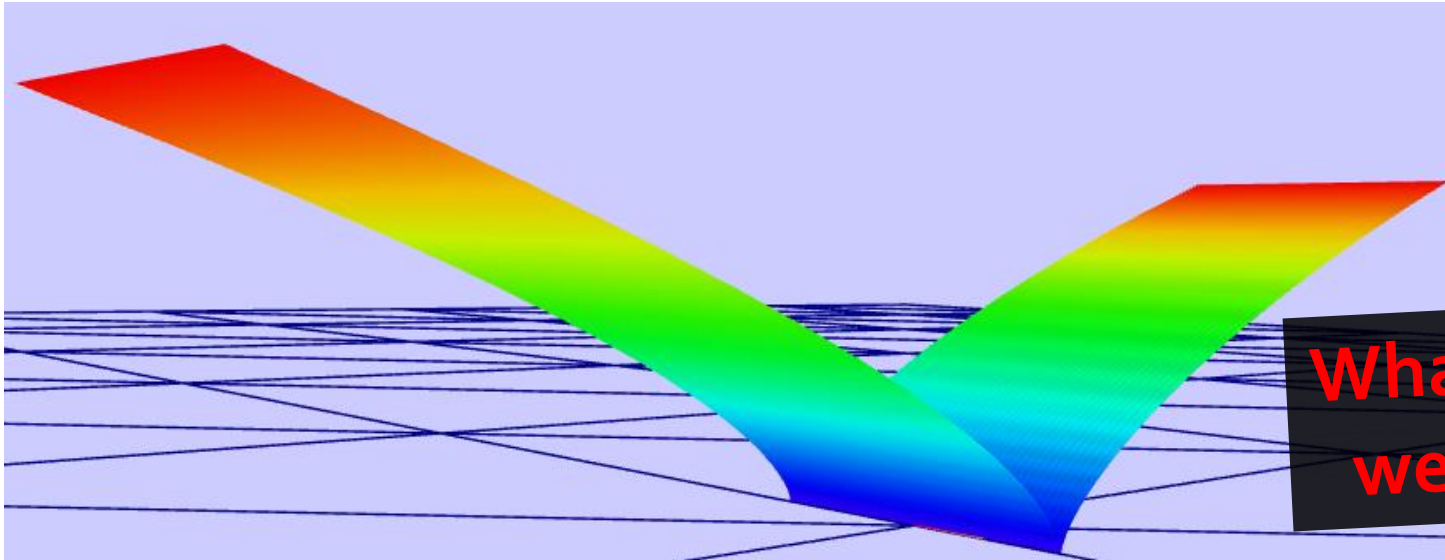


# Pathological Cases

$$f(u, v) = (u, u^2, \cos u)$$

$$f(u, v) = (0, 0, 0)$$

$$f(u, v) = (u, v^3, v^2)$$



What condition do we need to add?

# Review: Jacobian Matrix

$$f : \mathbb{R}^m \rightarrow \mathbb{R}^n$$

**Jacobian matrix:**

$$(Df)_j^i = \left( \frac{\partial f^i}{\partial x^j} \right)$$



# Regularity (Injectivity/One-to-One) Condition

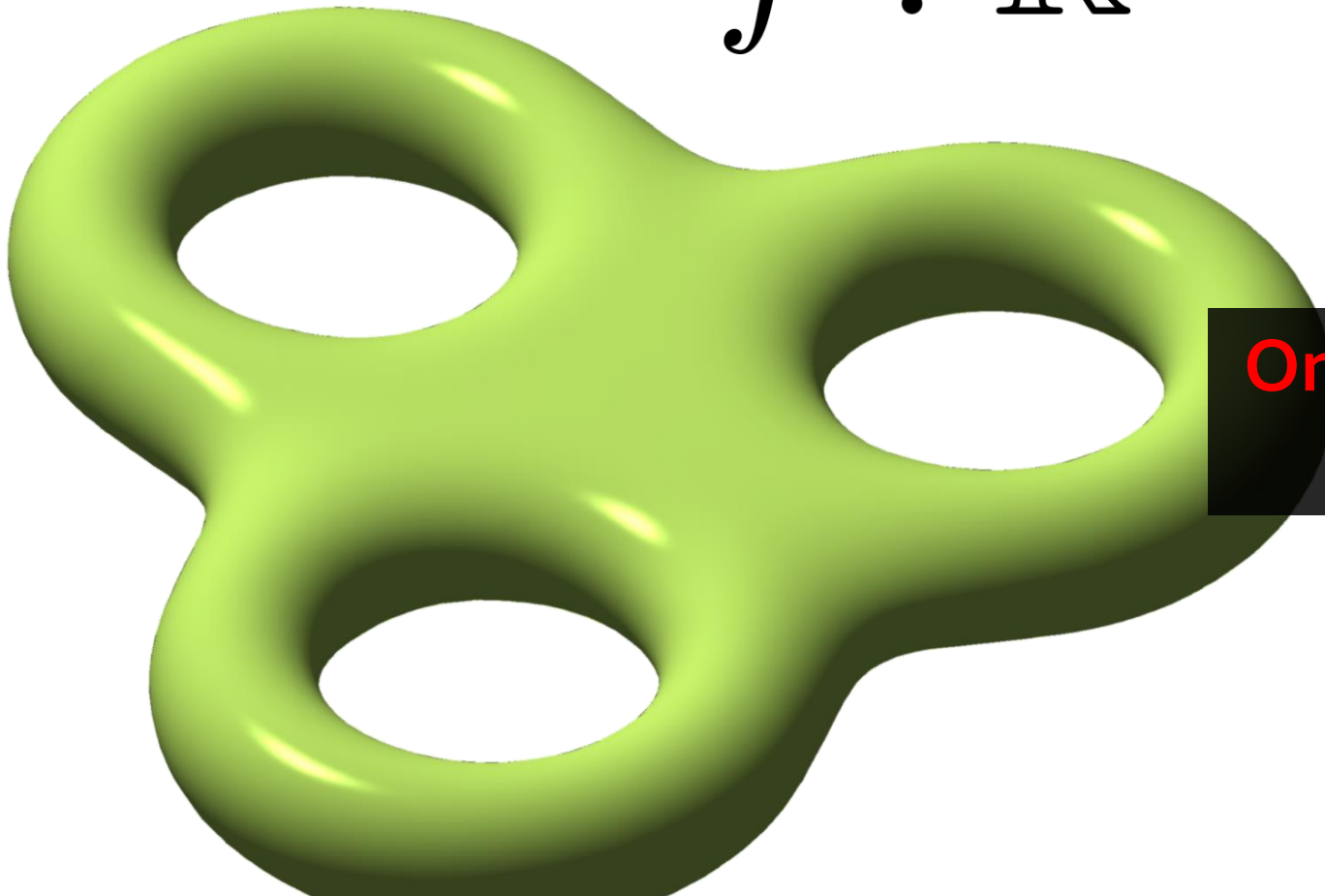
$$f : \mathbb{R}^m \rightarrow \mathbb{R}^n$$

**Matrix condition:**  
 $Df$  full rank



# Moving Away from Parametric Surfaces

$$f : \mathbb{R}^2 \rightarrow \mathbb{R}^3 \quad ?$$

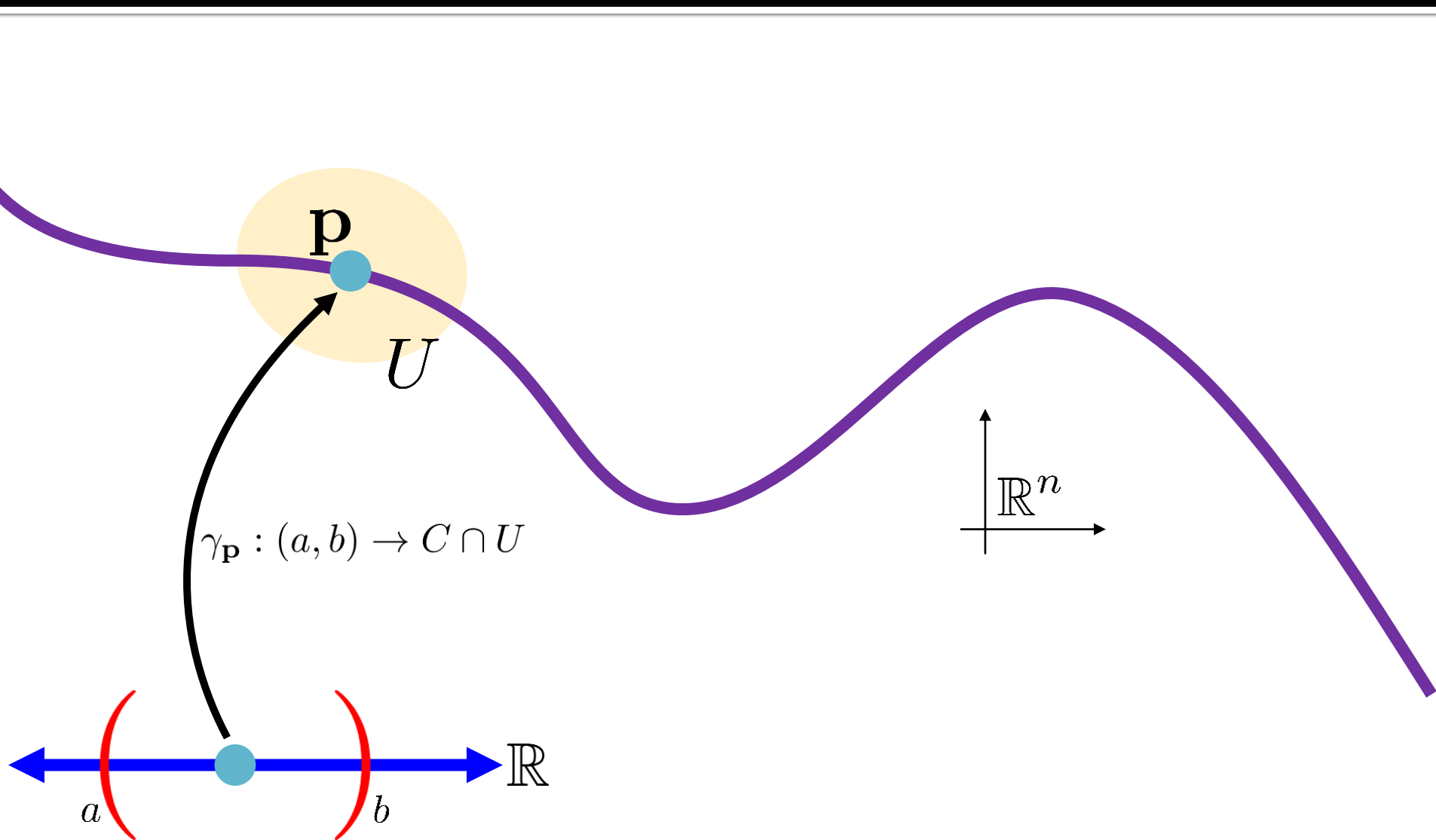


**One function isn't  
enough!**

*Major difference from curves!*

Recall:

# Differential Geometry Definition

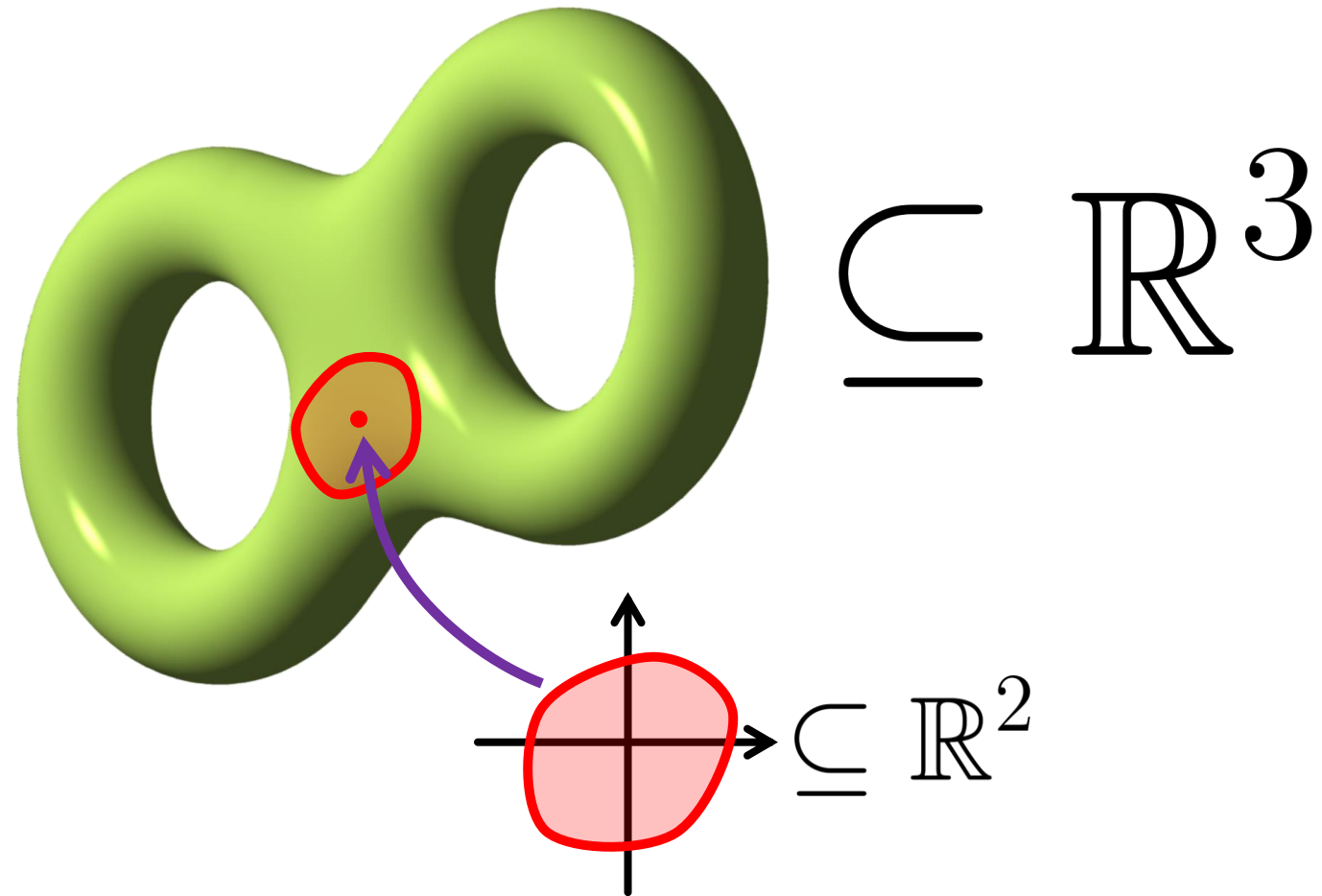


# Just Like Curves

A surface is a  
**set of points**  
with certain properties.

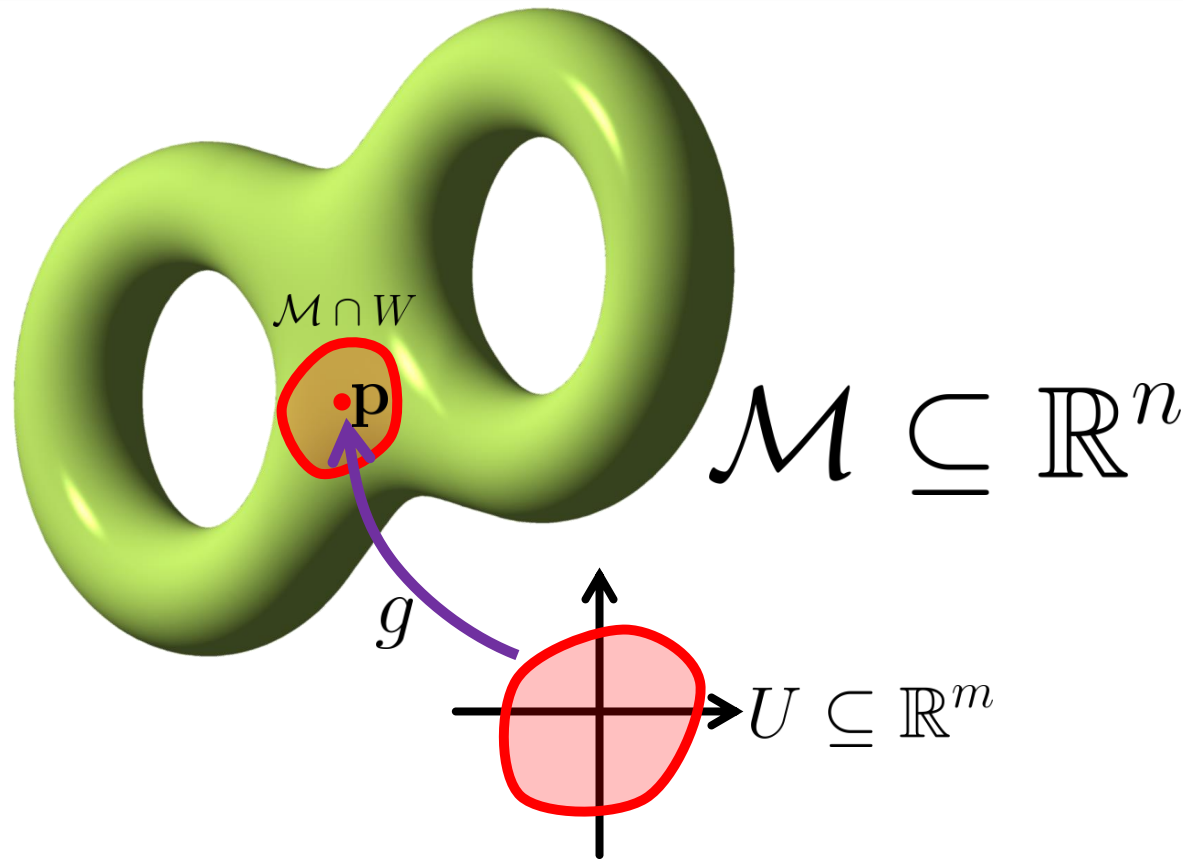
It is not a function.

# Theoretical Definition of Surface



# Theoretical Definition: (Sub)Manifold

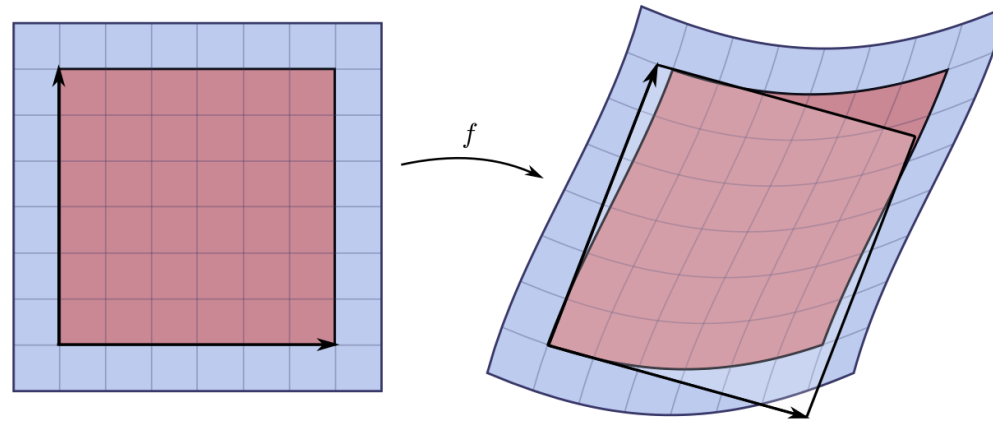
**Definition** (Submanifold of  $\mathbb{R}^n$ , with and without boundary). A set  $\mathcal{M} \subseteq \mathbb{R}^n$  is an  $m$ -dimensional submanifold of  $\mathbb{R}^n$  if for each  $\mathbf{p} \in \mathcal{M}$  there exist open sets  $U \subseteq \mathbb{R}^m, W \subseteq \mathbb{R}^n$  and a function  $g : U \cap \mathcal{H}_m \rightarrow \mathcal{M} \cap W$  such that  $\mathbf{p} \in W$  and  $g$  is a one-to-one and smooth map whose Jacobian is rank- $m$  and admitting a continuous inverse  $g^{-1} : W \cap \mathcal{M} \rightarrow U$ .



$$\mathcal{H}_m := \{\mathbf{x} \in \mathbb{R}^m : x^m \geq 0\}$$

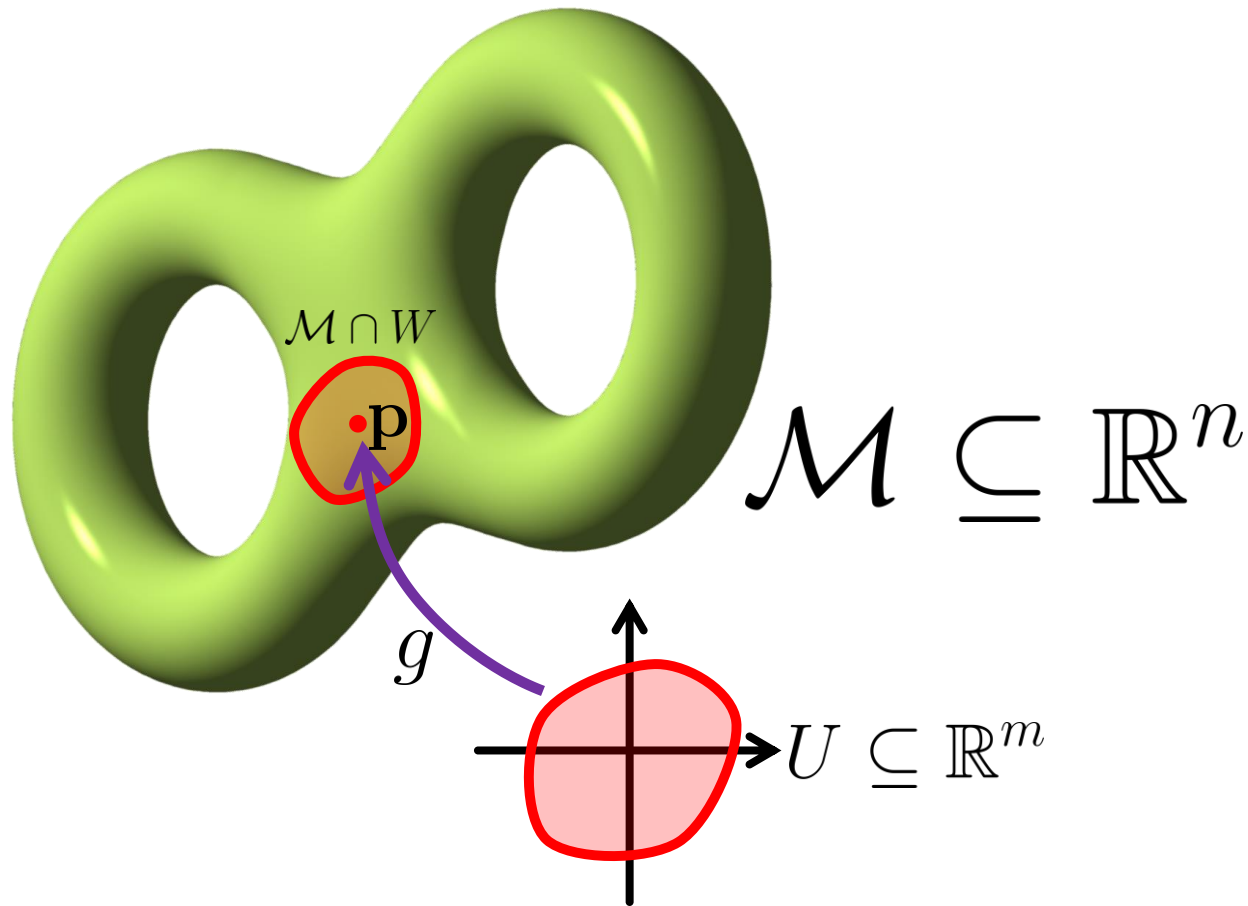
# Differential Geometer's Mantra

A surface is  
**locally planar.**



# Tangent Space

$$T_{\mathbf{p}}\mathcal{M} = \gamma'(0), \text{ where } \gamma(0) = \mathbf{p}$$





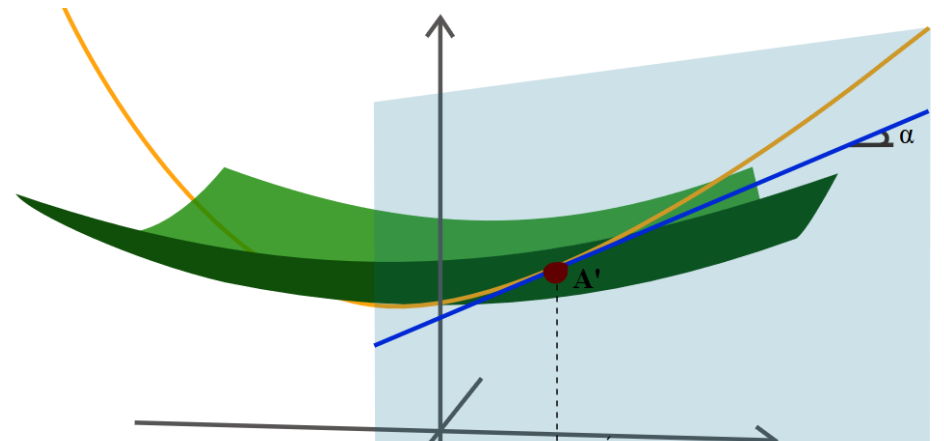
# Recall: Differential

$$df_{\mathbf{x}_0}(\mathbf{v}) := \lim_{h \rightarrow 0} \frac{f(\mathbf{x}_0 + h\mathbf{v}) - f(\mathbf{x}_0)}{h}$$

**Proposition.**  $df_{x_0}$  is a linear operator.

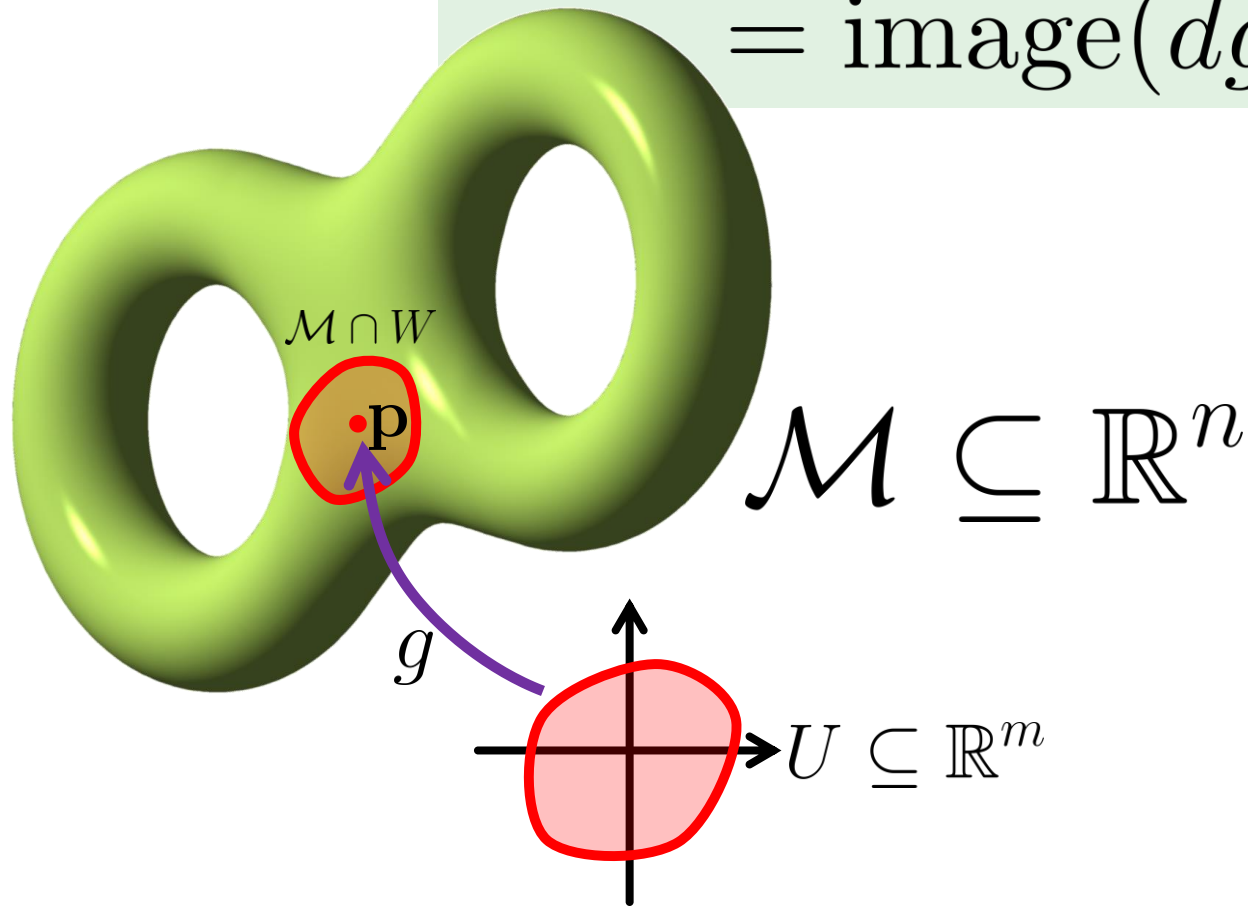
$$df_{\mathbf{x}_0}(\mathbf{v}) = Df(\mathbf{x}_0) \cdot \mathbf{v}$$

Note: Technically we derived the 1D version. Nothing changes!



# Tangent Space

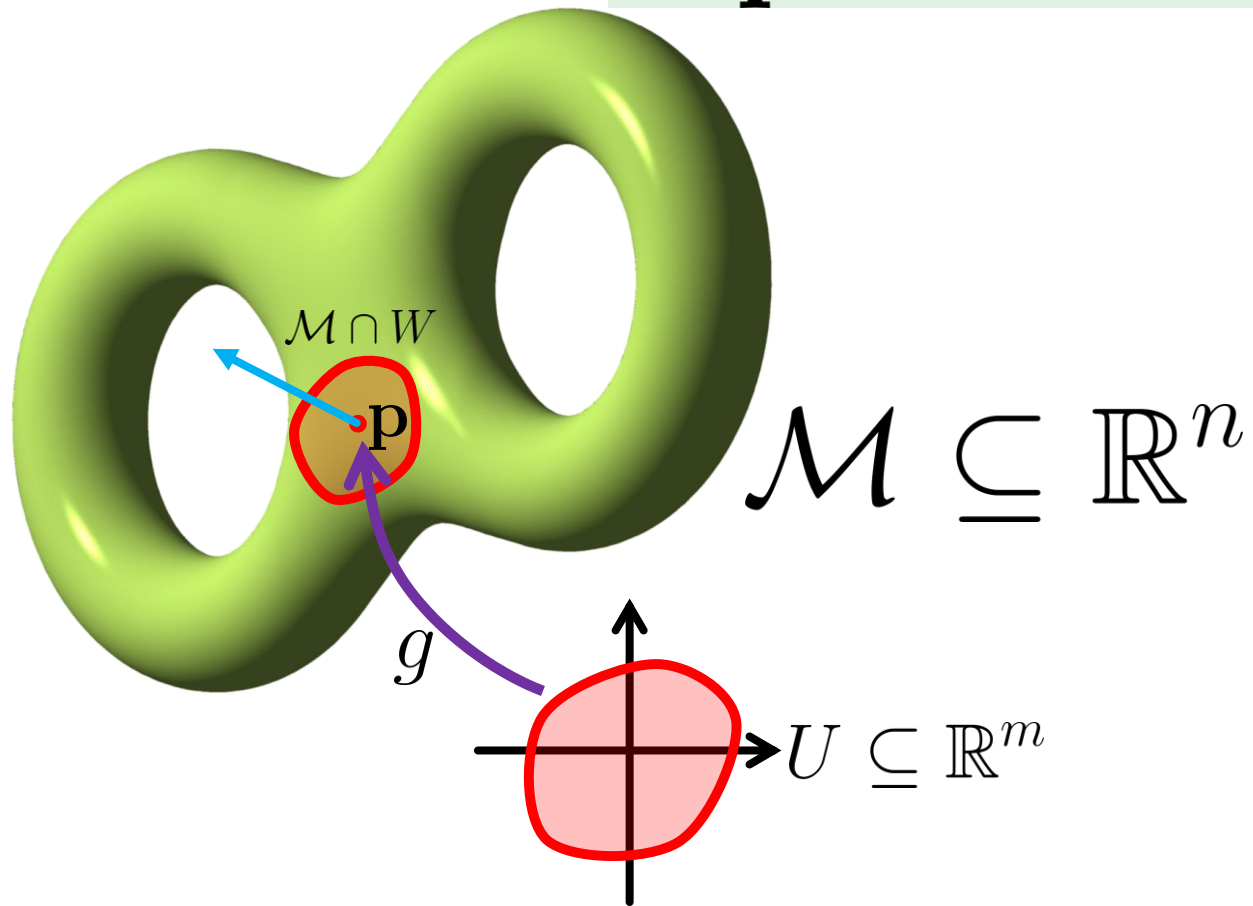
$$T_{\mathbf{p}}\mathcal{M} = \gamma'(0), \text{ where } \gamma(0) = \mathbf{p}$$
$$= \text{image}(dg_{g^{-1}(\mathbf{p})})$$



Skipping:  
Independence of choice of  $g$ .

# Normal Space

$$N_{\mathbf{p}}\mathcal{M} := (T_{\mathbf{p}}\mathcal{M})^{\perp}$$



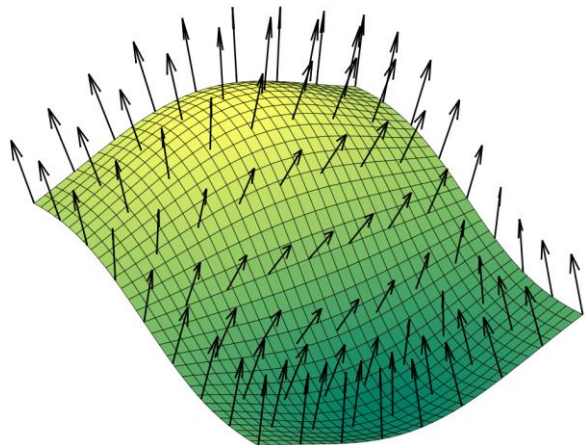
# Orientable Submanifold

Admits a continuous map

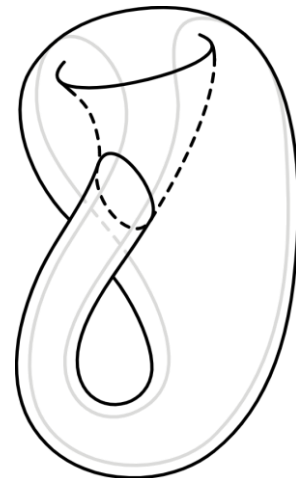
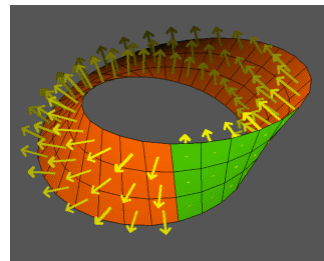
$$\mathbf{n}(\mathbf{p}) : \mathcal{M} \setminus \partial\mathcal{M} \rightarrow \mathcal{S}^{n-1}$$

with

$$\mathbf{n}(\mathbf{p}) \in N_{\mathbf{p}}\mathcal{M}$$



Orientable



Not Orientable

*Toroidal Closures of Scherk Towers*

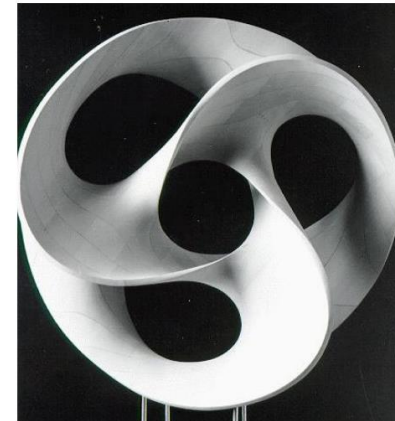
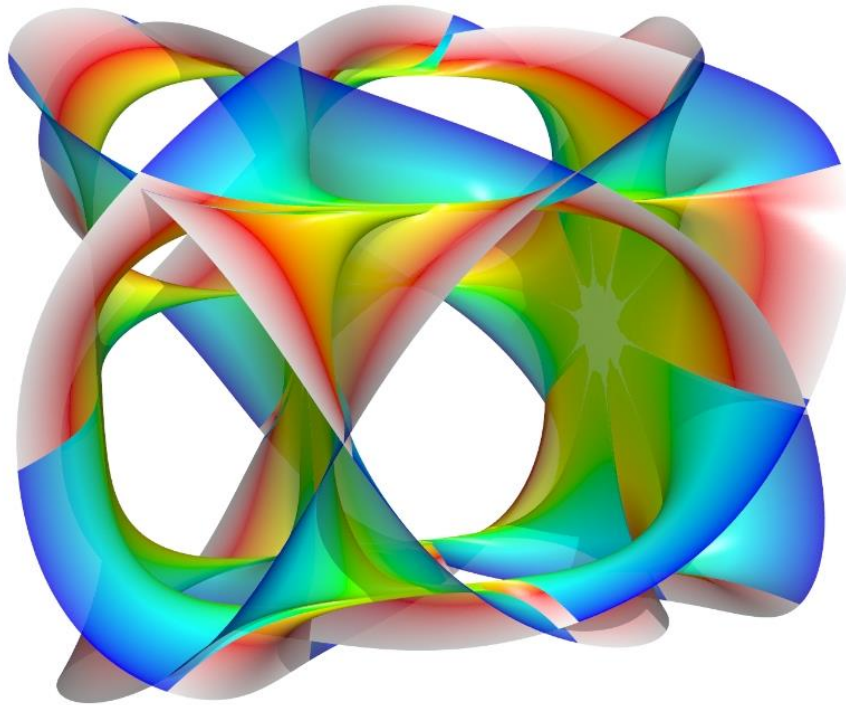


Figure 3. Non-orientable surface with second order saddles.  
(all photos, Phillip Geller)

*Brent Collins (Gower, Missouri) is a sculptor who has presented his work at AM93, AM95, and AM97. His recent collaboration with Carlo Séquin has resulted in new forms that represent the leading edge of sculpture influenced by mathematics.*

# More General Definition: Manifold

**Definition 4.2** (Manifold). *An  $m$ -dimensional (topological) manifold  $\mathcal{M}$  is a Hausdorff space for which each  $\mathbf{p} \in \mathcal{M}$  admits open sets  $U \subseteq \mathbb{R}^m, W \subseteq \mathcal{M}$  and a homeomorphism (continuous map with continuous inverse)  $g : U \rightarrow W$ .*



*To think about:*

**No notion of normal!**

**Tangent vectors exist but have no length!**

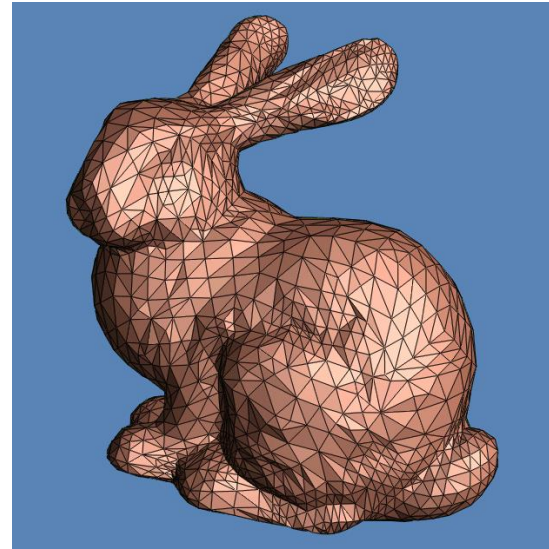
**How do you detect orientability?**

<http://www.math.sjsu.edu/~simic/Pics/Calabi-Yau.jpg>

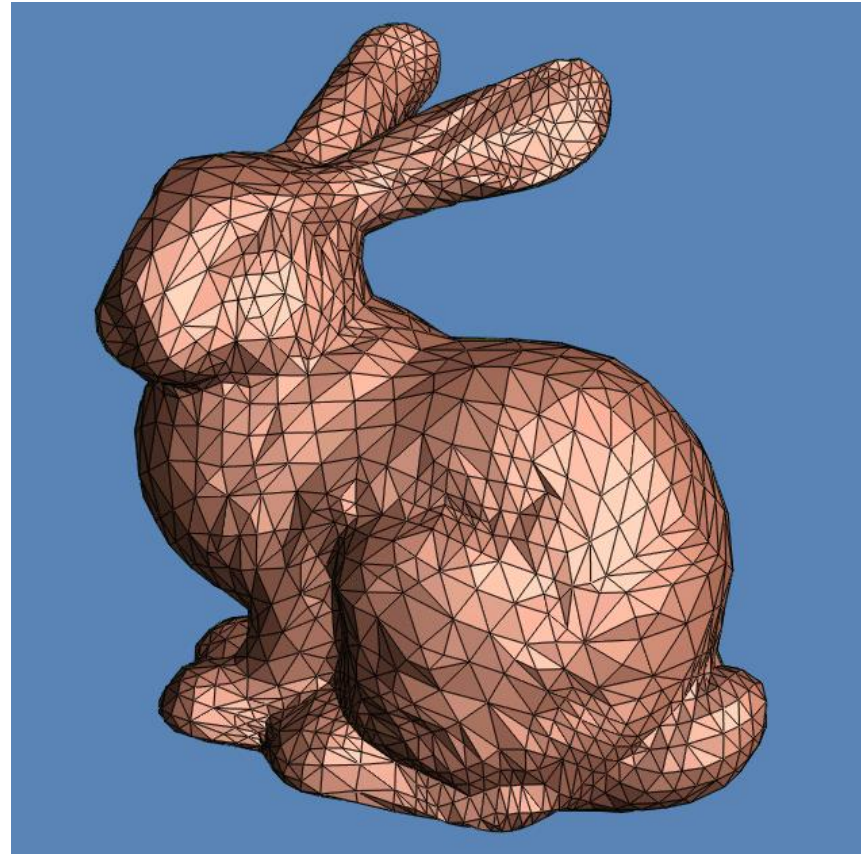
**No Euclidean embedding**

# Discrete Problem

What is a discrete surface?  
How do you store it?



# Common Representation



<http://graphics.stanford.edu/data/3Dscanrep/stanford-bunny-cebal-ssh.jpg>  
<http://www.stat.washington.edu/wxs/images/BUNMID.gif>

**Triangle mesh**

# Triangle Mesh

$$V = (v_1, v_2, \dots, v_n) \subset \mathbb{R}^3$$

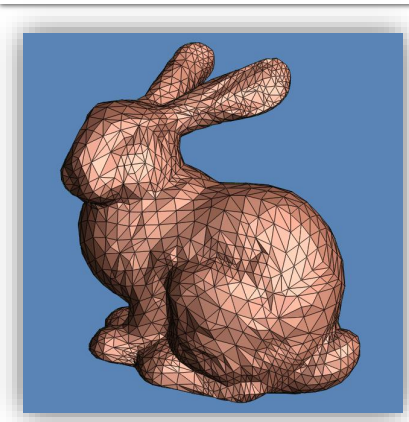
$$E = (e_1, e_2, \dots, e_k) \subseteq V \times V$$

$$F = (f_1, f_2, \dots, f_m) \subseteq V \times V \times V$$

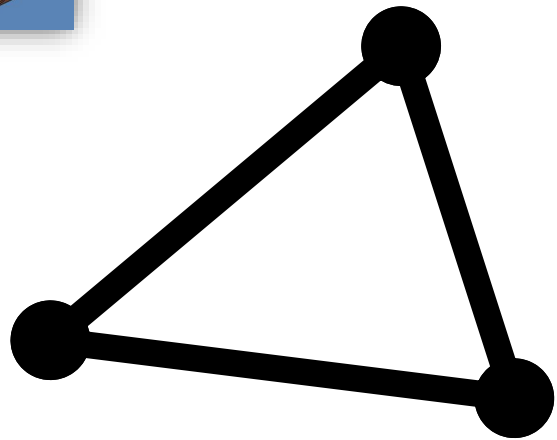
**Plus manifold  
topological conditions**



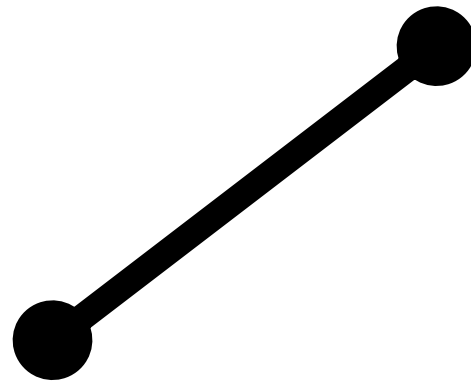
# Dimensionality Structure



**Simplicial  
complex**



**Face**  
Dimension 2

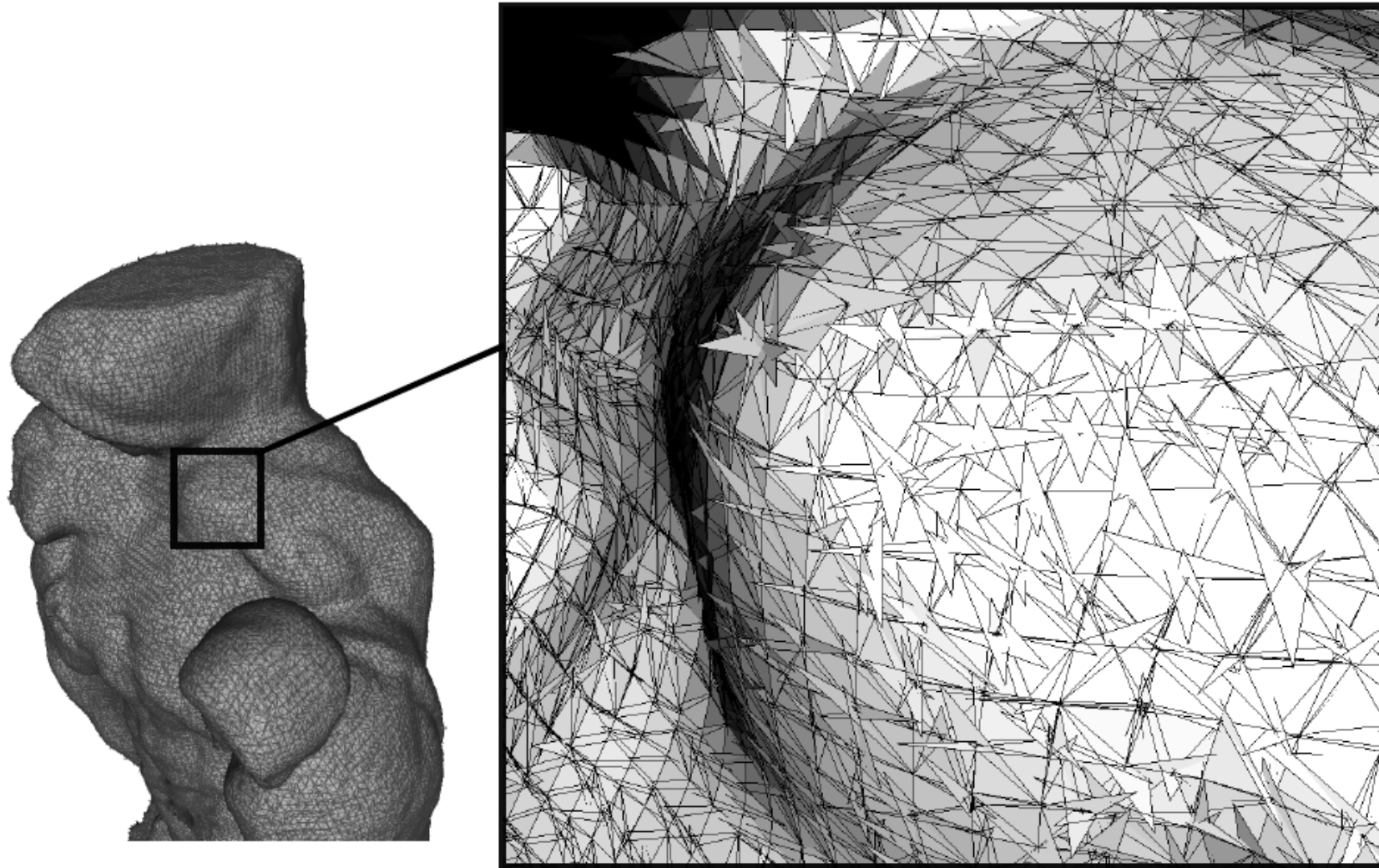


**Edge**  
Dimension 1



**Vertex**  
Dimension 0

# Is This a Discrete Surface?

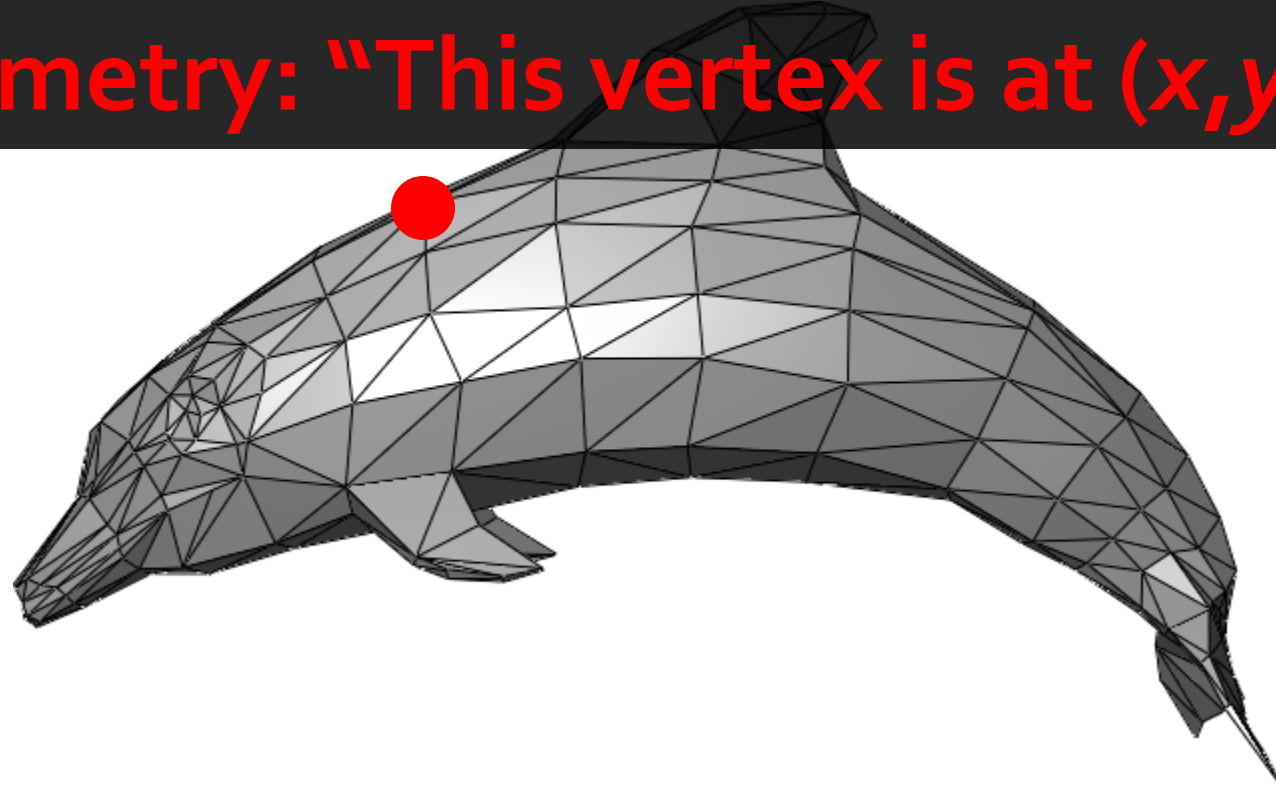


**Topology** [*tuh-pol-uh-jee*]:  
The study of geometric  
properties that remain  
invariant under certain  
transformations



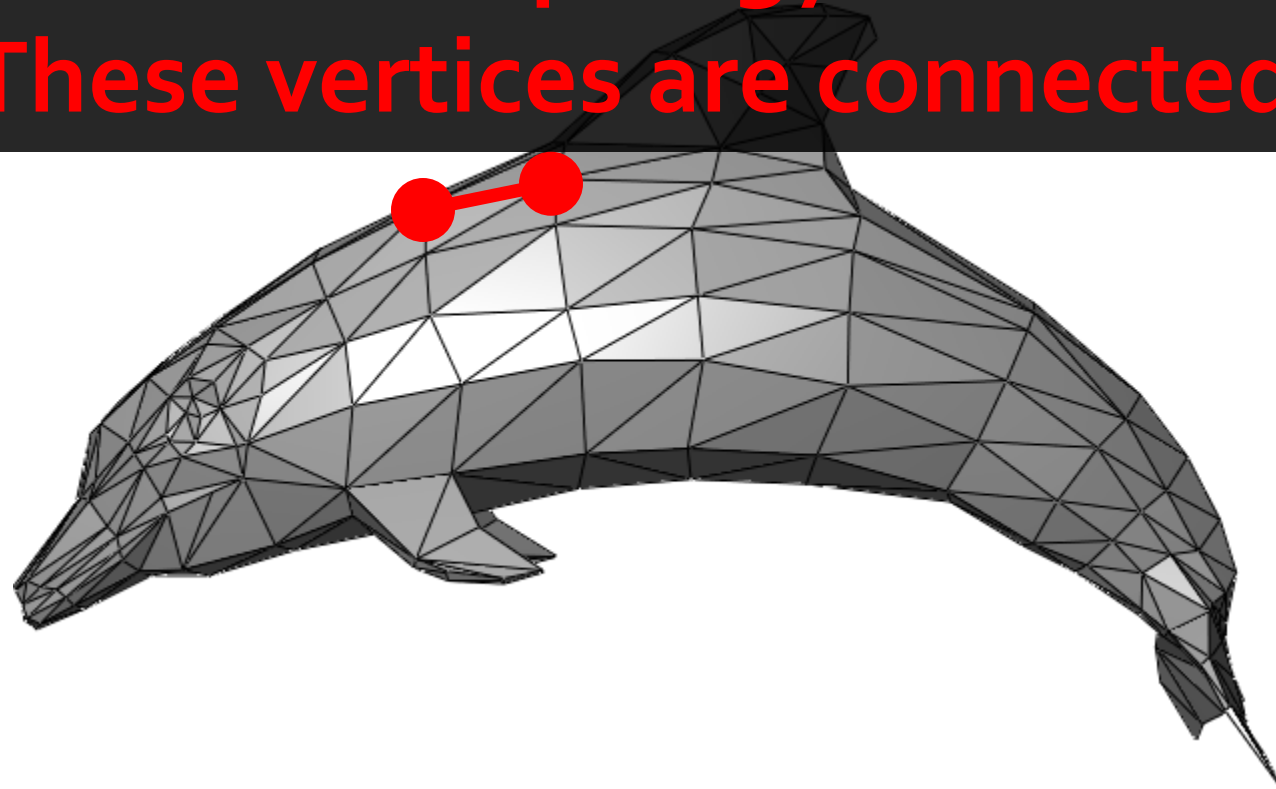
# Mesh Topology vs. Geometry

Geometry: "This vertex is at  $(x,y,z)$ ."



# Mesh Topology vs. Geometry

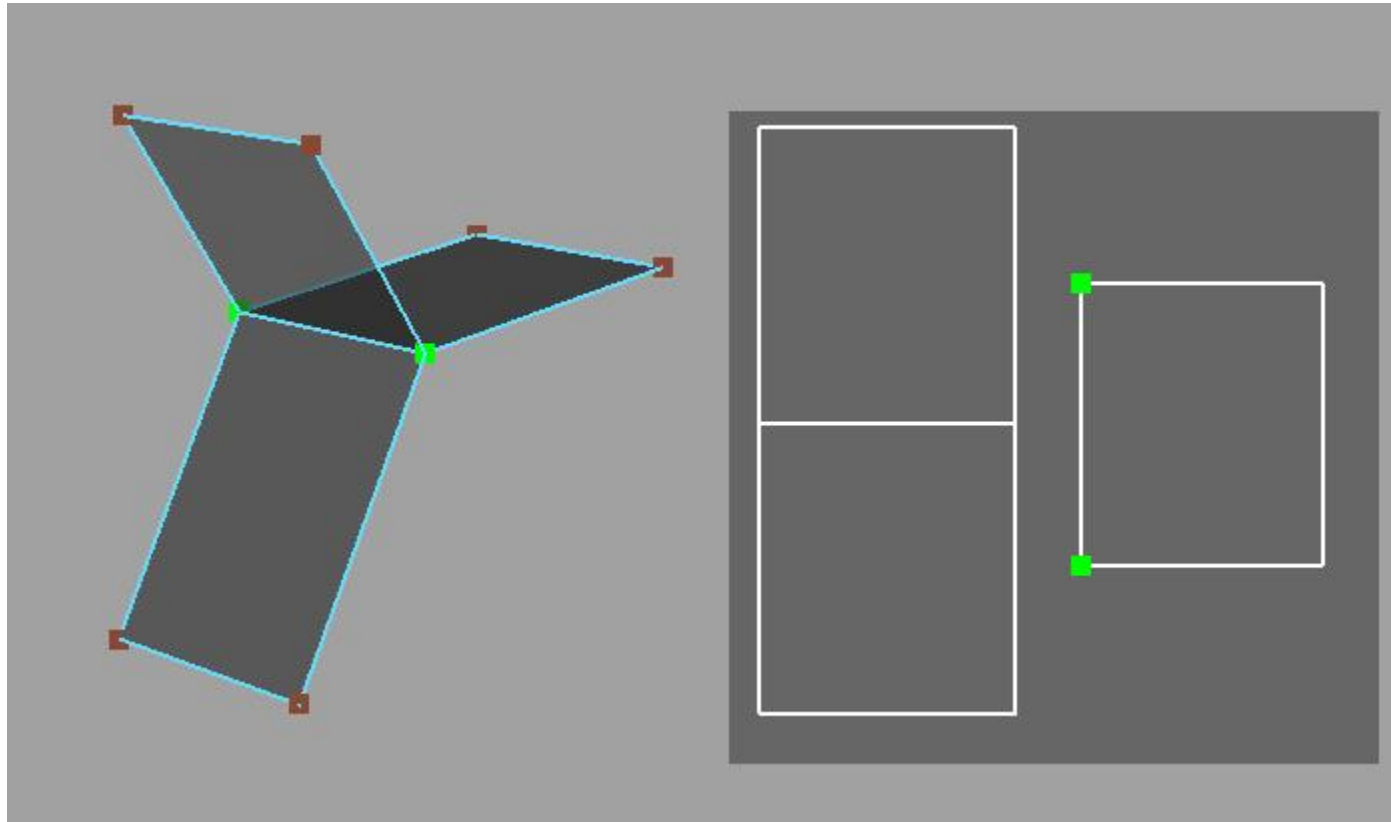
**Topology:**  
“These vertices are connected.”



*To read: More general story*

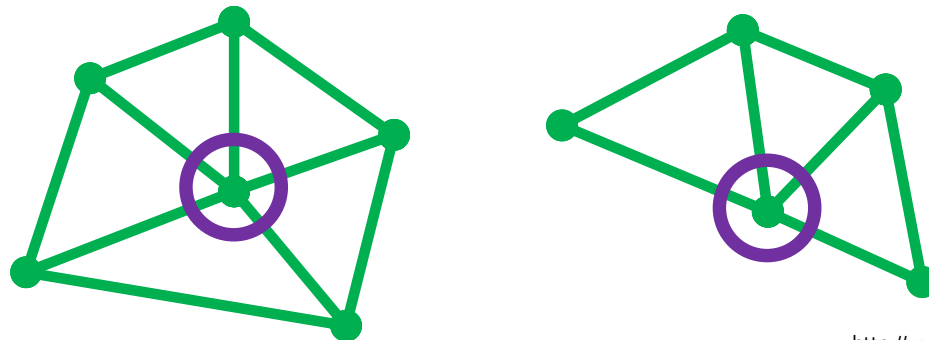
**“Orientable combinatorial manifold”**

# Nonmanifold Edge



# Manifold Triangle Mesh

1. Each **edge** is incident to one or two faces
2. **Faces** incident to a vertex form a closed or open fan





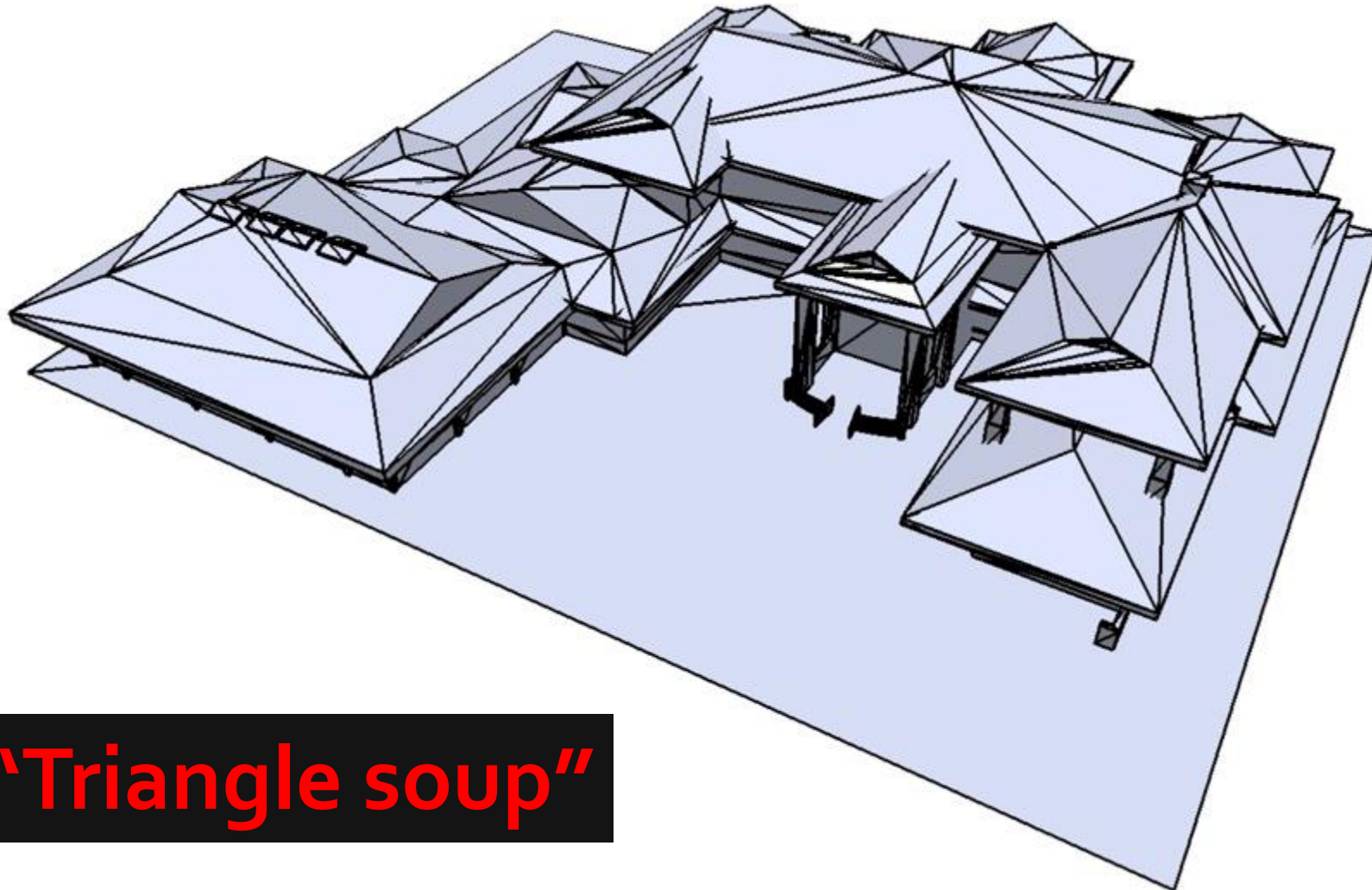
# Manifold Triangle Mesh

1. Each **edge** is incident to one or two faces
2. **Faces** incident to a vertex form a closed or open fan

Assume meshes are manifold  
(for now)



# Easy-to-Violate Assumption



**“Triangle soup”**

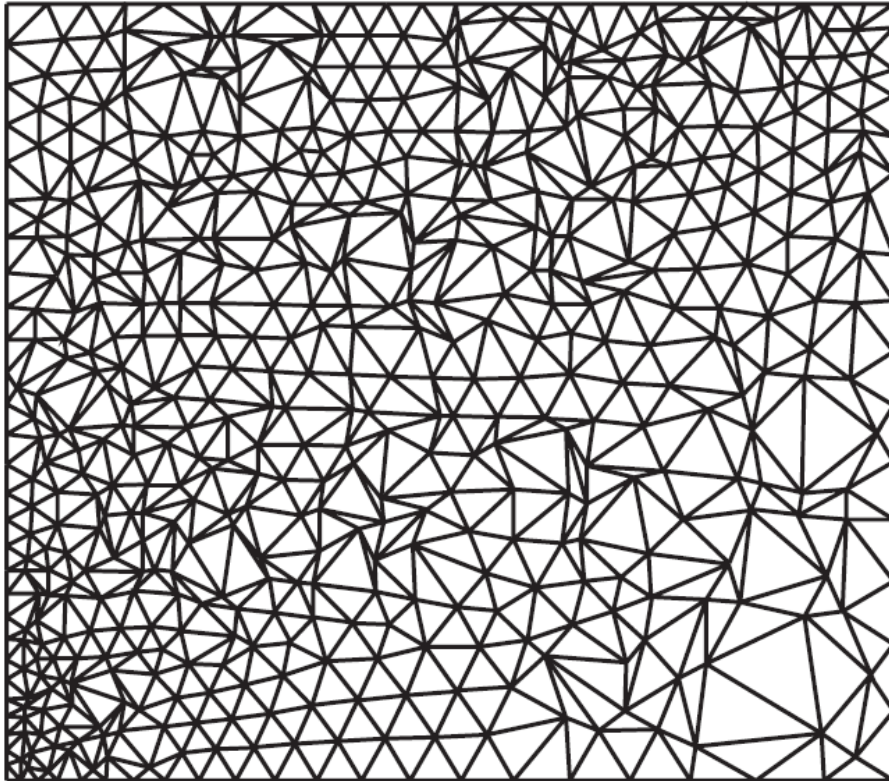
# Basic Observation

Piecewise linear faces are  
reasonable **building blocks**.

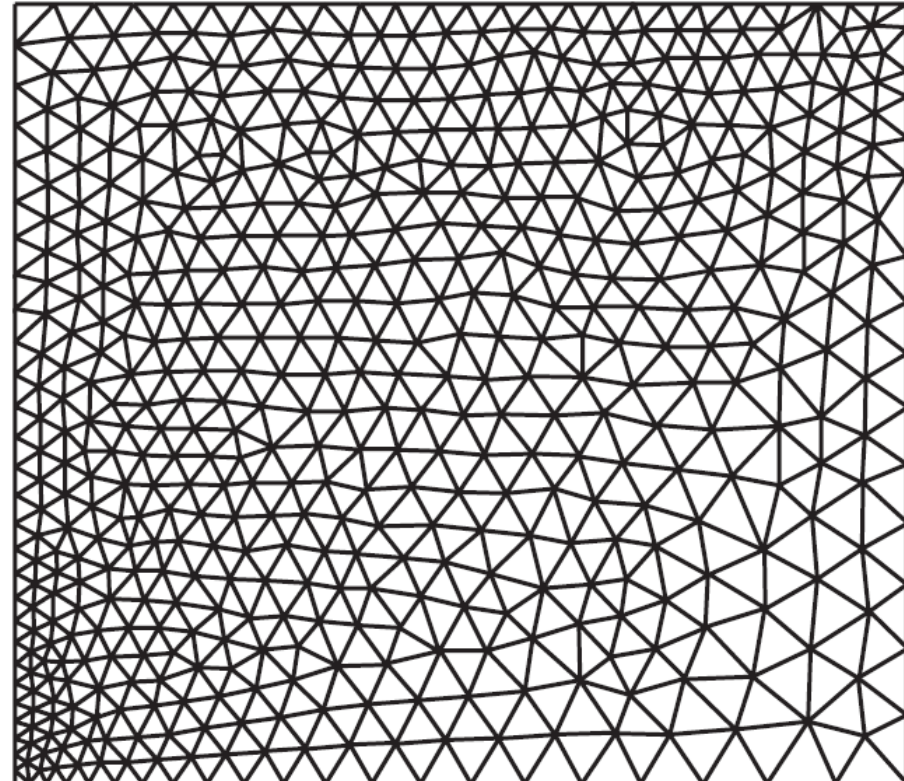
# Additional Advantages

- **Simple to render**
- **Arbitrary topology possible**
- **Basis for subdivision, refinement**

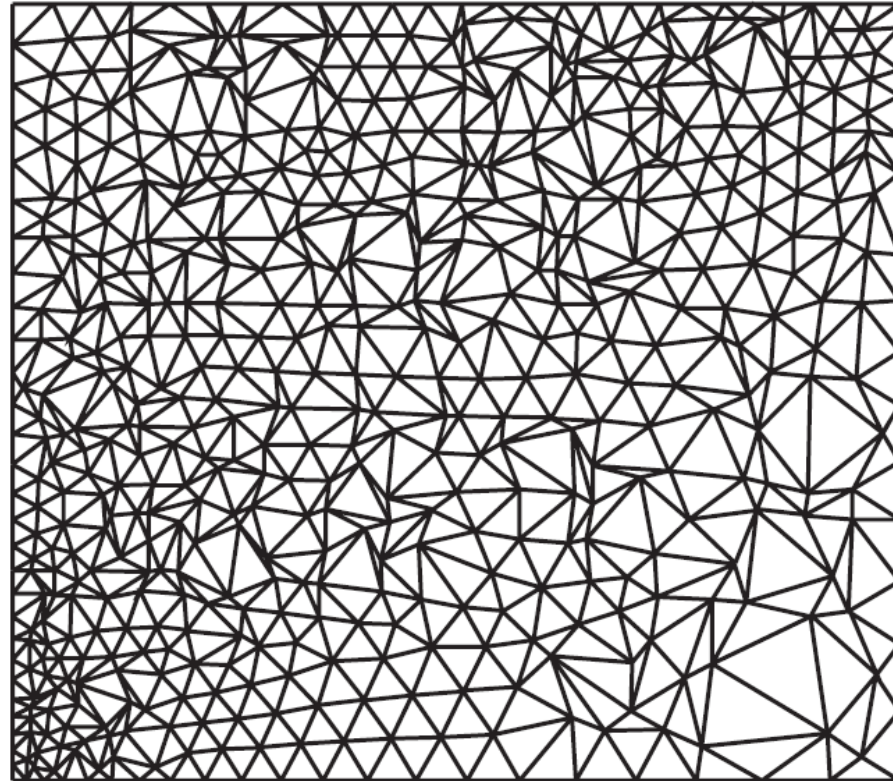
# Invalid Meshes vs. Bad Meshes



**Nonuniform  
areas and angles**

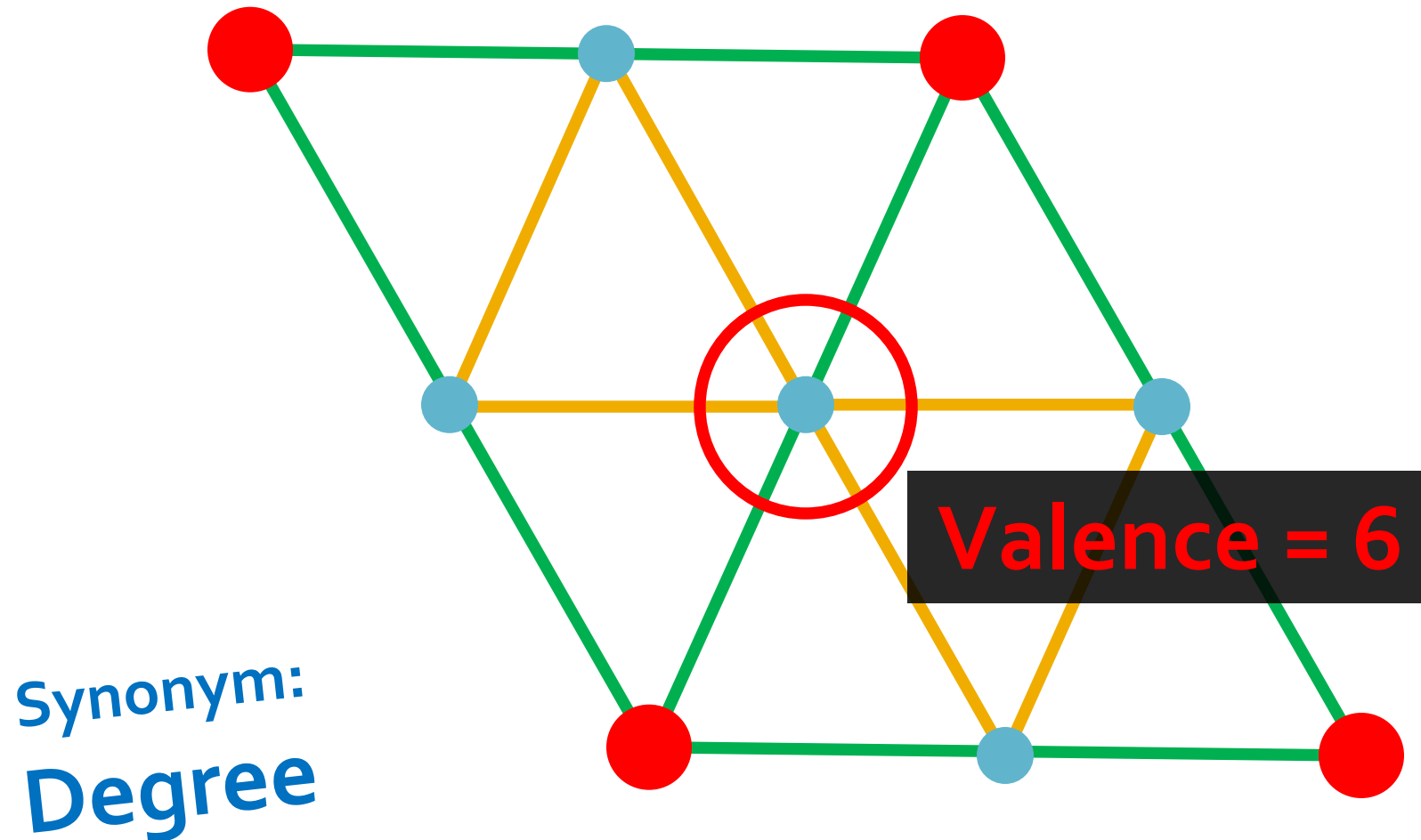


# Why is Meshing an Issue?



How to you interpret  
**one value per vertex?**

# Returning to Topology: Valence



# Euler Characteristic for Meshes

$$V - E + F := \chi$$

$$\chi = 2 - 2g$$



$$g = 0$$



$$g = 1$$



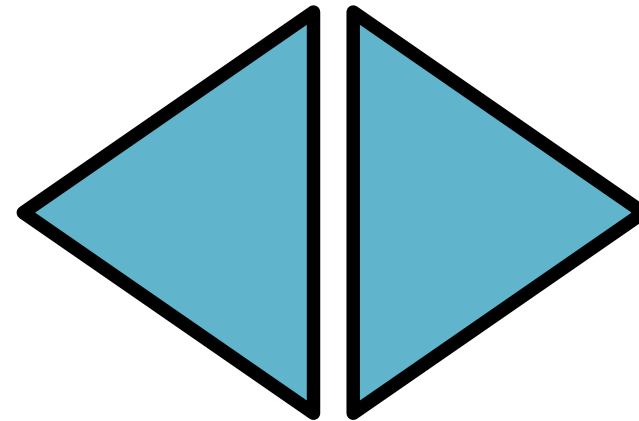
$$g = 2$$



# Consequences for Triangle Meshes

$$V - E + F := \chi$$

“Each edge is adjacent to two faces. Each face has three edges.”



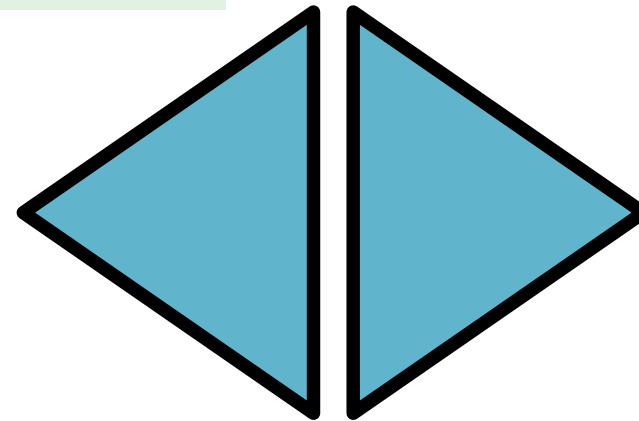
$$2E = 3F$$

**Closed mesh: Easy estimates!**

# Consequences for Triangle Meshes

$$V - \frac{1}{2}F := \chi$$

“Each edge is adjacent to two faces. Each face has three edges.”



$$2E = 3F$$

**Closed mesh: Easy estimates!**

# Consequences for Triangle Meshes

**Big  
number**

$$V - \frac{1}{2}F := \chi$$

**Small  
number**


$$F \approx 2V$$

**Closed mesh: Easy estimates!**

# Consequences for Triangle Meshes

$$E \approx 3V$$

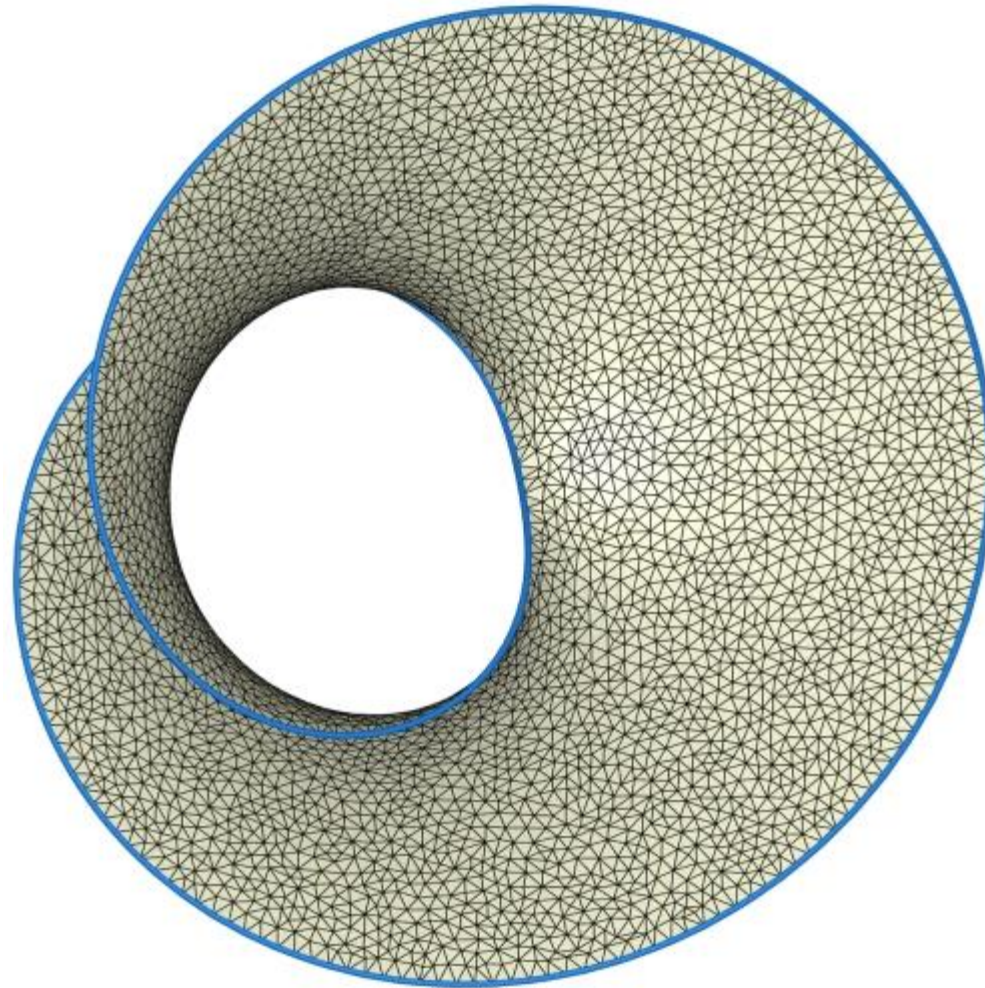
$$F \approx 2V$$

average valence  $\approx 6$

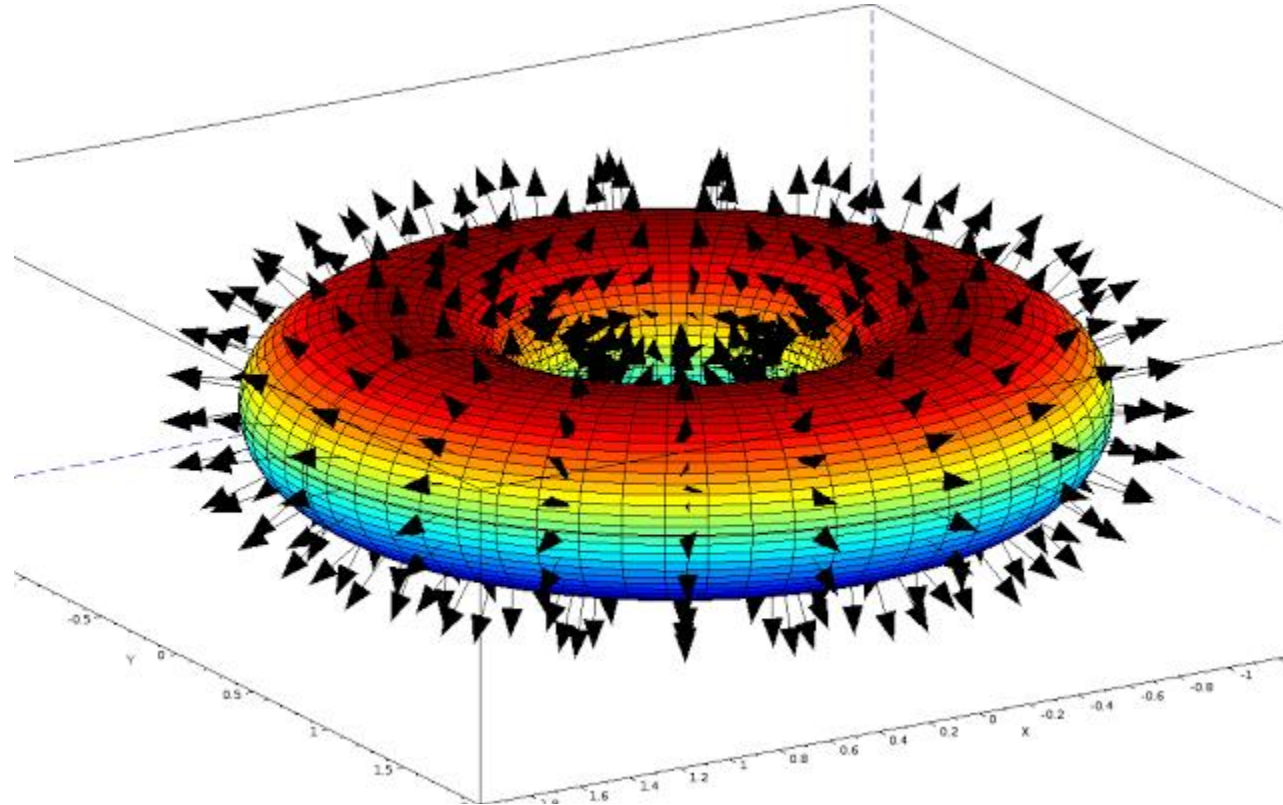
*Why?!*

**General estimates**

# Orientability



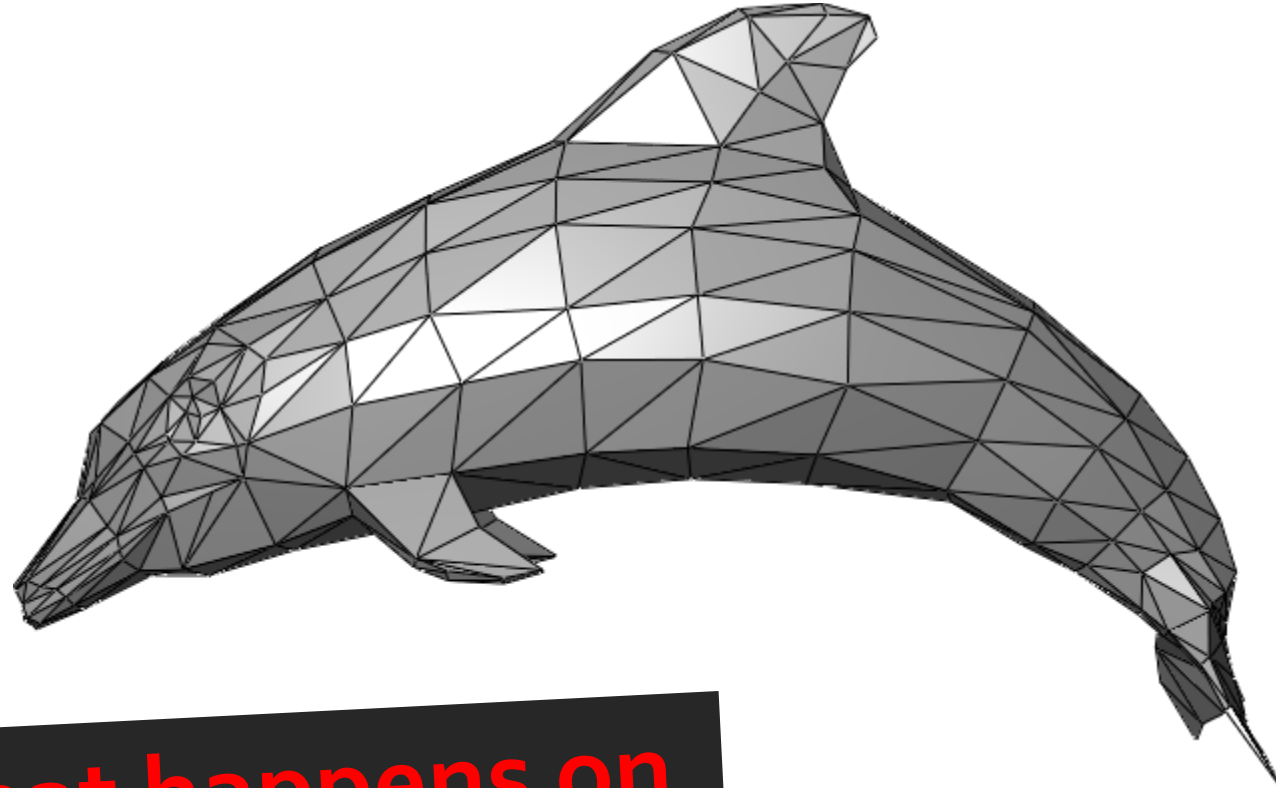
# Smooth Surface Definition



[https://lh3.googleusercontent.com/-njXPH7NSX5c/VV4PXu54ngI/AAAAAAAAAJM/m6TGg3ZVKGE/w640-h400-p-k/normal\\_tore.png](https://lh3.googleusercontent.com/-njXPH7NSX5c/VV4PXu54ngI/AAAAAAAAAJM/m6TGg3ZVKGE/w640-h400-p-k/normal_tore.png)

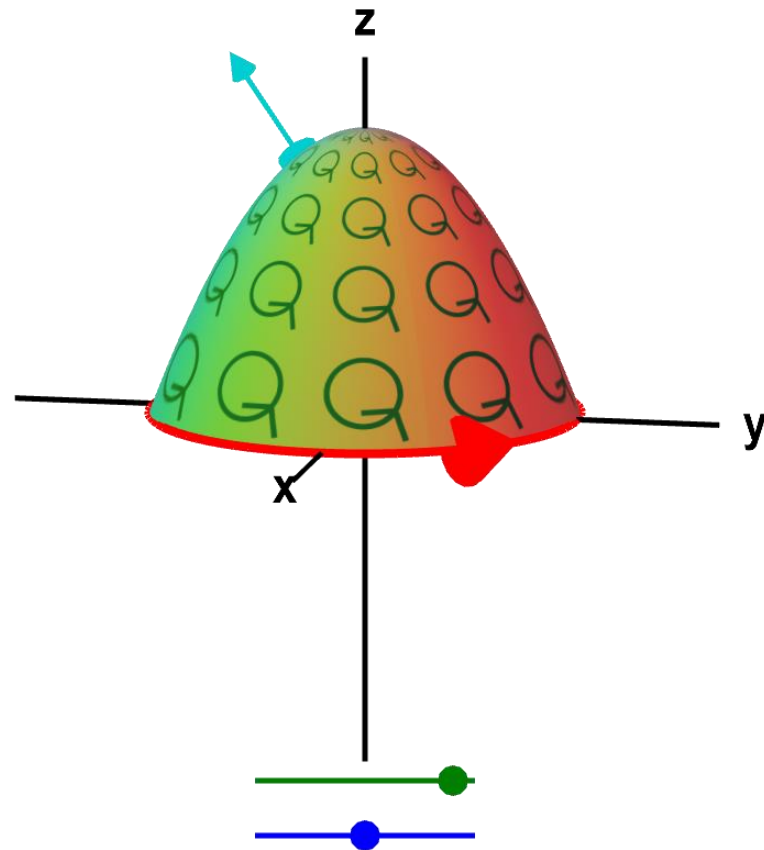
**Continuous field of normal vectors**

# Issue on Triangle Mesh



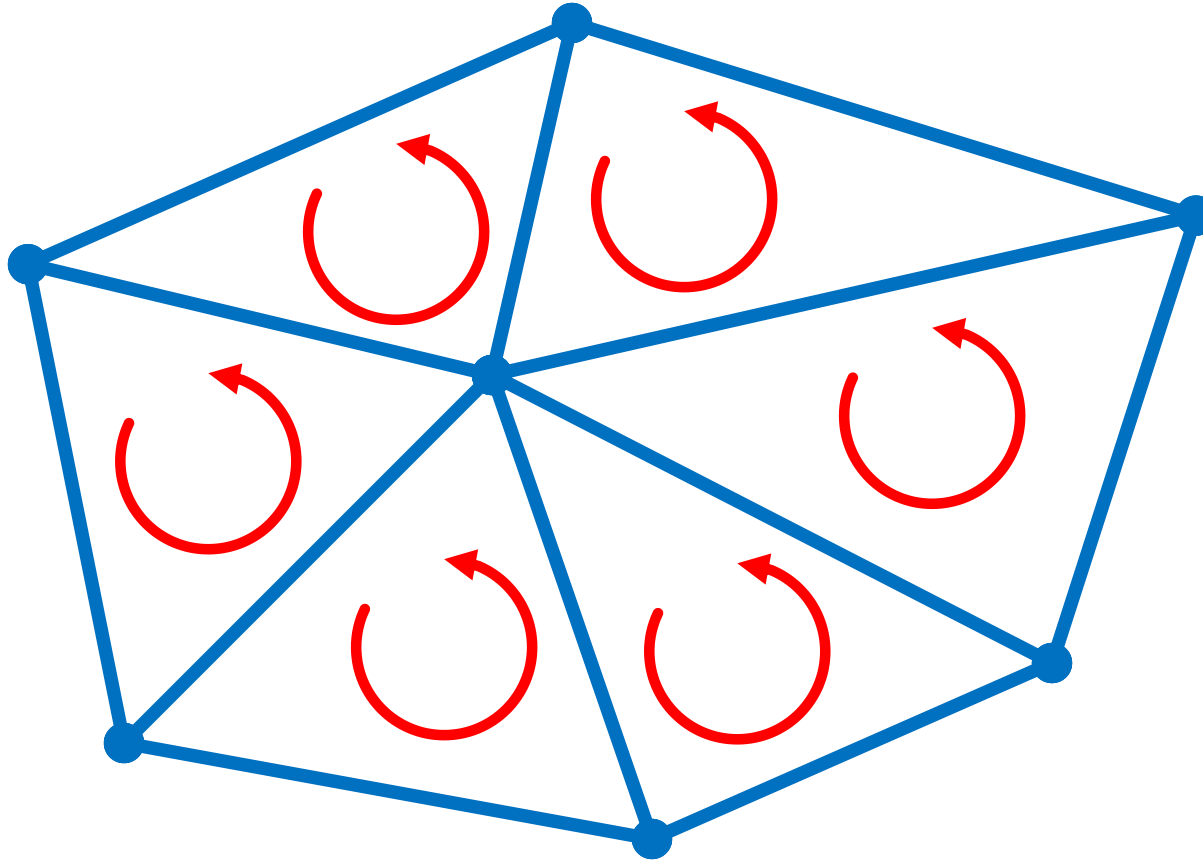
**What happens on  
edges/vertices?**

# Right-Hand Rule





# Discrete Orientability



**Normal field isn't continuous**

# Data Structures for Surfaces

**Must represent geometry  
and topology.**

# Simplest Format

```
x1 y1 z1 / x2 y2 z2 / x3 y3 z3  
x1 y1 z1 / x2 y2 z2 / x3 y3 z3  
x1 y1 z1 / x2 y2 z2 / x3 y3 z3  
x1 y1 z1 / x2 y2 z2 / x3 y3 z3  
x1 y1 z1 / x2 y2 z2 / x3 y3 z3
```

**No topology!**

```
glBegin(GL_TRIANGLES)
```

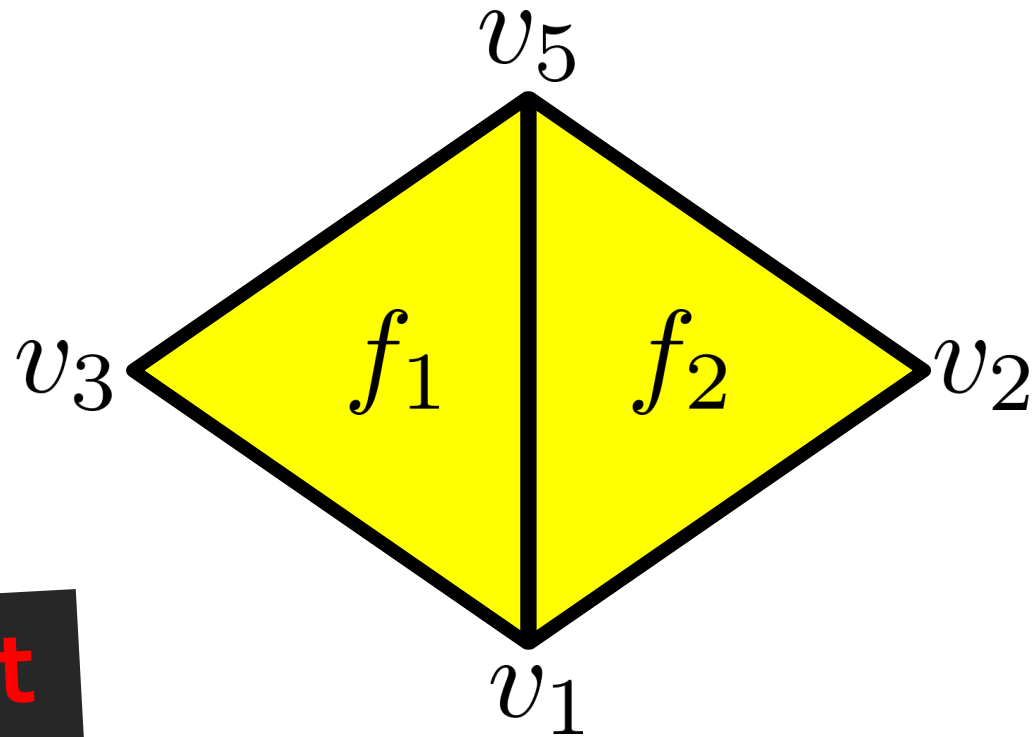
CS 468 2011 (M. Ben-Chen), other slides

**Triangle soup**

# Factor Out Vertices

```
f 1 5 3  
f 5 1 2  
...  
v 0.2 1.5 3.2  
v 5.2 4.1 8.9  
...
```

**.obj format**



**Shared vertex structure**

# Simple Mesh Smoothing

```
for i=1 to n
  for each vertex v
    v = .5*v +
      .5*(average of neighbors) ;
```

# Typical Queries

- Neighboring vertices to a vertex
- Neighboring faces to an edge
- Edges adjacent to a face
- Edges adjacent to a vertex
- ...

**Mostly localized**

# Typical Queries

- **Neighboring** vertices to a vertex
- **Neighboring** faces to an edge
- Edges **adjacent** to a face
- Edges **adjacent** to a vertex
- ...

**Mostly localized**

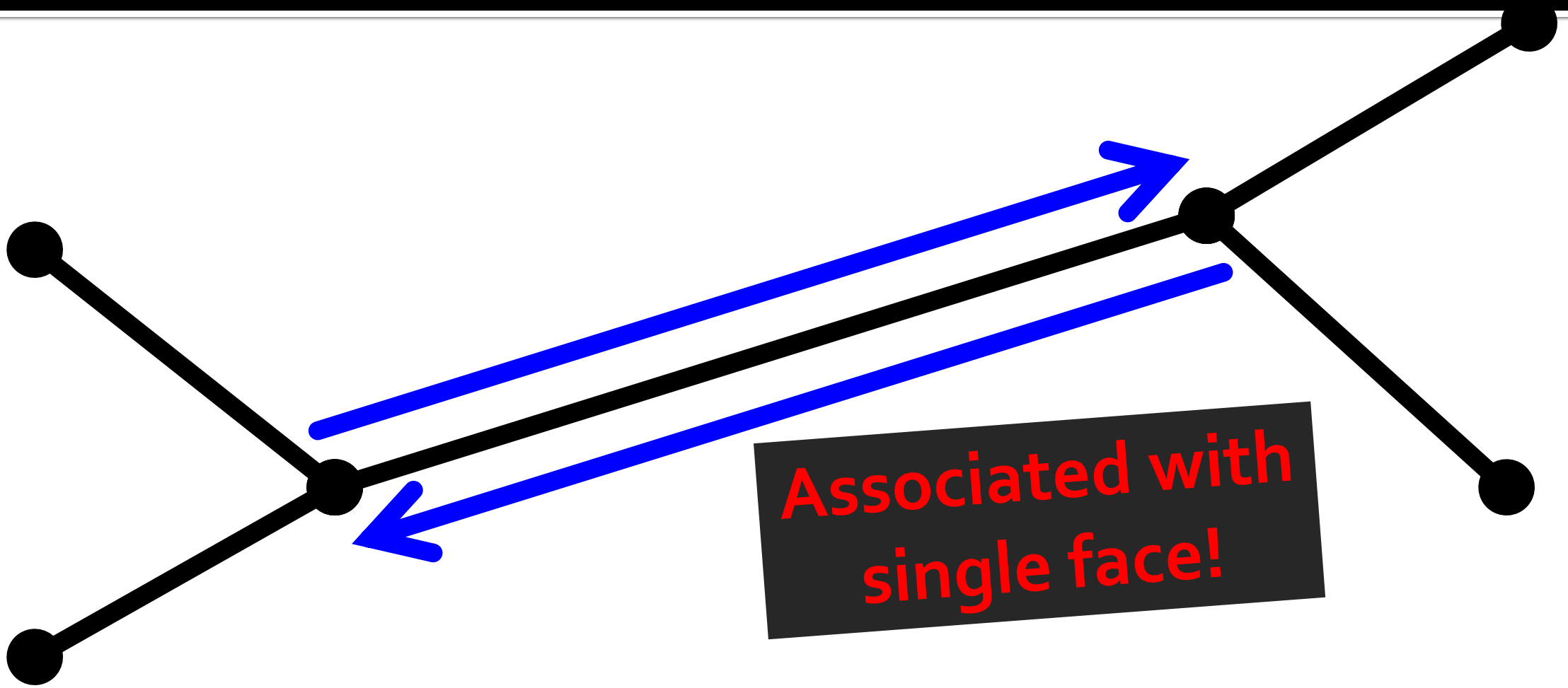
# Pieces of Halfedge Data Structure

- Vertices
- Faces
- Half-edges

Structure tuned for meshes



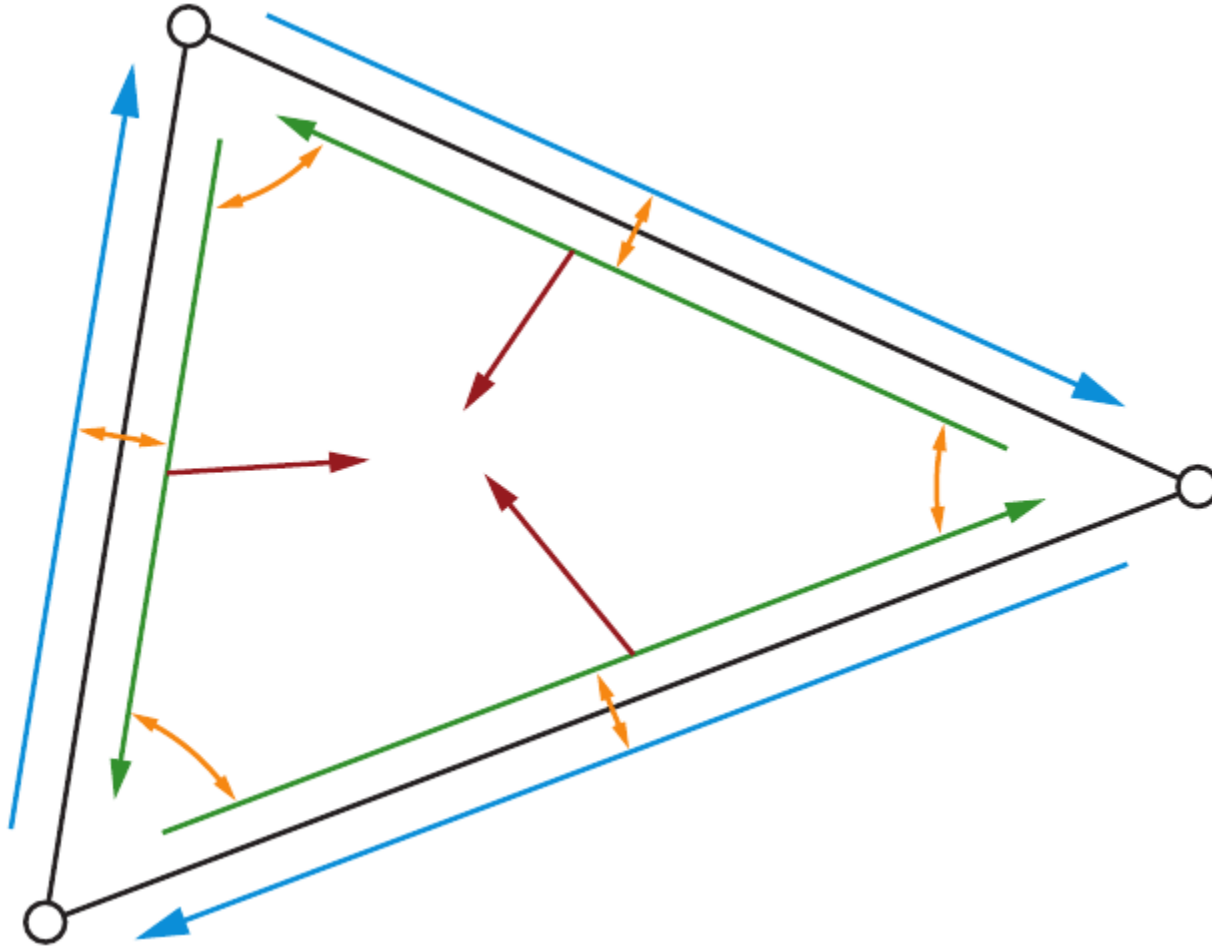
# Halfedge?



Associated with  
single face!

Oriented edge

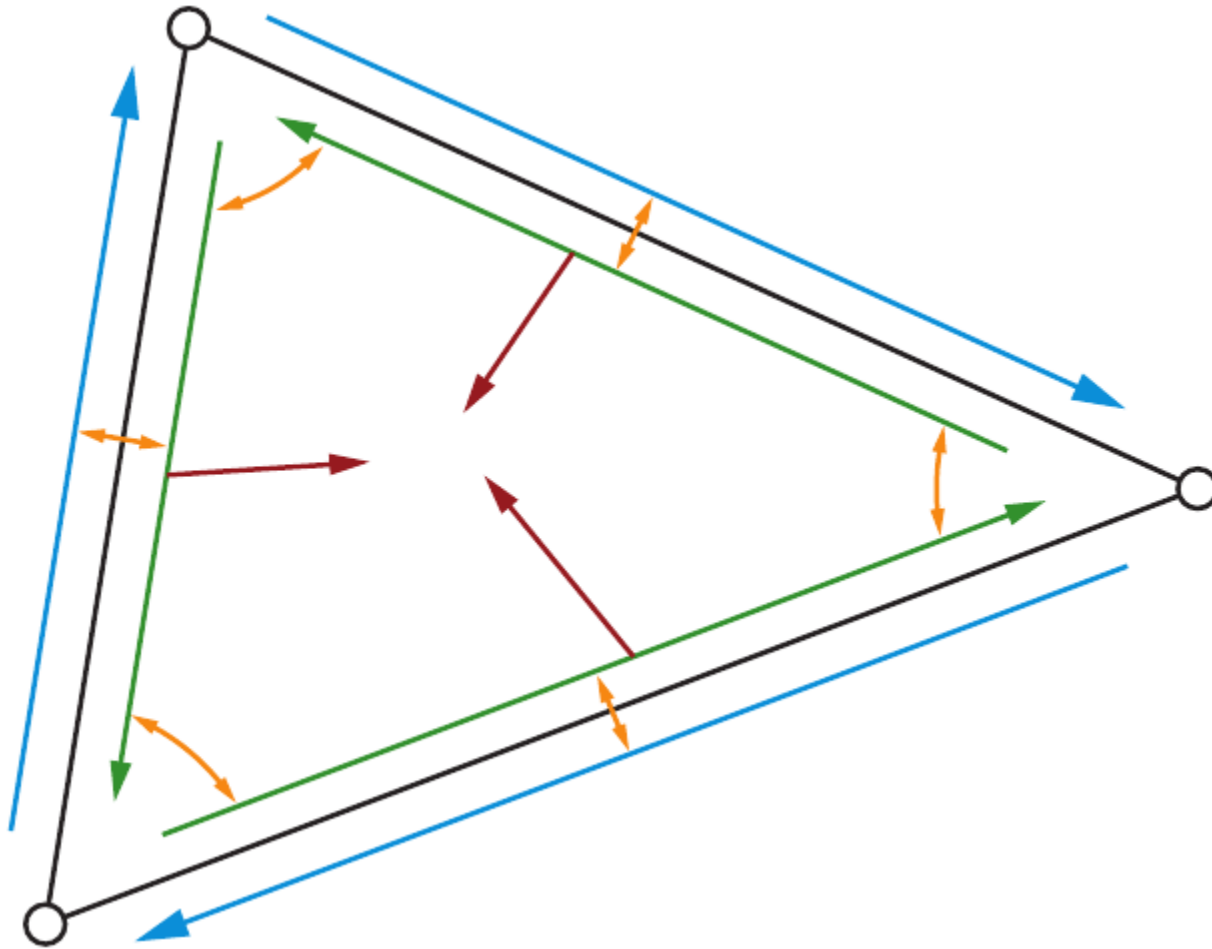
# Halfedge Data Types



**Vertex** stores:

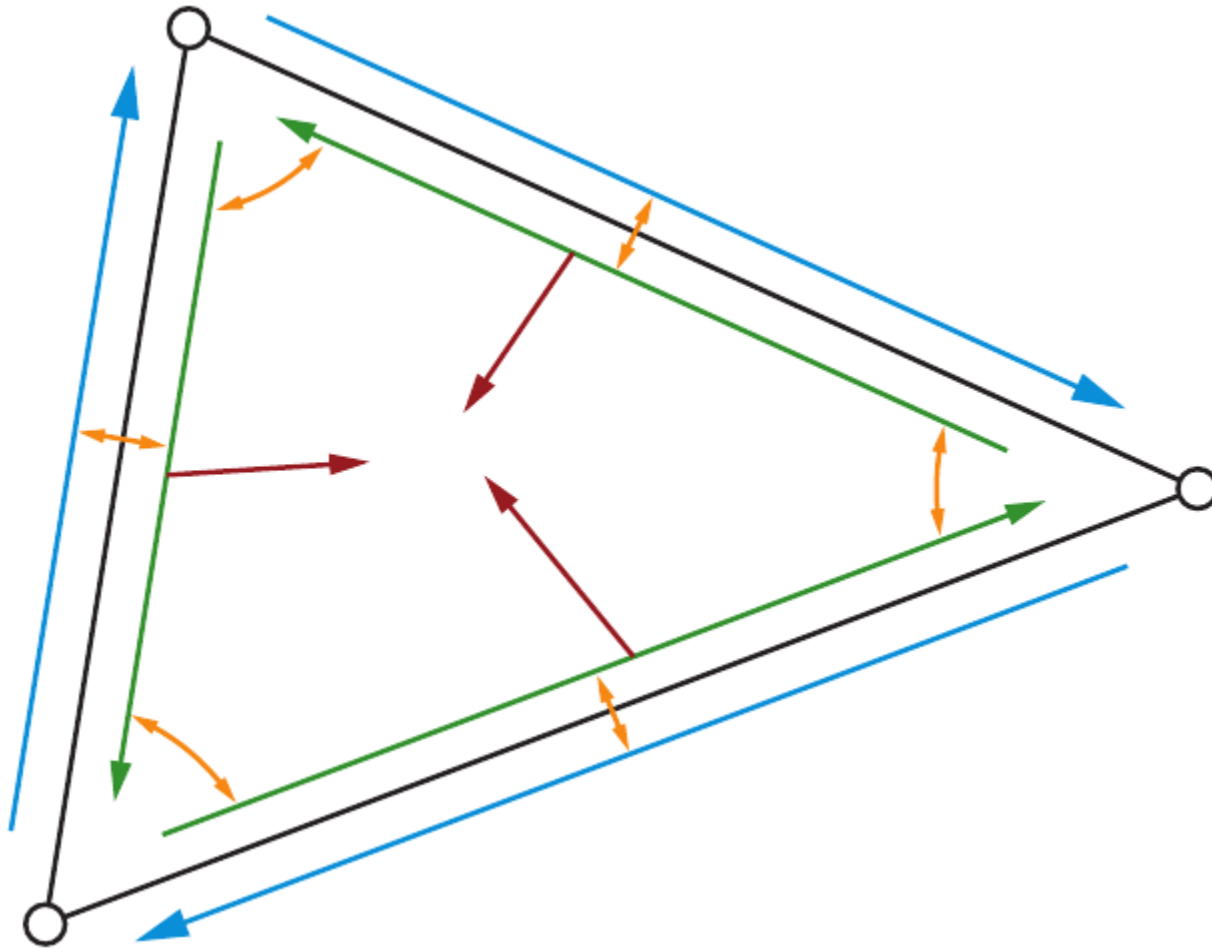
- Arbitrary outgoing halfedge

# Halfedge Data Types



- Face stores:**
- Arbitrary adjacent halfedge

# Halfedge Data Types

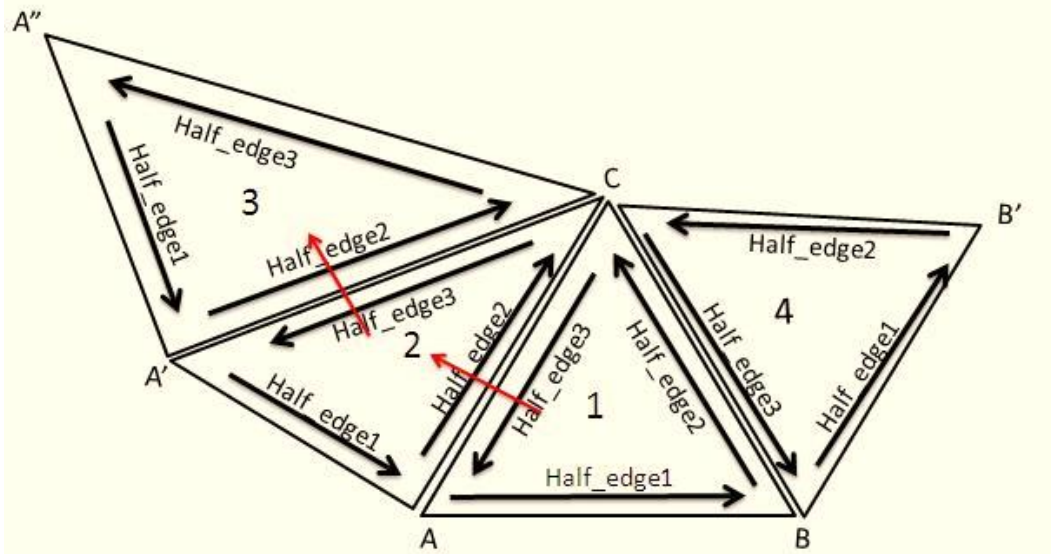


**Halfedge**

stores:

- Flip
- Next
- Face
- Vertex

# Iterating Over Vertex Neighbors



```
Iterate(v) :  
startEdge = v.out;  
e = startEdge;  
do  
    process(e.flip.from)  
    e = e.flip.next  
while e != startEdge
```

# Only Scratching the Surface

Eurographics Symposium on Geometry Processing (2005)

M. Desbrun, H. Pottmann (Editors)

## Streaming Compression of Triangle Meshes

Martin Isenburg<sup>1†</sup>   Peter Lindstrom<sup>2</sup>   Jack Snoeyink<sup>1</sup>

<sup>1</sup> University of North Carolina at Chapel Hill   <sup>2</sup> Lawrence Livermore National Labs

EUROGRAPHICS 2011 / M. Chen and O. Deussen  
(Guest Editors)

*Volume 30 (2011), Number 2*

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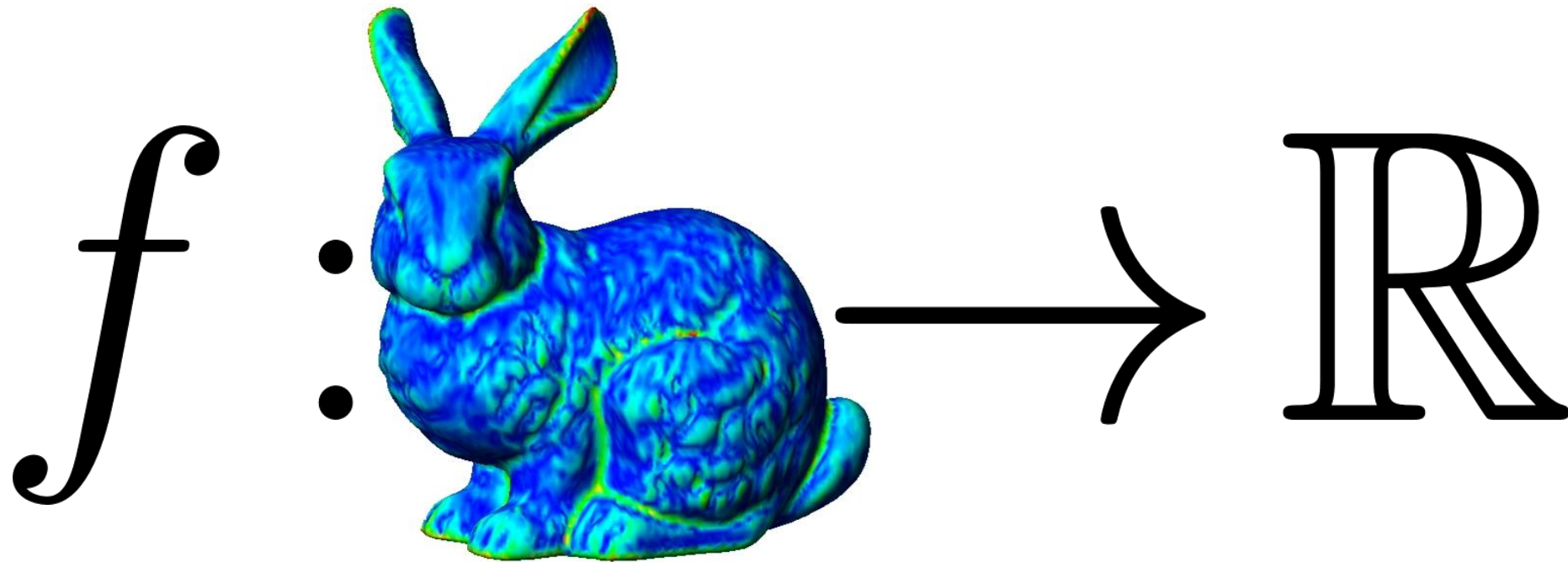
## SQuad: Compact Representation for Triangle Meshes

Topraj Gurung<sup>1</sup>, Daniel Laney<sup>2</sup>, Peter Lindstrom<sup>2</sup>, Jarek Rossignac<sup>1</sup>

<sup>1</sup>Georgia Institute of Technology

<sup>2</sup>Lawrence Livermore National Laboratory

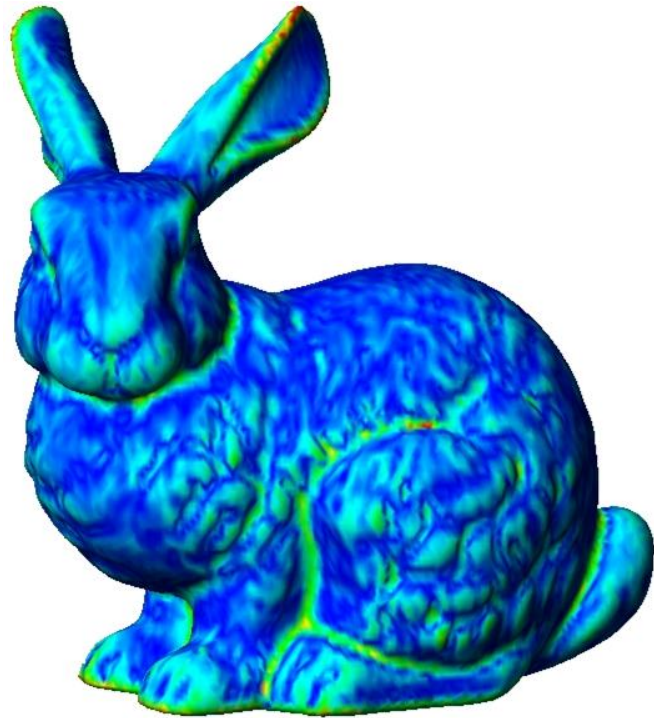
# Scalar Functions



[http://www.ieeta.pt/polymeco/Screenshots/PolyMeCo\\_OneView.jpg](http://www.ieeta.pt/polymeco/Screenshots/PolyMeCo_OneView.jpg)

**Map points to real numbers**

# Discrete Scalar Functions



$$f \in \mathbb{R}^{|V|}$$

[http://www.ieeta.pt/polymeco/Screenshots/PolyMeCo\\_OneView.jpg](http://www.ieeta.pt/polymeco/Screenshots/PolyMeCo_OneView.jpg)

**Map vertices to real numbers**

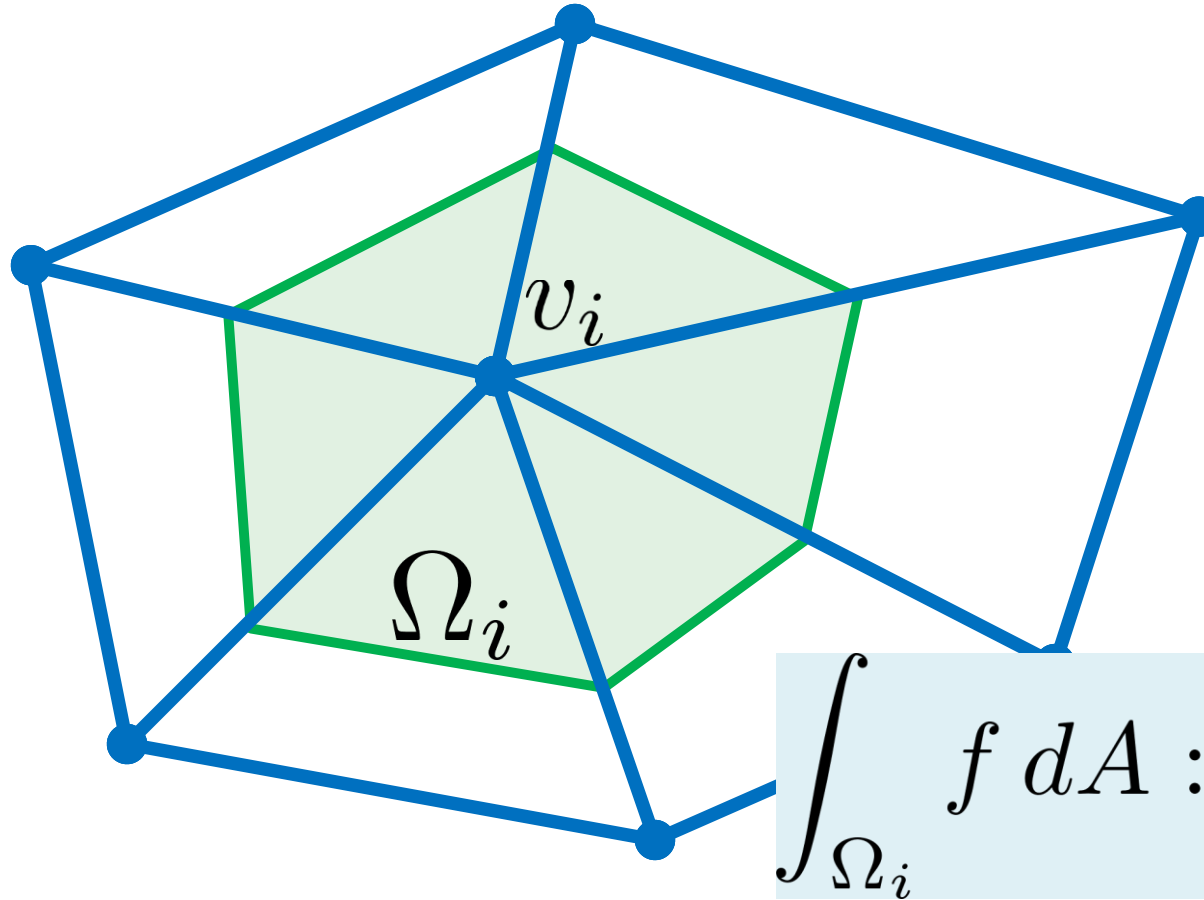


# Question

What is the integral of  $f$ ?

$$\int_M f \, dA$$

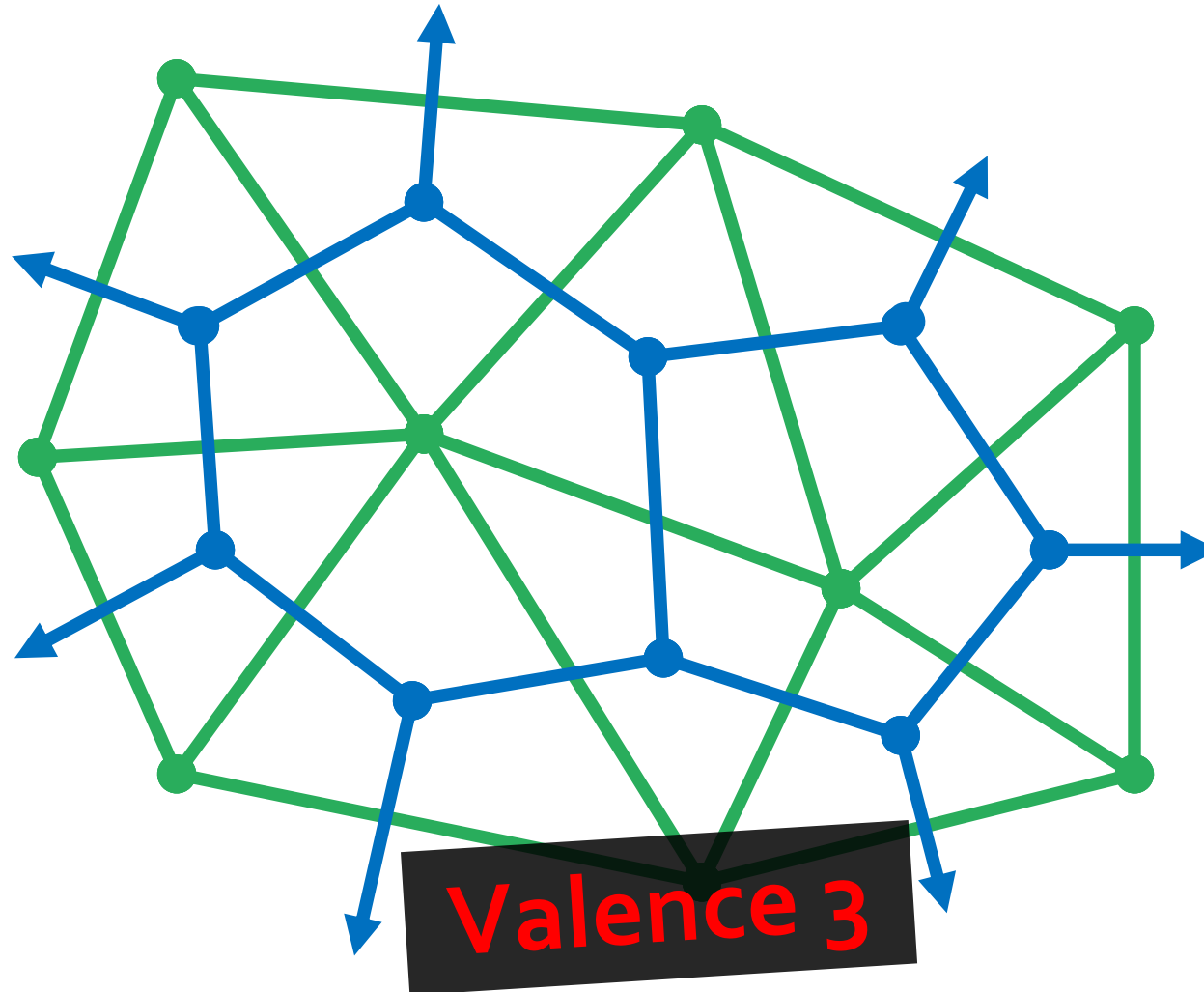
# Dual Cell



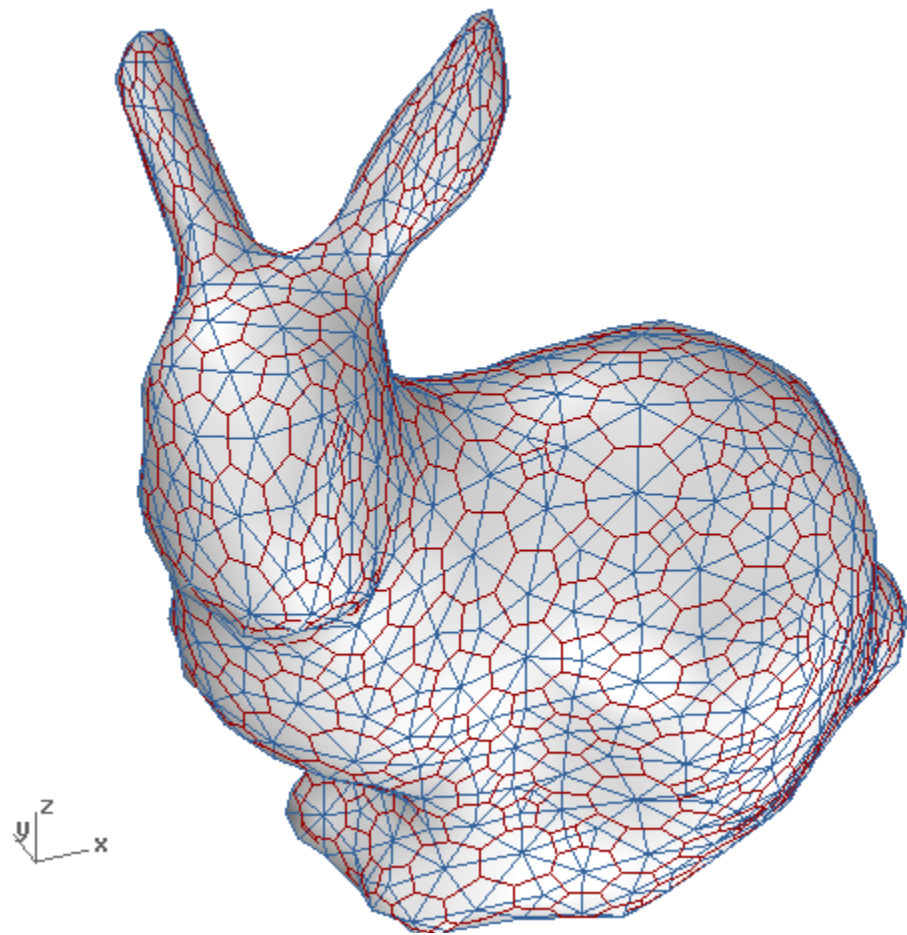
$$\int_{\Omega_i} f \, dA := f_i |\Omega_i|$$

**Discrete version of  $dA$**

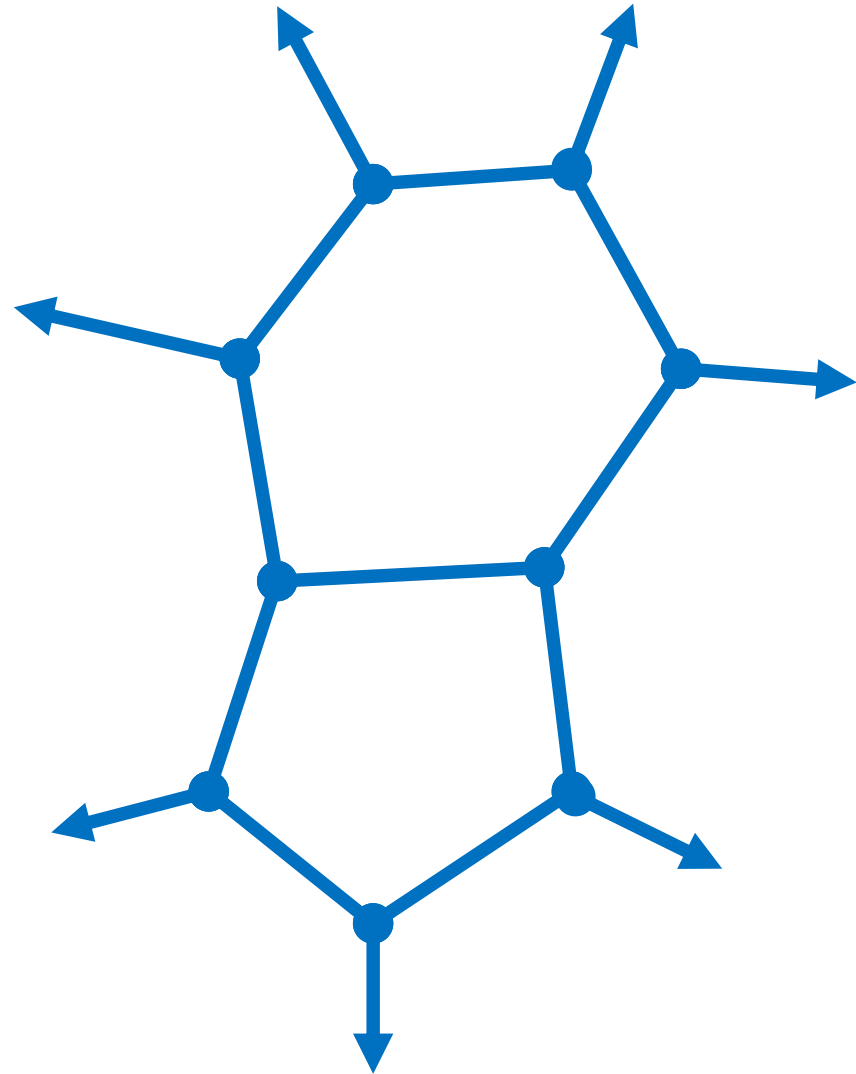
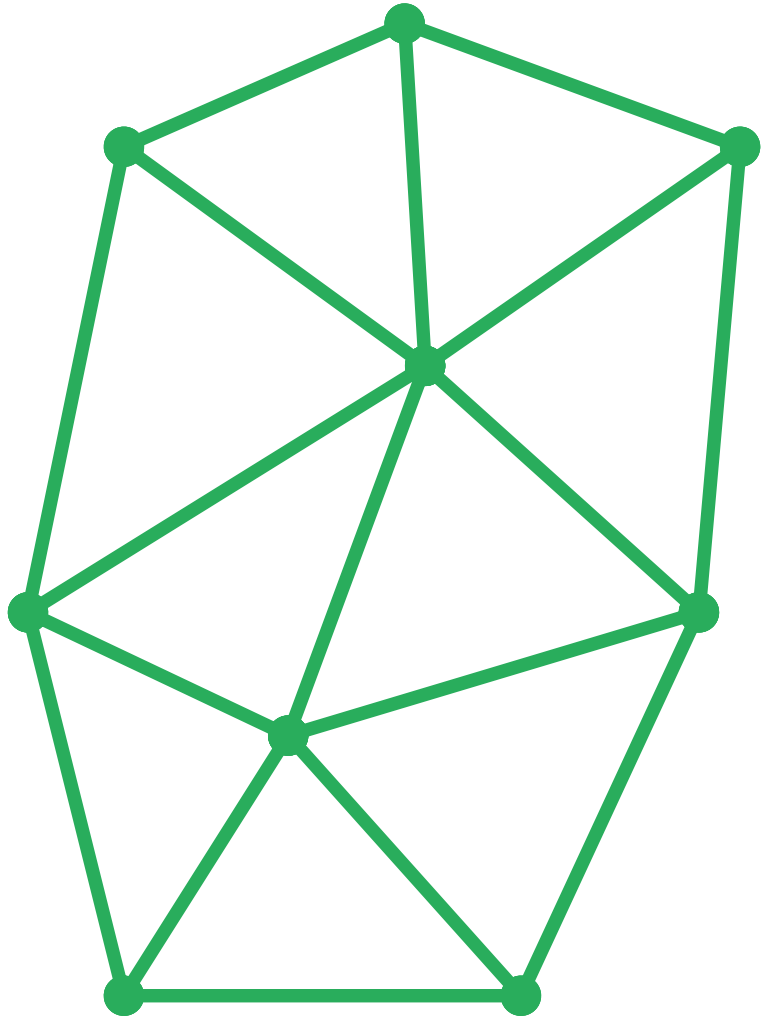
# Dual Complex



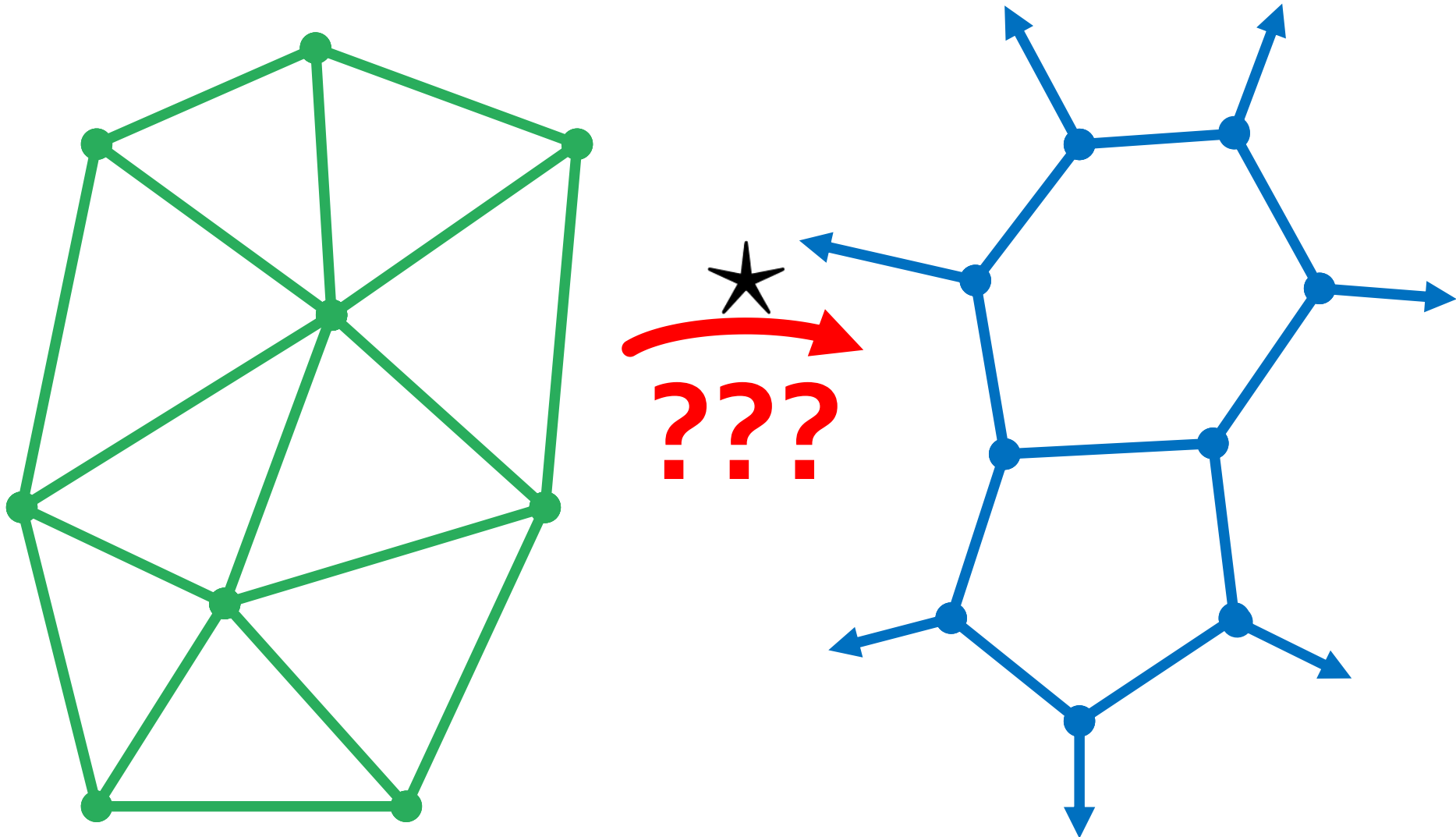
# One Surface, Two Meshes



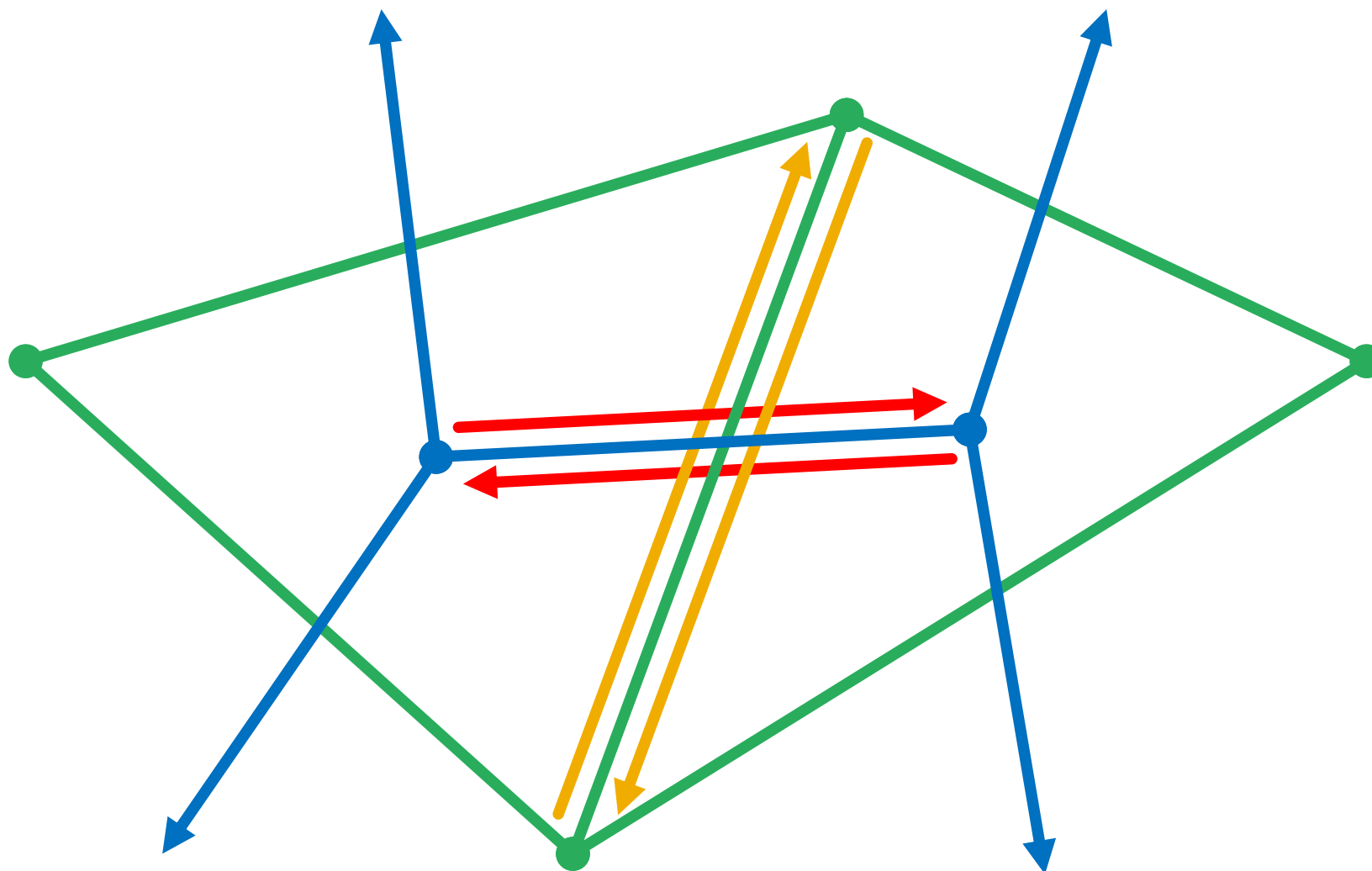
# One Surface, Two Halfedges



# Missing Operation

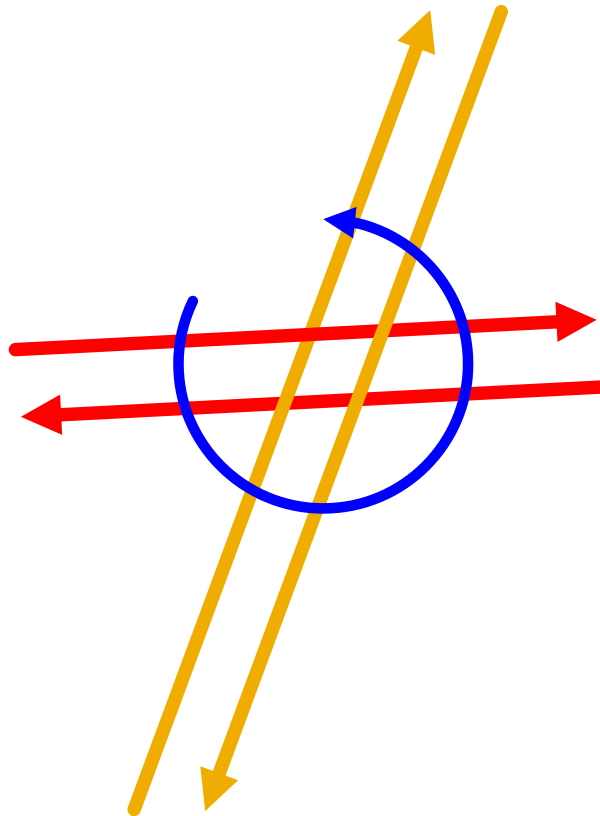


# Quad Edge



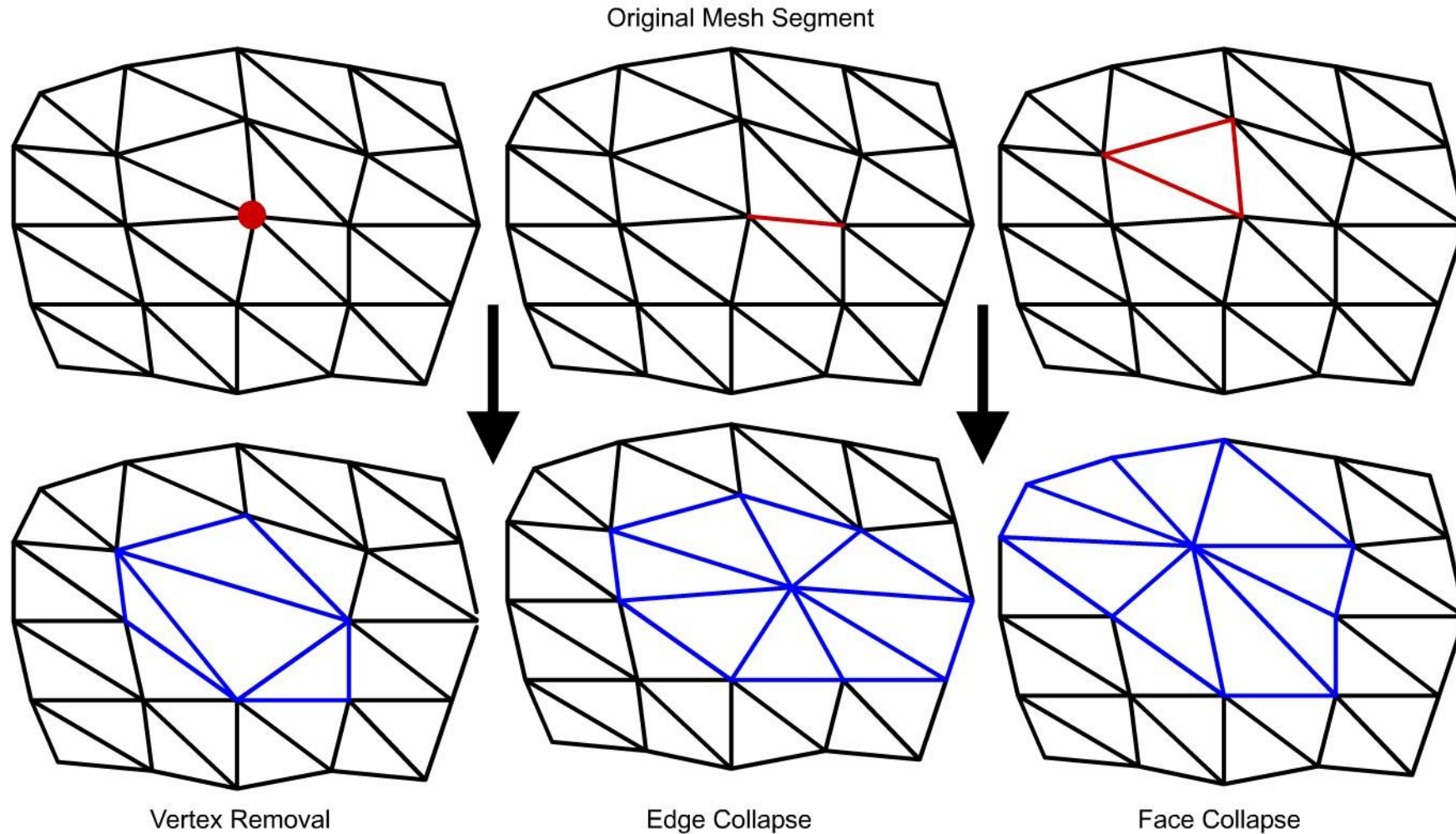
# Rotation Operation

$e \rightarrow \text{Rot} \rightarrow \text{Rot} = e \rightarrow \text{Flip}$

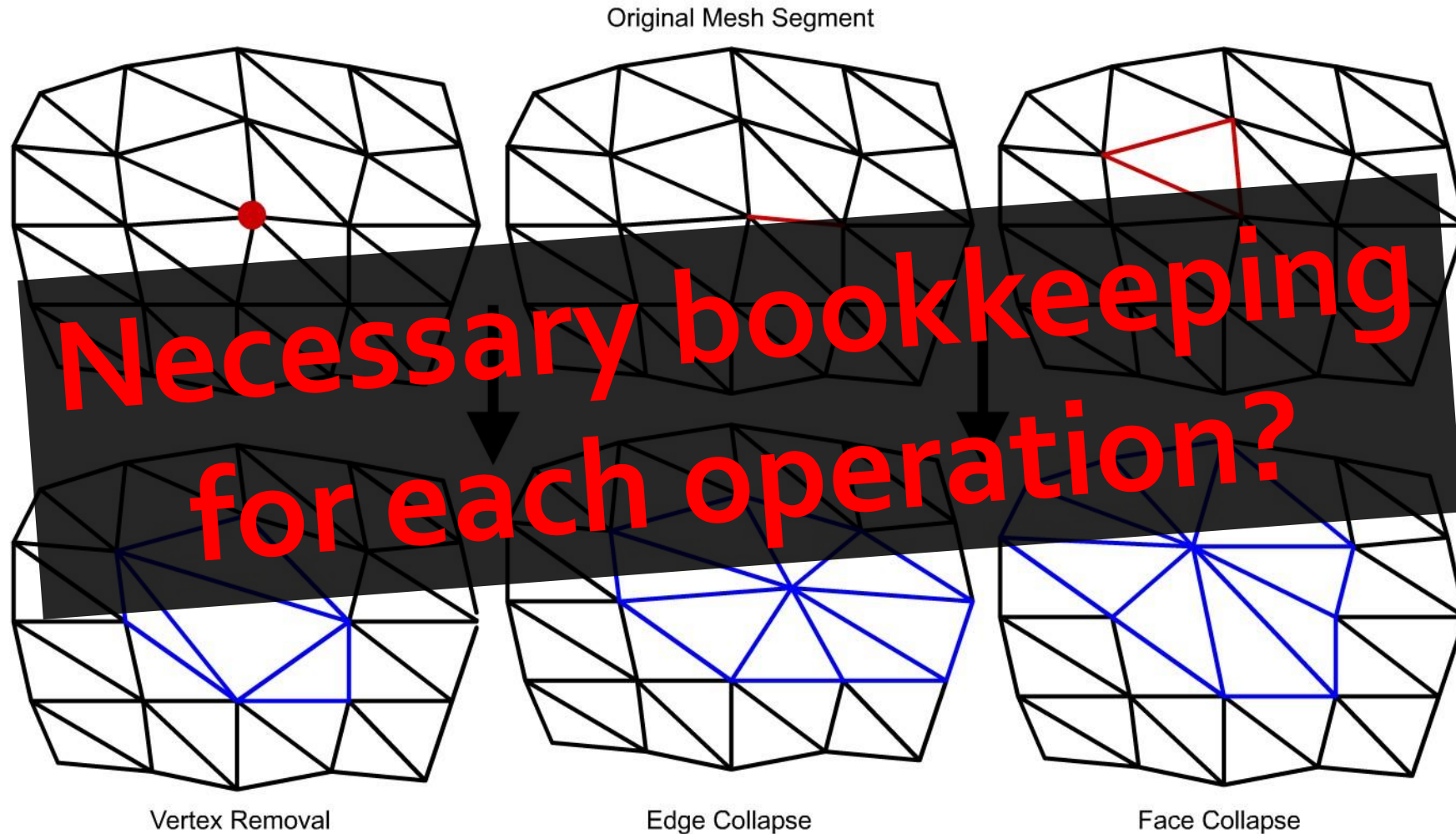




# Topological Operations



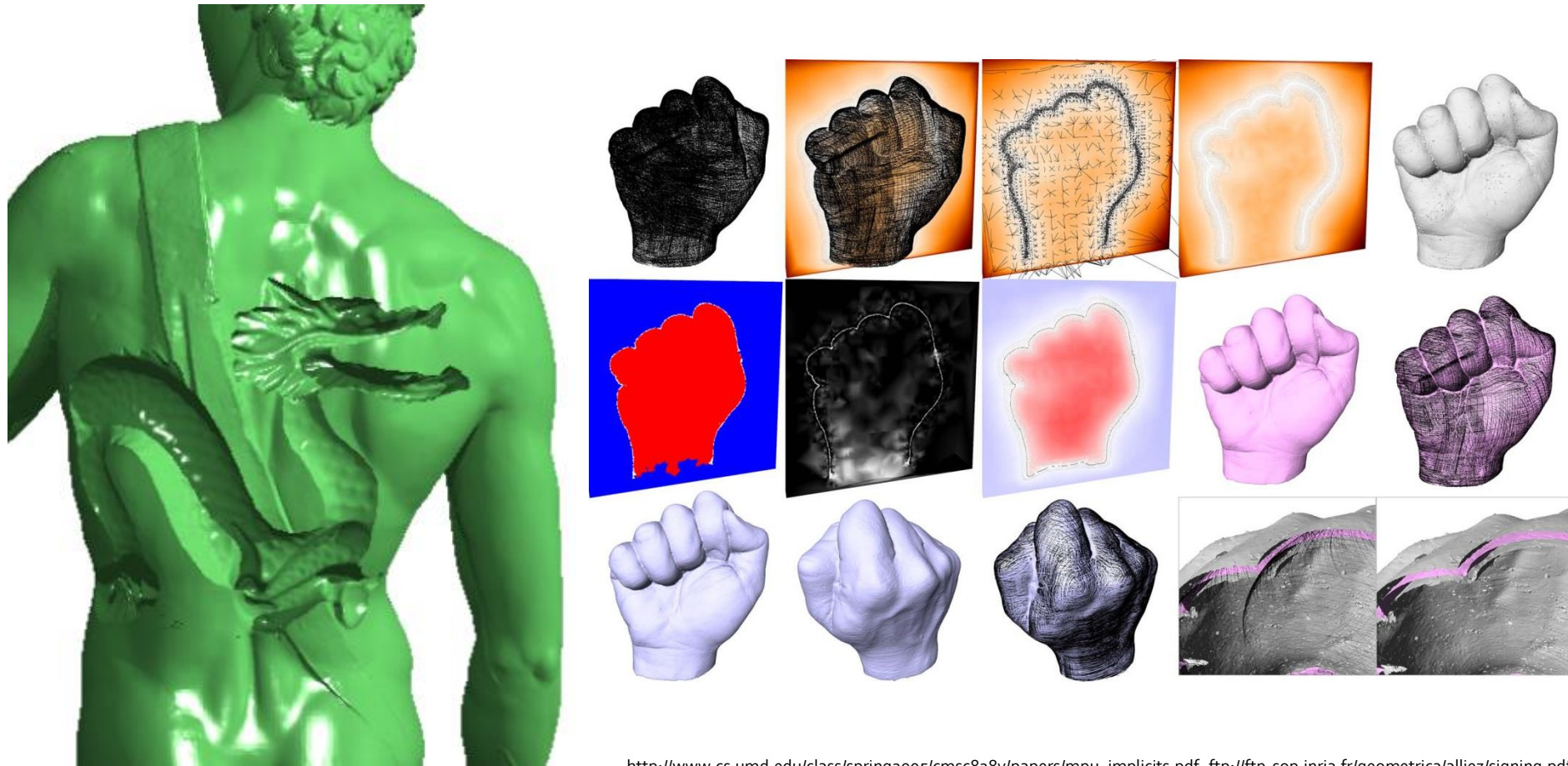
# Topological Operations



# Take-Away

Complex data structures  
enable simpler traversal at  
cost of more bookkeeping.

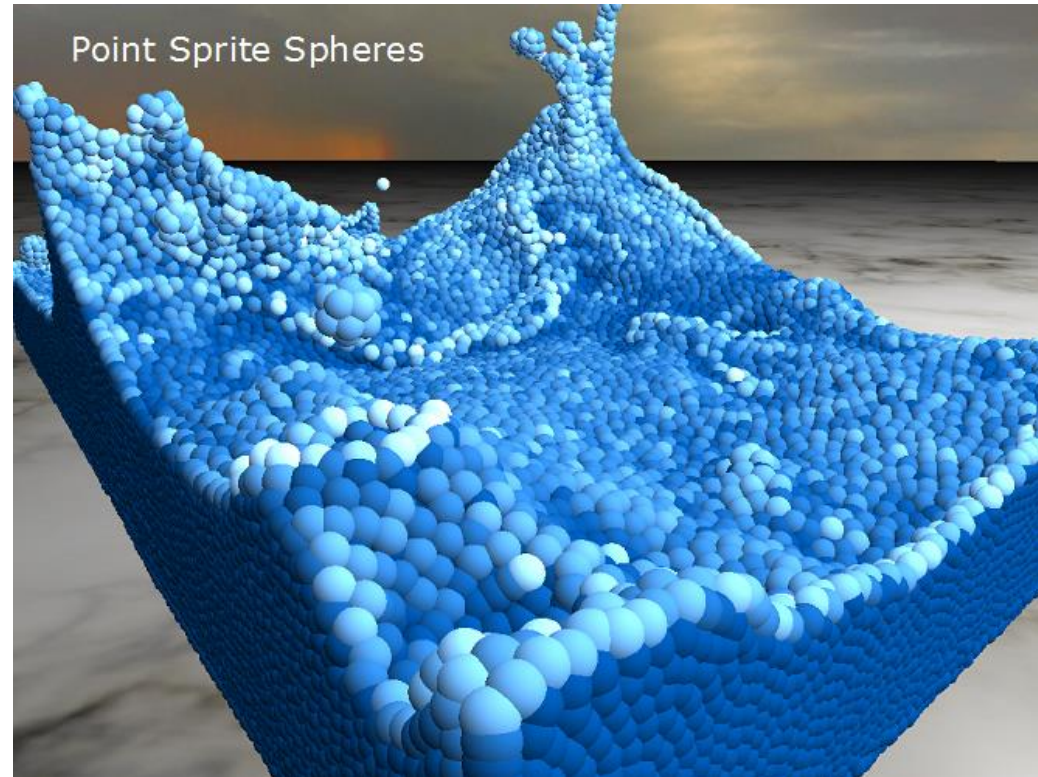
# Not the Only Geometric Representation



[http://www.cs.umd.edu/class/spring2005/cmsc828v/papers/mpu\\_implicit.pdf](http://www.cs.umd.edu/class/spring2005/cmsc828v/papers/mpu_implicit.pdf) <ftp://ftp-sop.inria.fr/geometrica/alliez/signing.pdf>

## Implicit surfaces

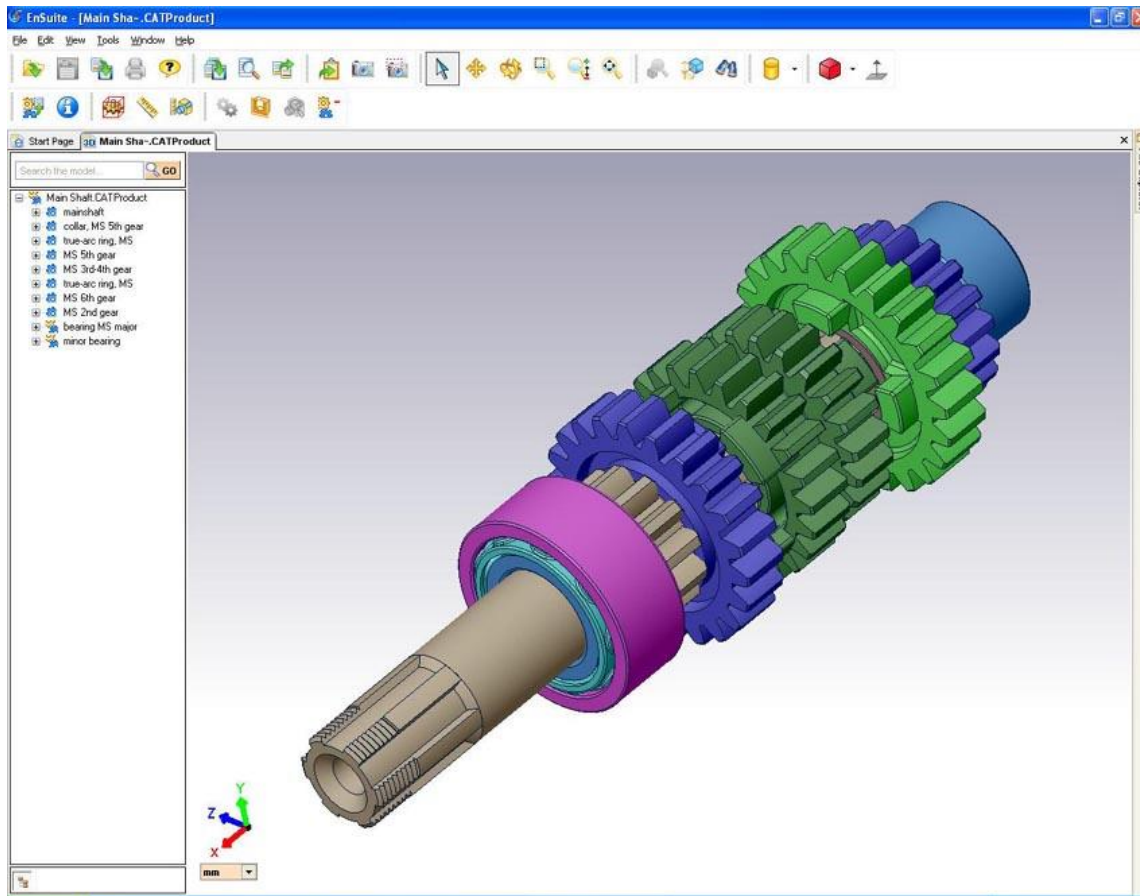
# Application of Implicit Surfaces



<http://www.itsartmag.com/features/cgfluids/>  
<https://developer.nvidia.com/content/fluid-simulation-alice-madness-returns>

## Smoothed-particle hydrodynamics (SPH)

# Not the Only Geometric Representation

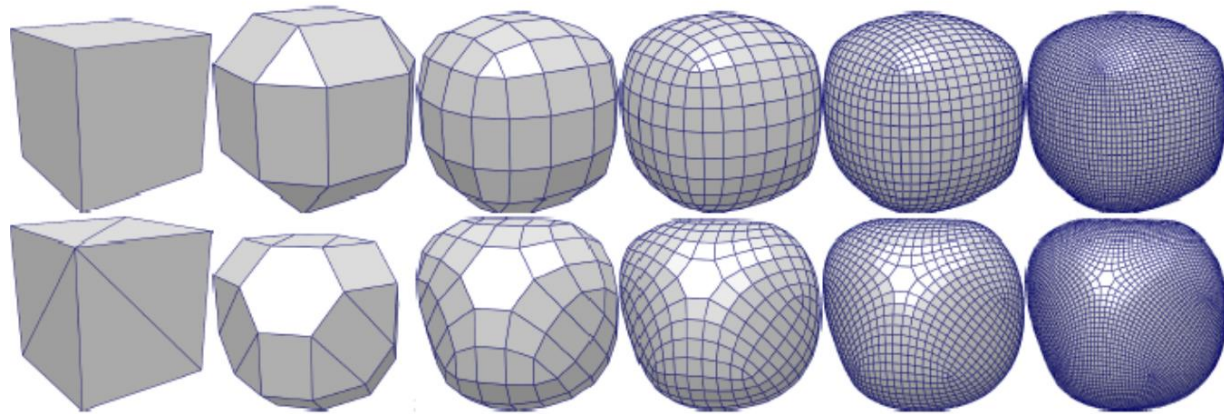


Computer-Aided  
Design (CAD)

<http://www.cad-sourcing.com/wp-content/uploads/2011/12/free-cad-software.jpg>

Polynomial/rational patches

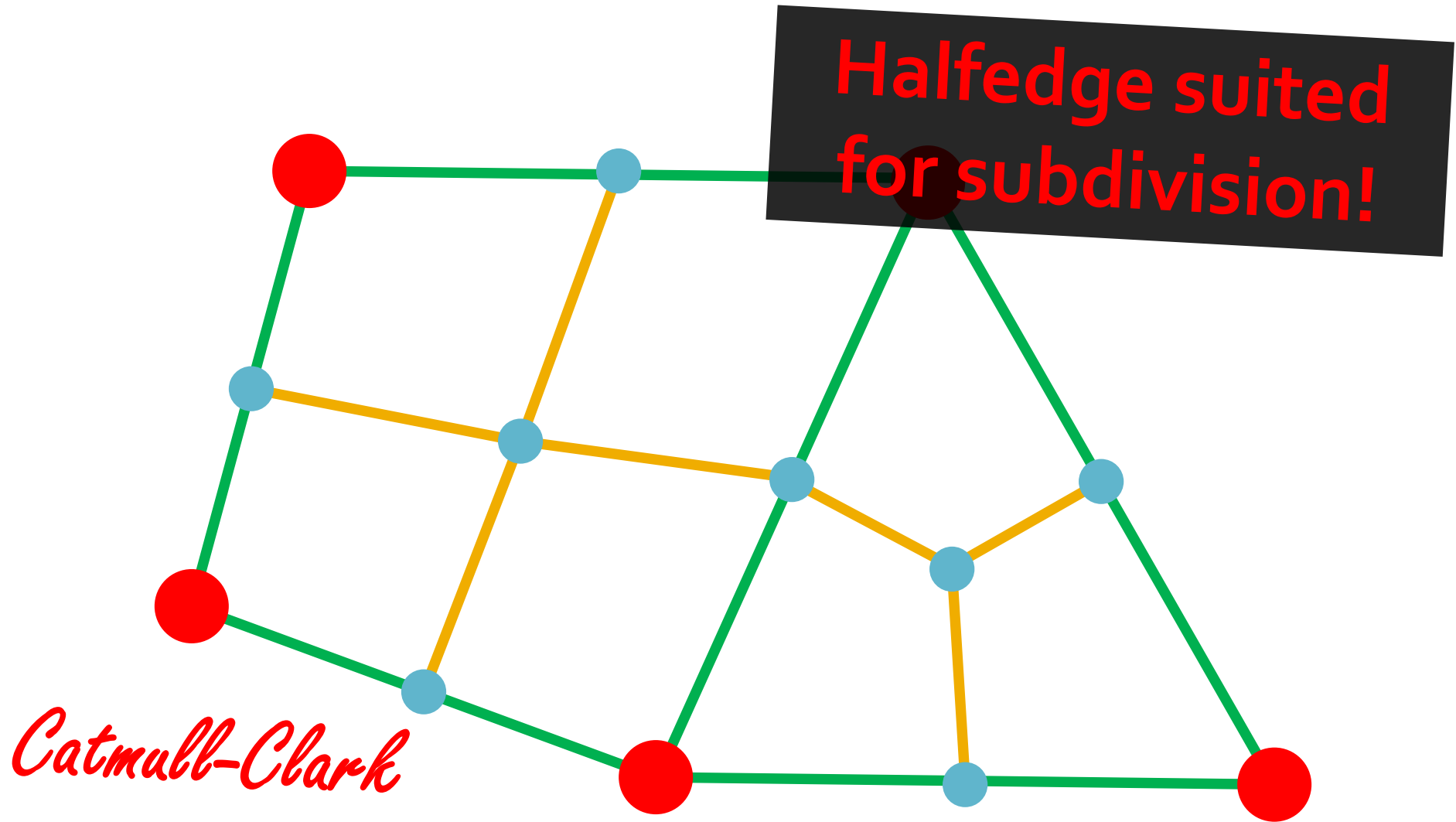
# Not the Only Geometric Representation



[https://imagecomputing.net/damien.rohmer/teaching/2018\\_2019/semester\\_1/m2\\_mpri\\_cg\\_viz/class/01\\_surface\\_representation/content/035\\_subdivision\\_surfaces/index.html](https://imagecomputing.net/damien.rohmer/teaching/2018_2019/semester_1/m2_mpri_cg_viz/class/01_surface_representation/content/035_subdivision_surfaces/index.html)

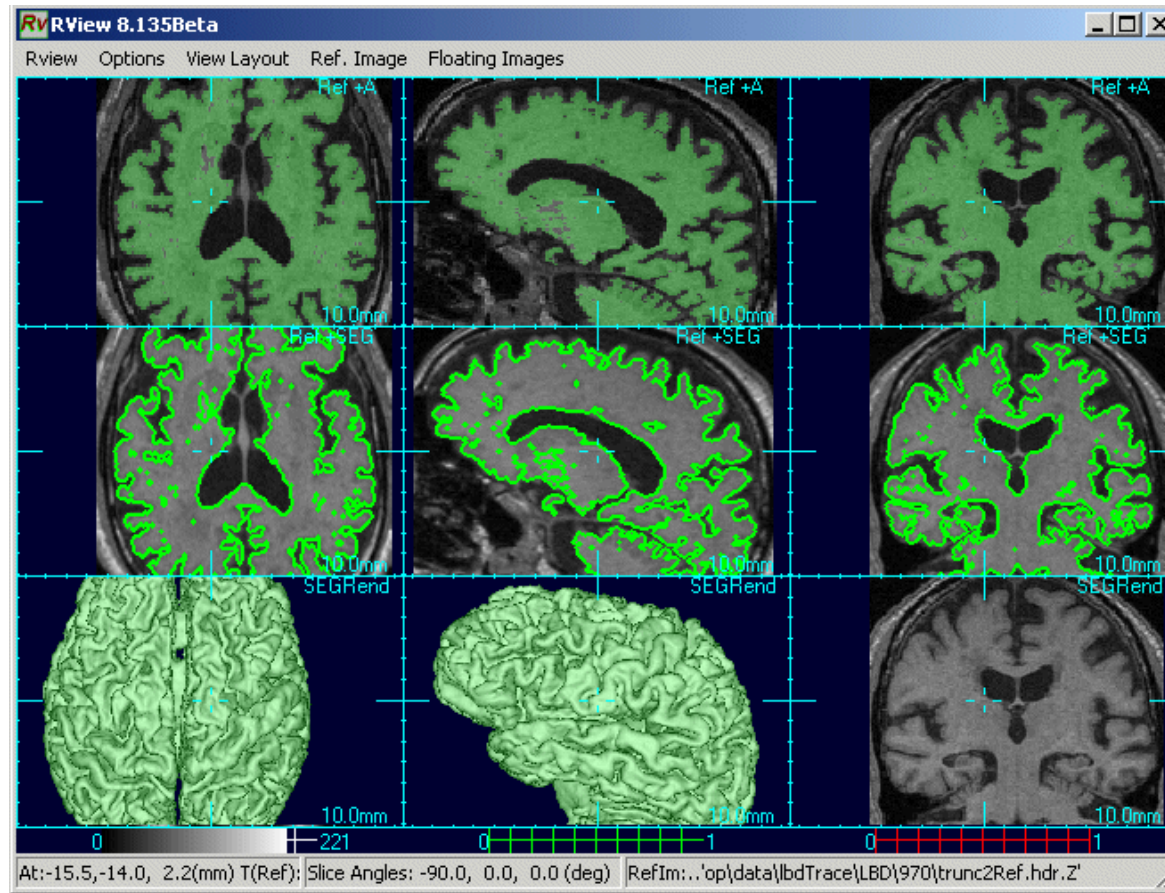
## Subdivision Surfaces

# Aside





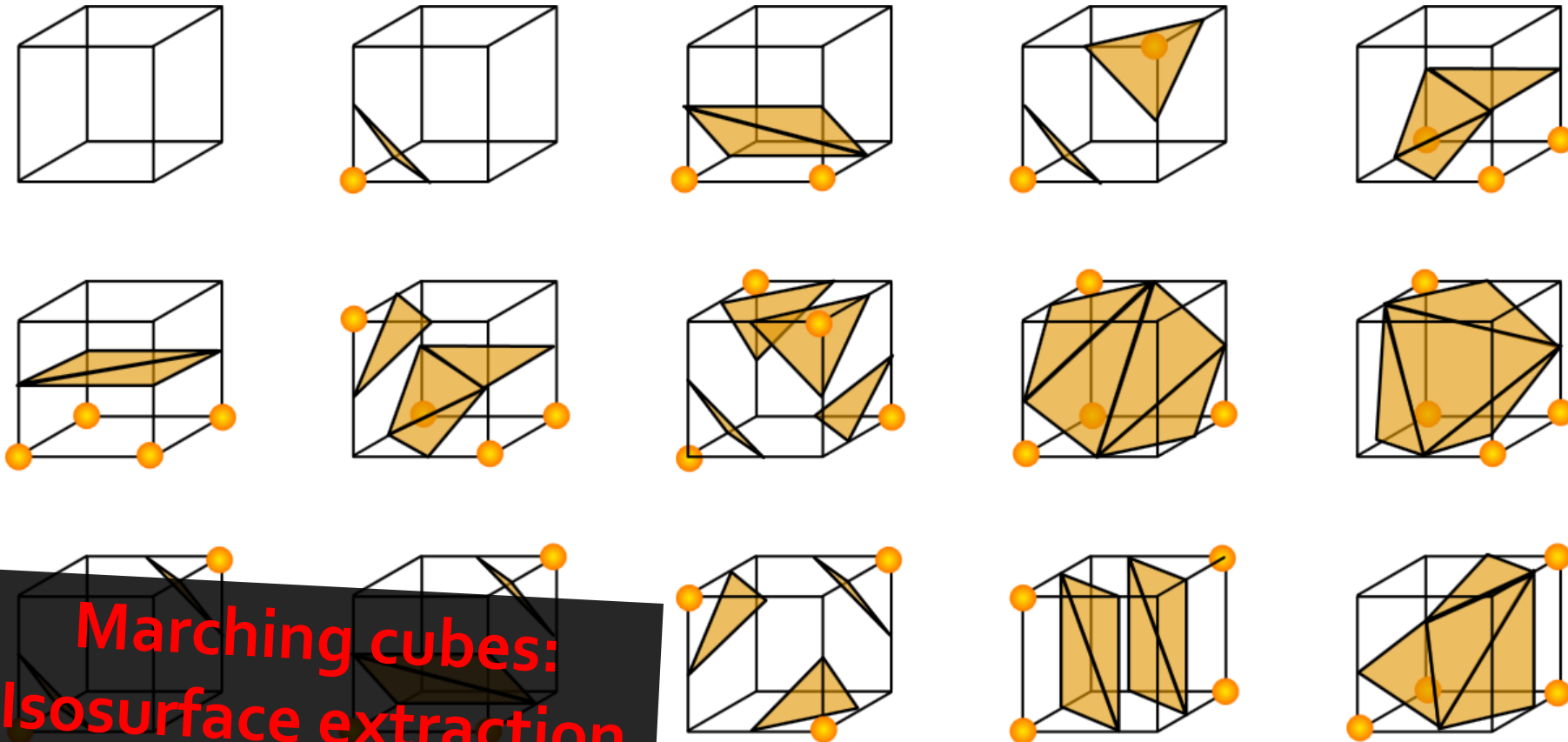
# Not the Only Geometric Representation



<http://www.colin-studholme.net/software/rview/rvmanual/morphtool5.gif>

## Volumetric imaging

# Surfaces from Volumes

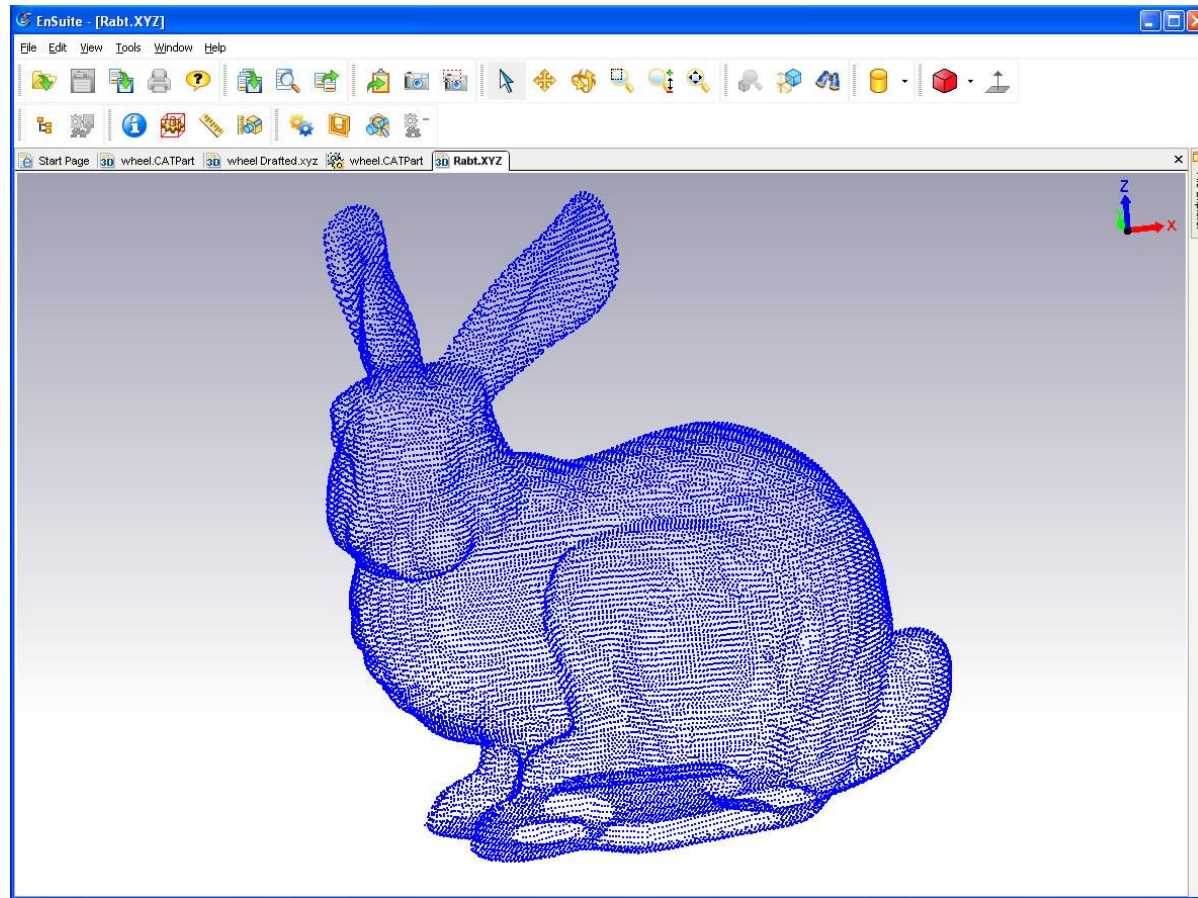


**Marching cubes:  
Isosurface extraction**

[http://en.wikipedia.org/wiki/Marching\\_cubes](http://en.wikipedia.org/wiki/Marching_cubes)

**Volumetric extraction**

# Not the Only Geometric Representation



<http://www.engineeringspecifier.com/public/primages/pr1200.jpg>

**Point clouds**

# Surfaces: Smooth and Discrete

Justin Solomon

6.8410: Shape Analysis

Spring 2023

