

# Surfaces: Smooth and Discrete

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6.838: Shape Analysis  
Spring 2021



# What's Next?

Step up  
**one dimension**  
from curves to surfaces.

- Theoretical definition
- Discrete representations
- Higher dimensionality

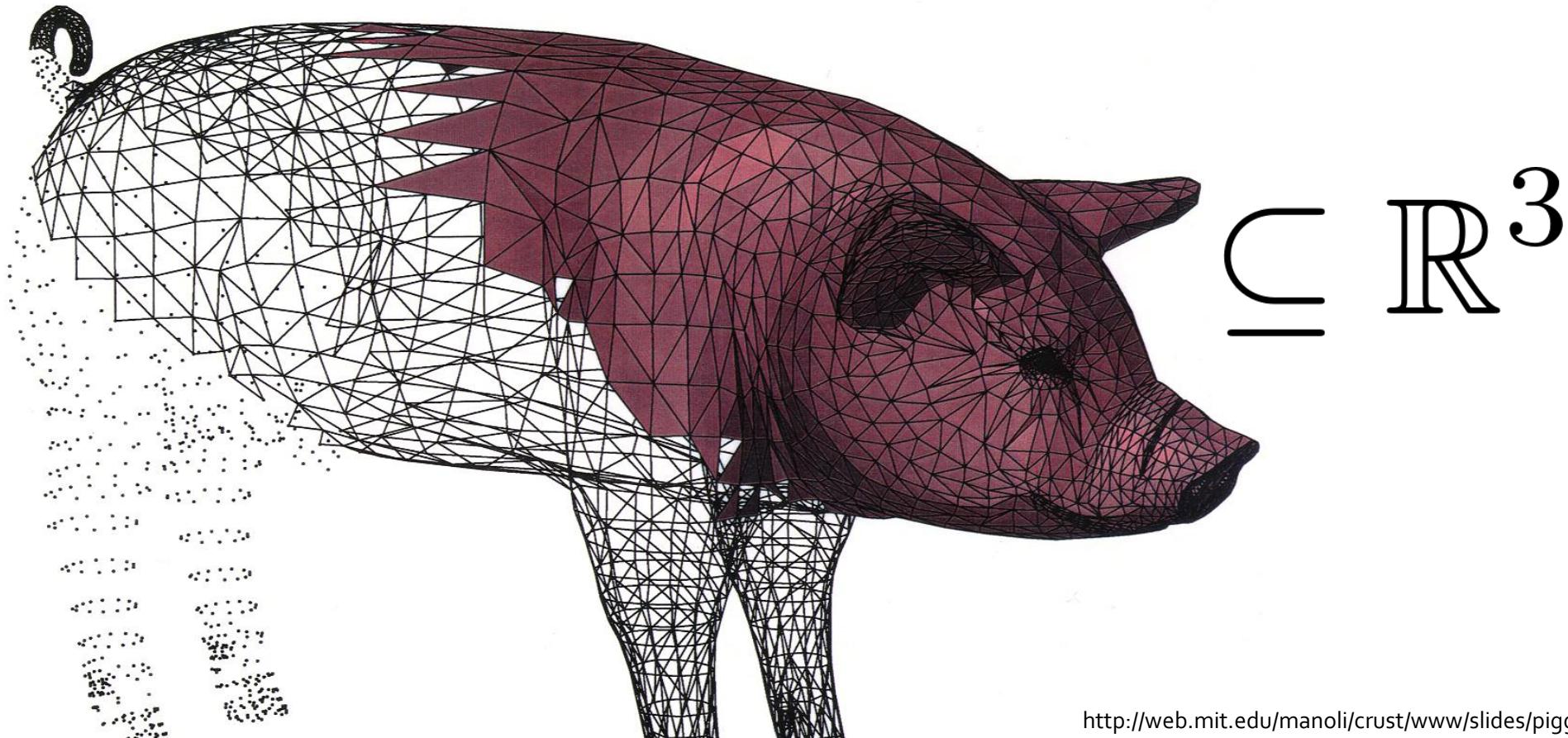
# Briefly Will Mention

Step up  
*n* dimensions  
from surfaces to (sub)manifolds.

Easier transition.

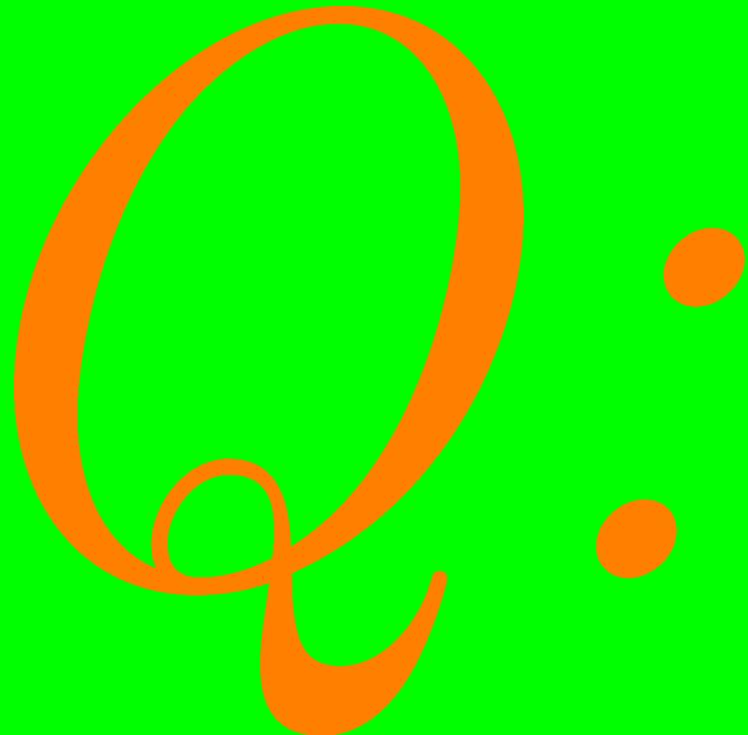
*Not entirely true:  
e.g. topology of 3-manifolds*

# Our Focus



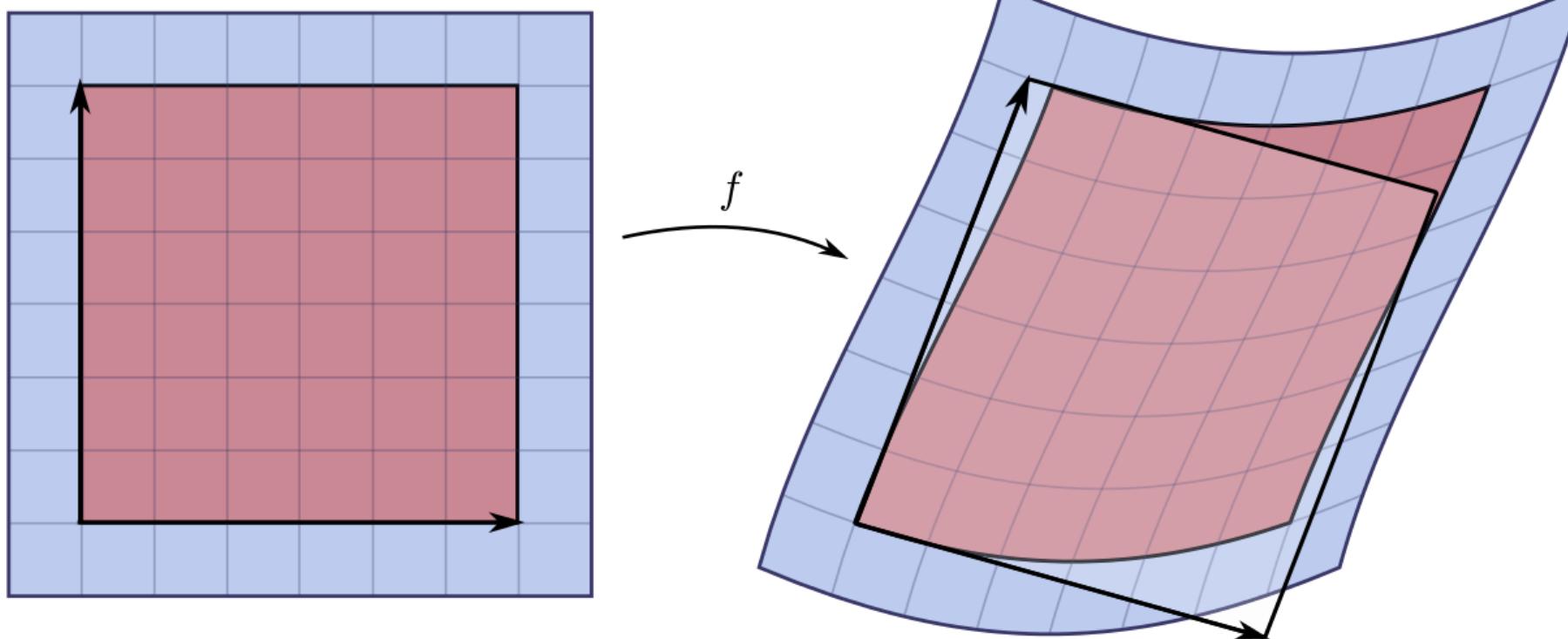
<http://web.mit.edu/manoli/crust/www/slides/piggy.jpg>

Embedded geometry



What is an  
embedded surface?

# Warm Up: Parametric Surface

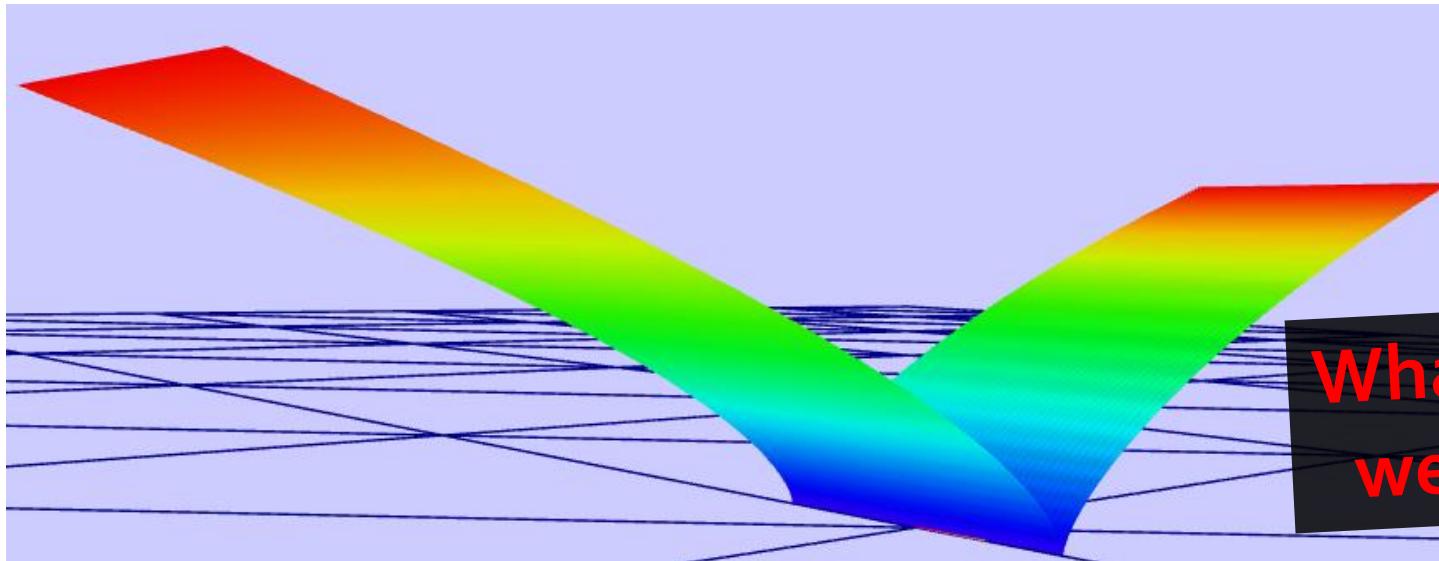


# Pathological Cases

$$f(u, v) = (u, u^2, \cos u)$$

$$f(u, v) = (0, 0, 0)$$

$$f(u, v) = (u, v^3, v^2)$$



What condition do  
we need to add?

# Review: Jacobian Matrix

$$f : \mathbb{R}^m \rightarrow \mathbb{R}^n$$

**Jacobian matrix:**

$$(Df)_j^i = \left( \frac{\partial f^i}{\partial x^j} \right)$$

# Regularity (Injectivity/One-to-One) Condition

$$f : \mathbb{R}^m \rightarrow \mathbb{R}^n$$

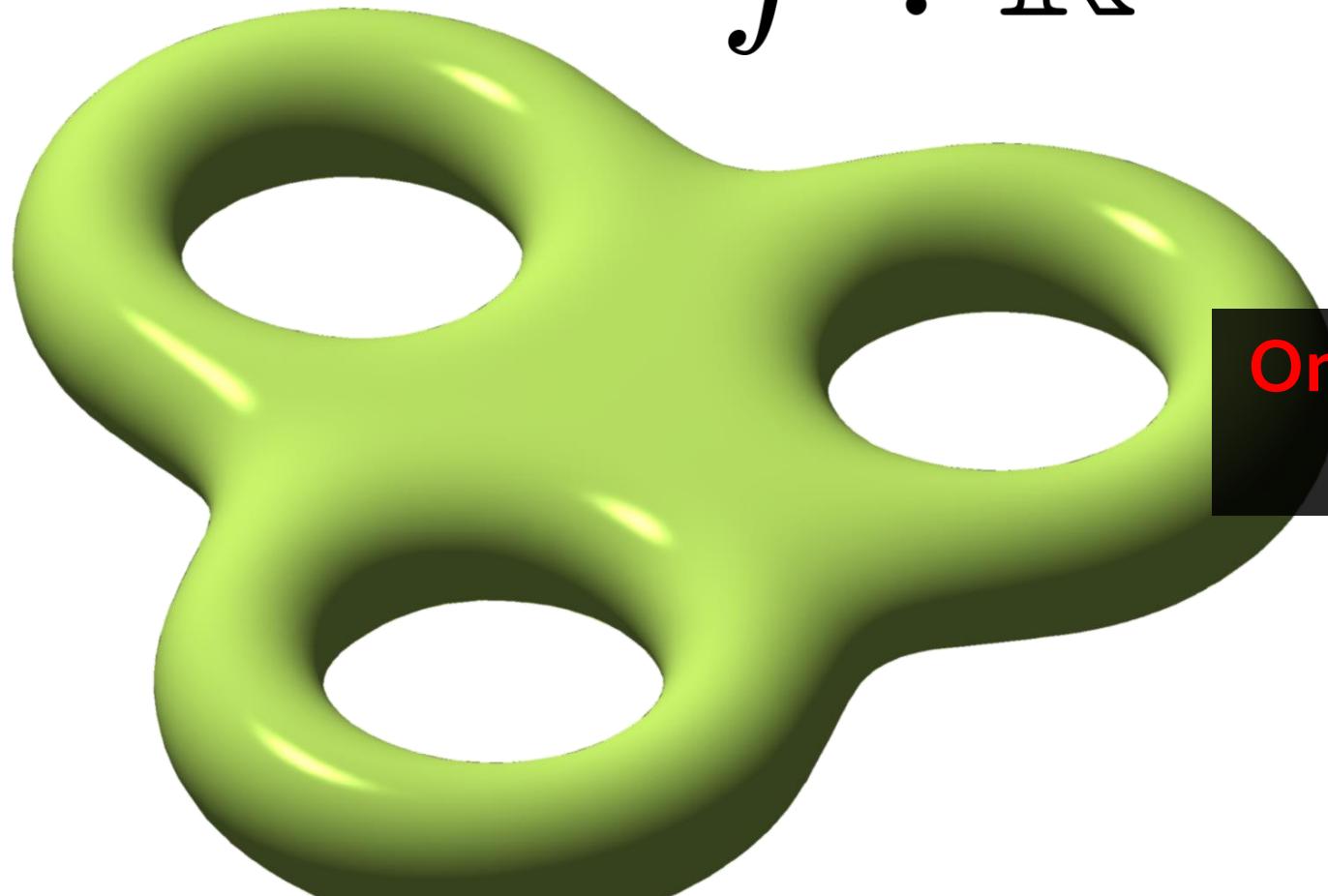
**Matrix condition:**

$Df$  full rank



# Moving Away from Parametric Surfaces

$$f : \mathbb{R}^2 \rightarrow \mathbb{R}^3 ?$$

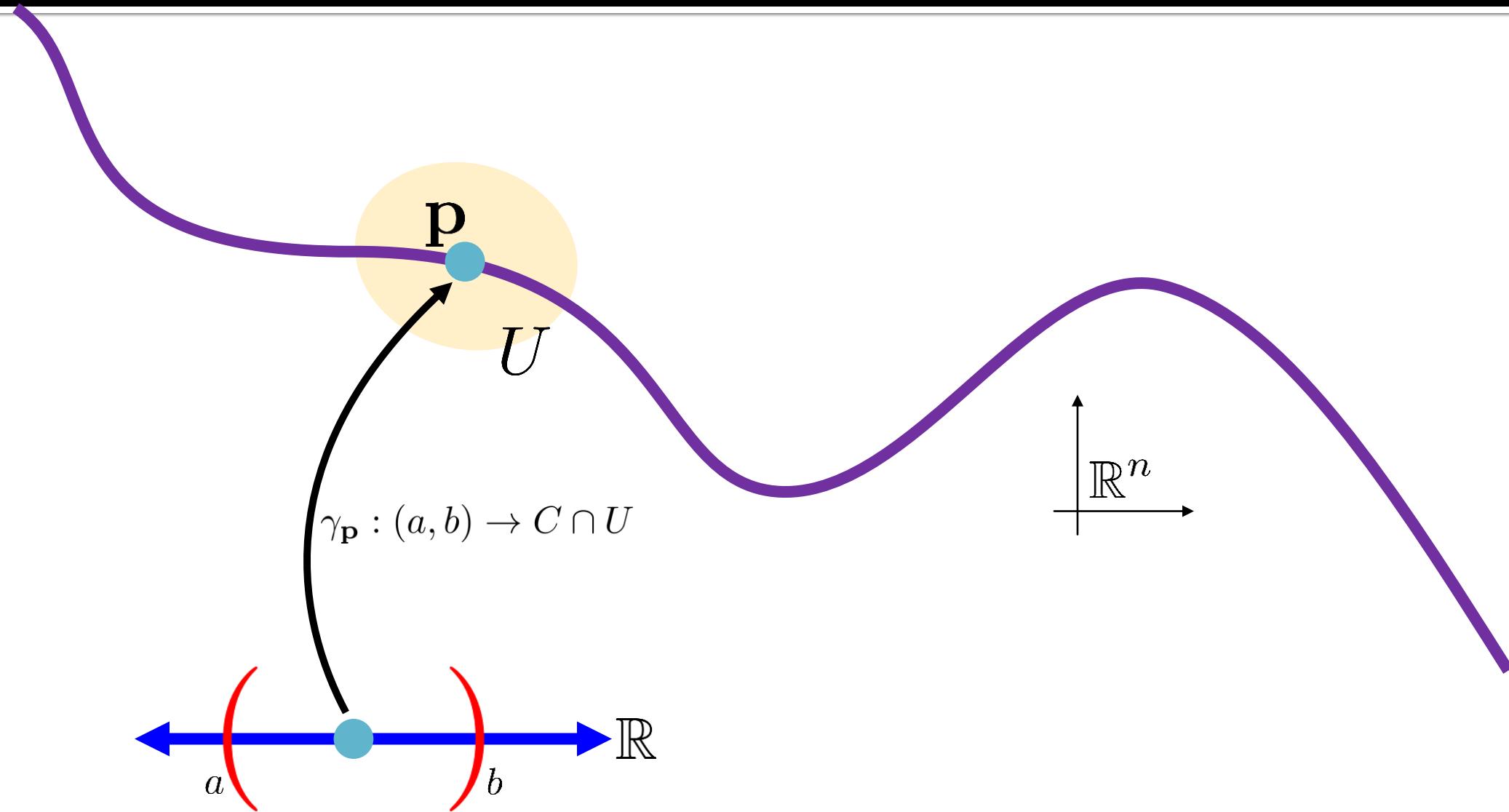


One function isn't  
enough!

*Major difference from curves!*

*Recall:*

# Differential Geometry Definition

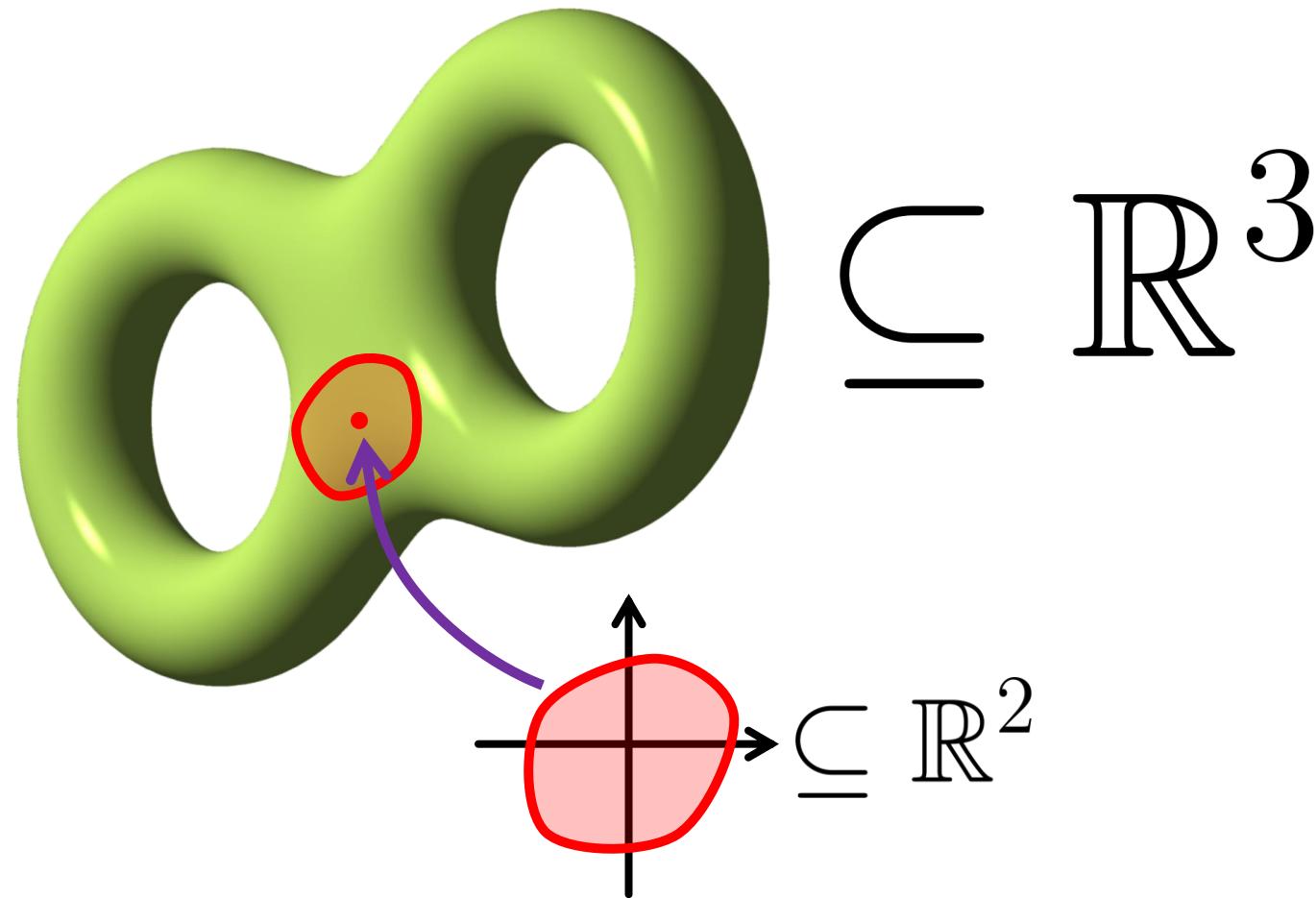


# Just Like Curves

A surface is a  
**set of points**  
with certain properties.

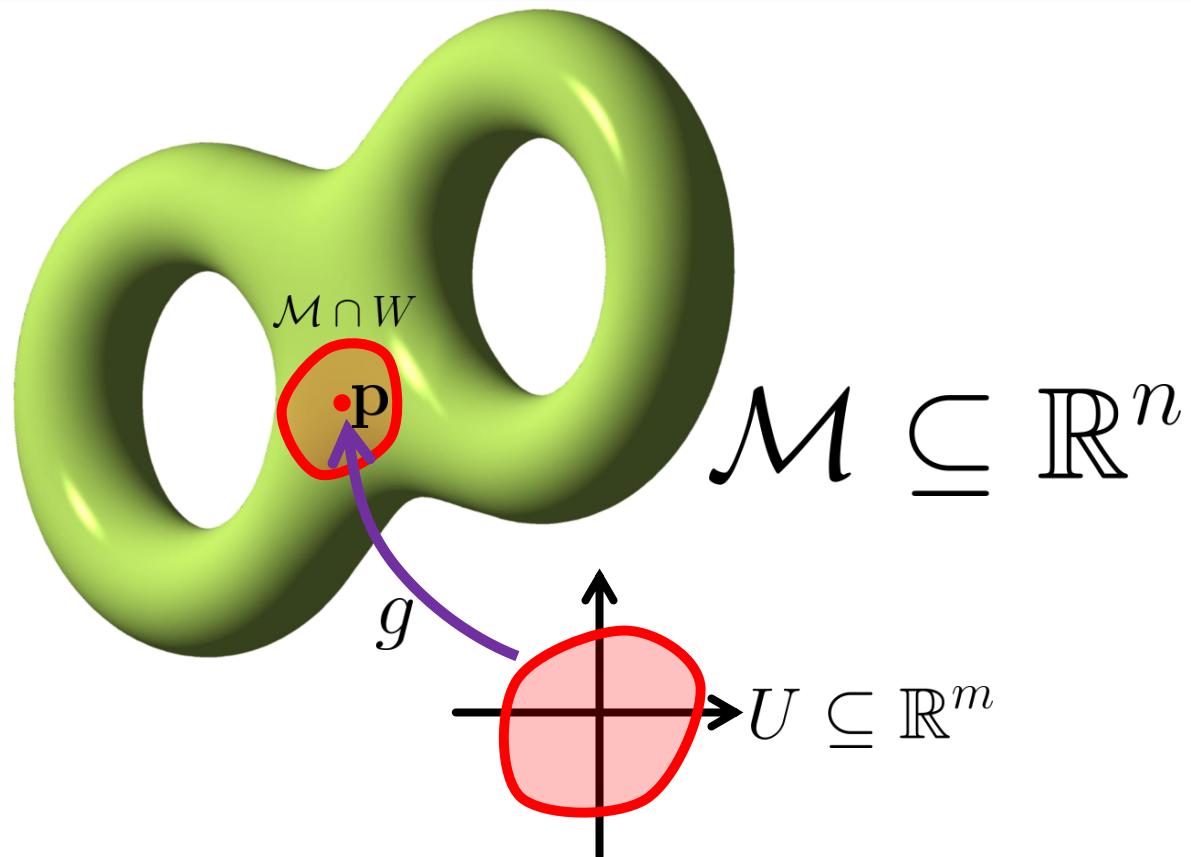
It is not a function.

# Theoretical Definition of Surface



# Theoretical Definition: (Sub)Manifold

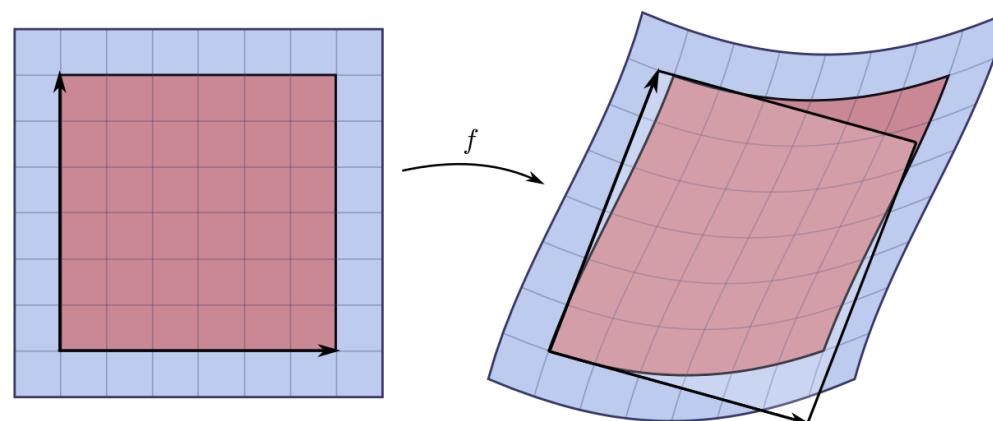
**Definition** (Submanifold of  $\mathbb{R}^n$ , with and without boundary). A set  $\mathcal{M} \subseteq \mathbb{R}^n$  is an  $m$ -dimensional submanifold of  $\mathbb{R}^n$  if for each  $\mathbf{p} \in \mathcal{M}$  there exist open sets  $U \subseteq \mathbb{R}^m$ ,  $W \subseteq \mathbb{R}^n$  and a function  $g : U \cap \mathcal{H}_m \rightarrow \mathcal{M} \cap W$  such that  $\mathbf{p} \in W$  and  $g$  is a one-to-one and smooth map whose Jacobian is rank- $m$  and admitting a continuous inverse  $g^{-1} : W \cap \mathcal{M} \rightarrow U$ .



$$\mathcal{H}_m := \{\mathbf{x} \in \mathbb{R}^m : x^m \geq 0\}$$

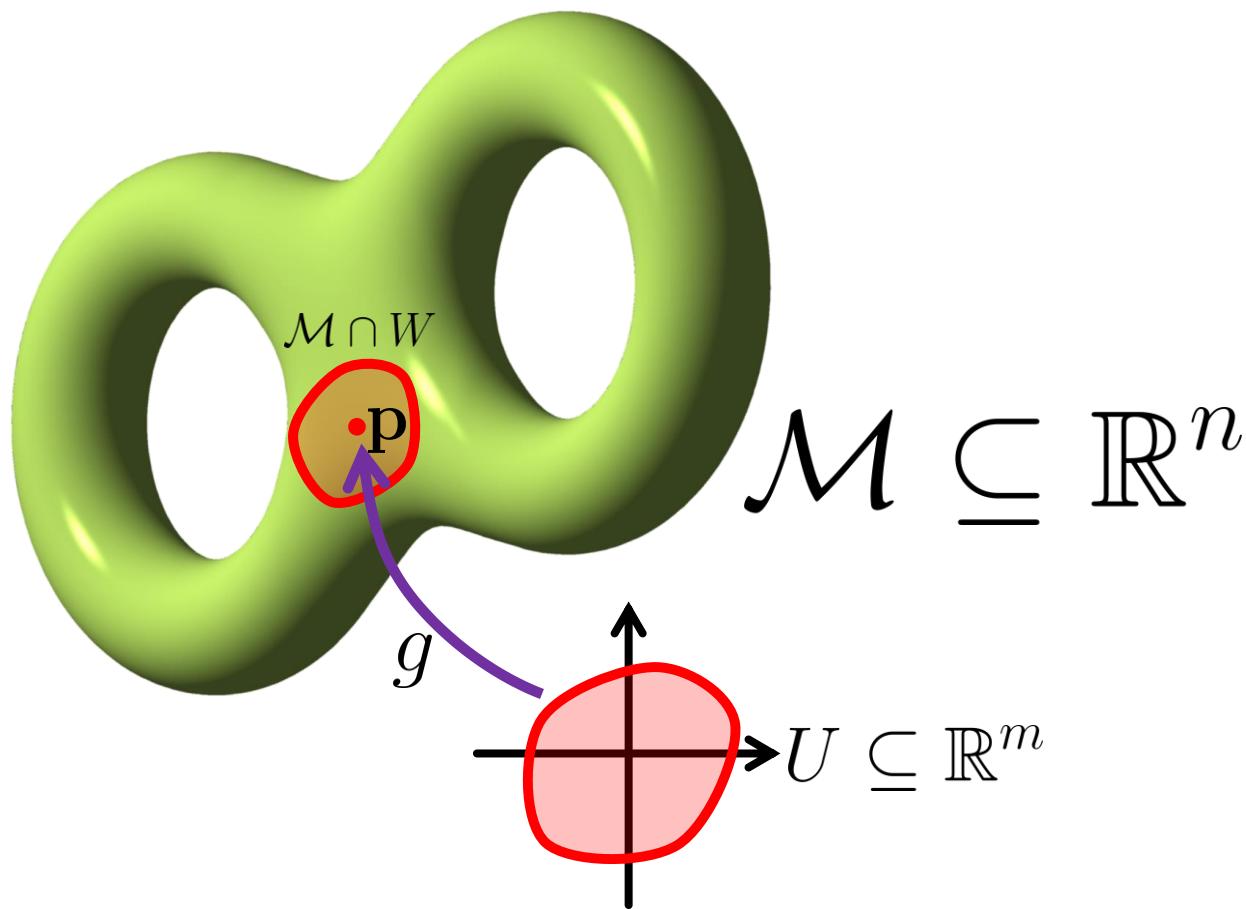
# Differential Geometer's Mantra

A surface is  
locally planar.



# Tangent Space

$$T_p \mathcal{M} = \gamma'(0), \text{ where } \gamma(0) = p$$

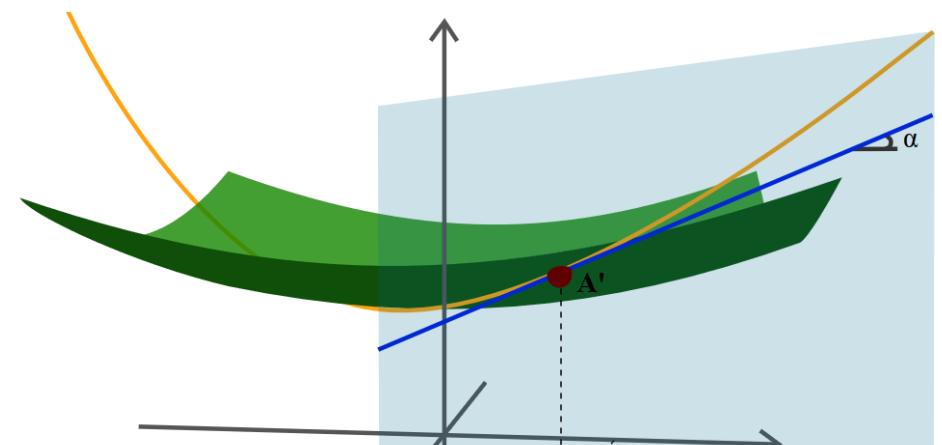


# Recall: Differential

$$df_{\mathbf{x}_0}(\mathbf{v}) := \lim_{h \rightarrow 0} \frac{f(\mathbf{x}_0 + h\mathbf{v}) - f(\mathbf{x}_0)}{h}$$

**Proposition.**  $df_{x_0}$  is a linear operator.

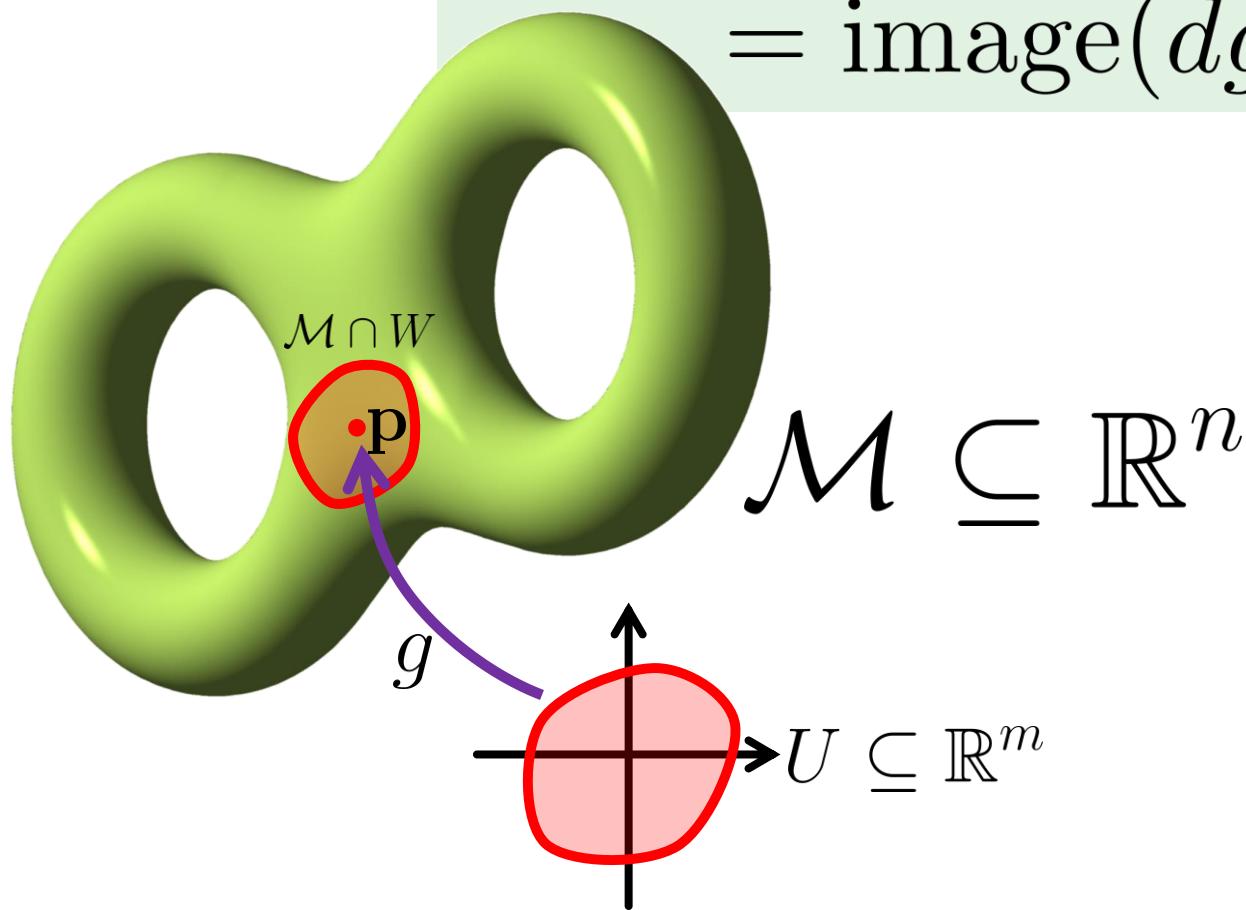
$$df_{\mathbf{x}_0}(\mathbf{v}) = Df(\mathbf{x}_0) \cdot \mathbf{v}$$



Note: Technically we derived the 1D version. Nothing changes!

# Tangent Space

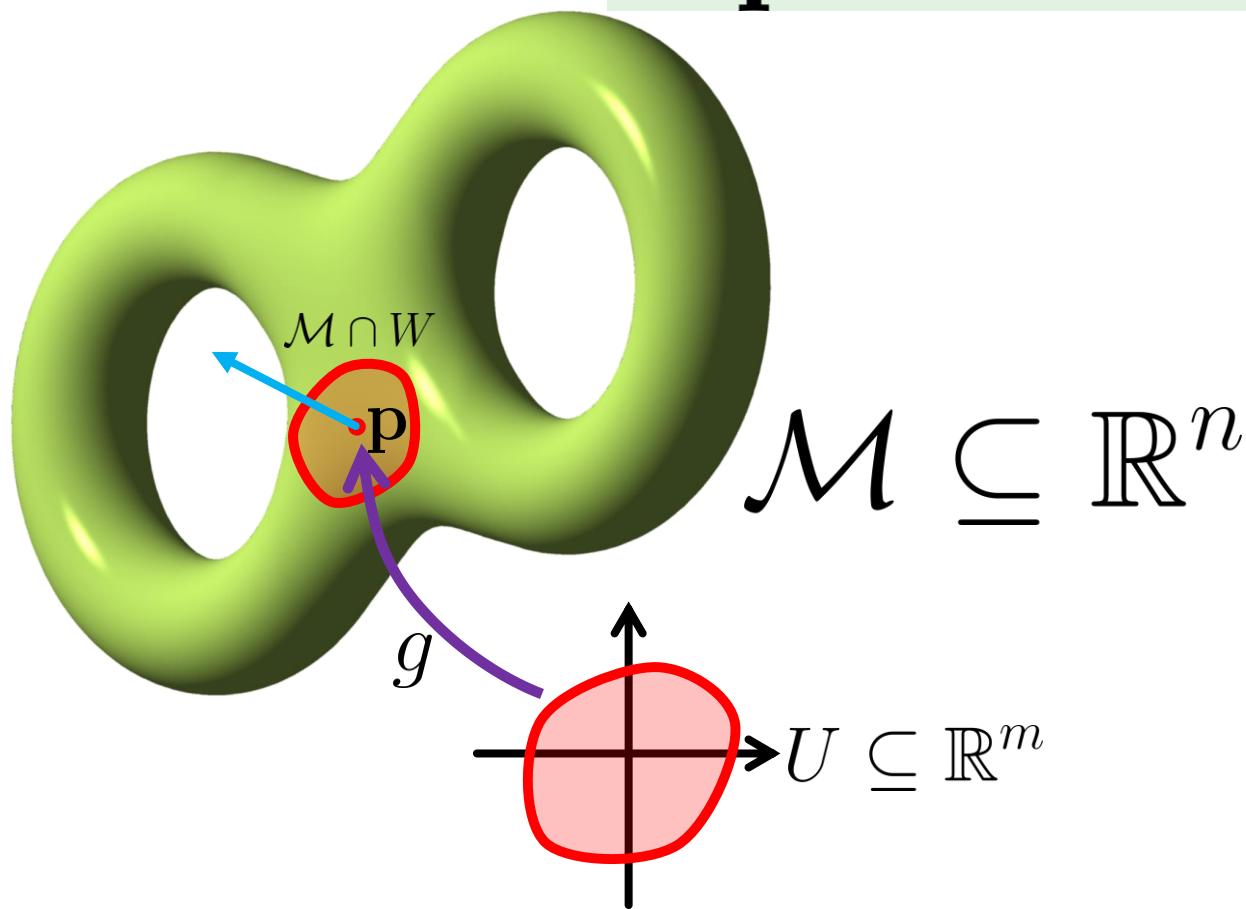
$$T_p \mathcal{M} = \gamma'(0), \text{ where } \gamma(0) = p \\ = \text{image}(dg_{g^{-1}(p)})$$



Skipping:  
Independence of choice of  $g$ .

# Normal Space

$$N_p \mathcal{M} := (T_p \mathcal{M})^\perp$$



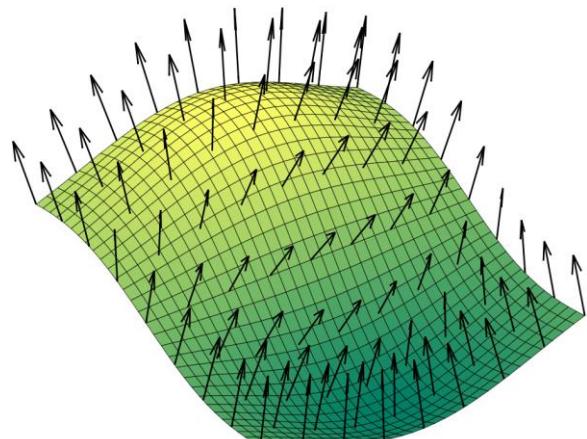
# Orientable Submanifold

*Admits a continuous map*

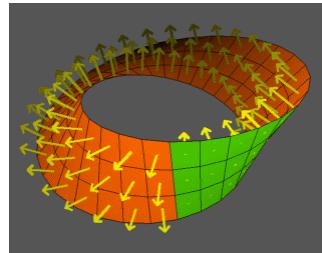
$$n(p) : \mathcal{M} \setminus \partial\mathcal{M} \rightarrow S^{n-1}$$

*with*

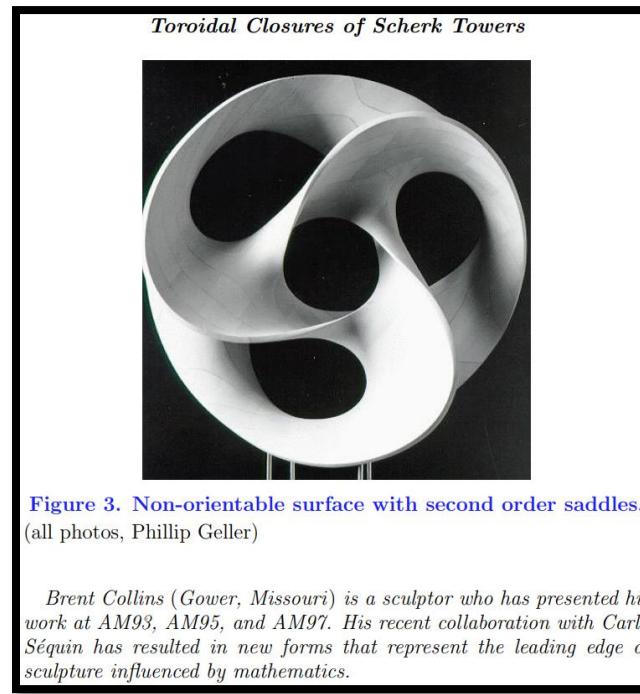
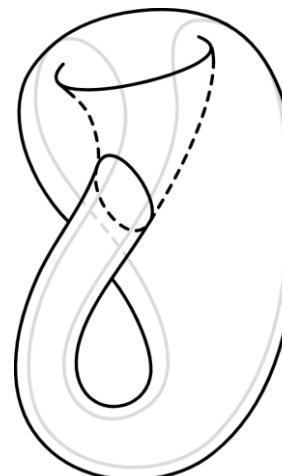
$$n(p) \in N_p \mathcal{M}$$



Orientable

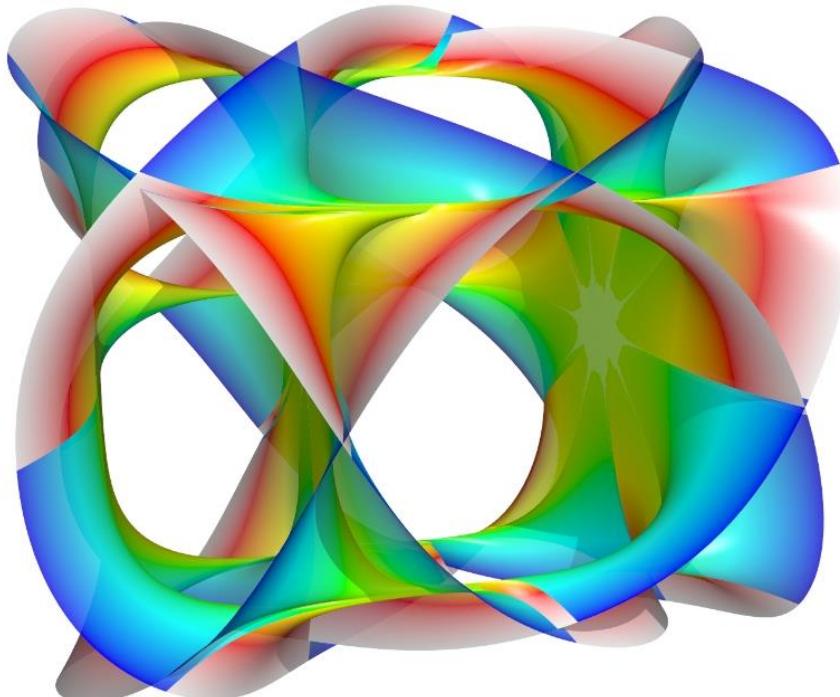


Not Orientable



# More General Definition: Manifold

**Definition 4.2** (Manifold). An  $m$ -dimensional (topological) manifold  $\mathcal{M}$  is a Hausdorff space for which each  $\mathbf{p} \in \mathcal{M}$  admits open sets  $U \subseteq \mathbb{R}^m, W \subseteq \mathcal{M}$  and a homeomorphism (continuous map with continuous inverse)  $g : U \rightarrow W$ .



*To think about:*

No notion of normal!

Tangent vectors exist but have no length!

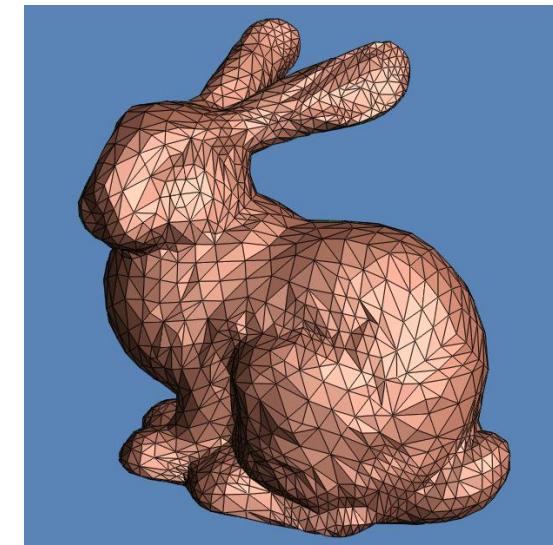
How do you detect orientability?

<http://www.math.sjsu.edu/~simic/Pics/Calabi-Yau.jpg>

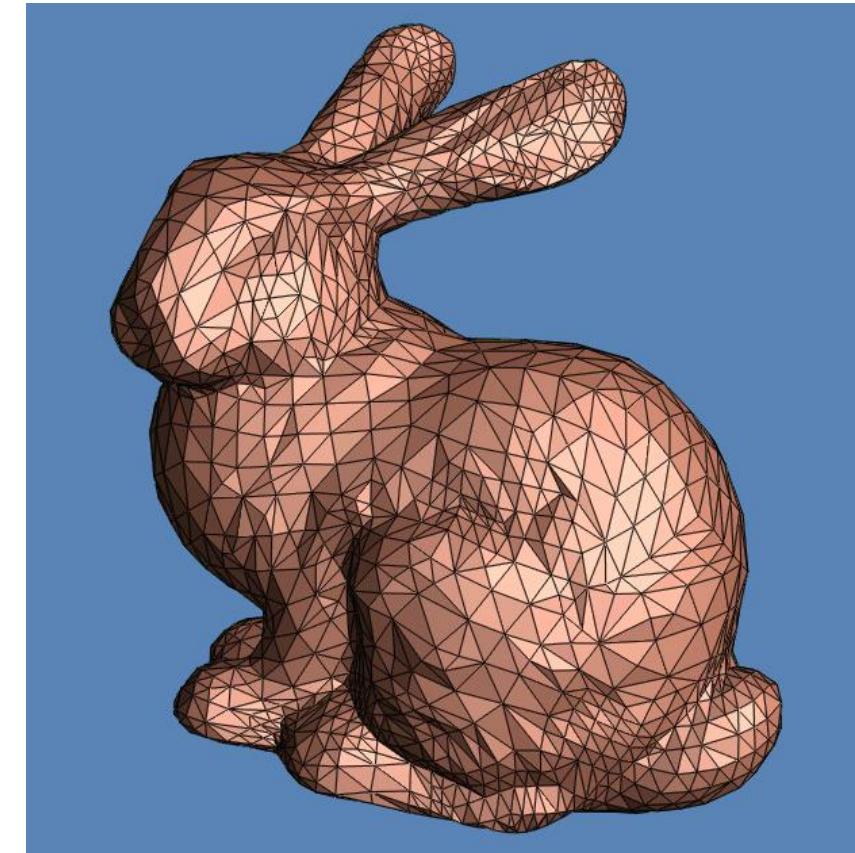
No Euclidean embedding

# Discrete Problem

**What is a discrete surface?  
How do you store it?**



# Common Representation



<http://graphics.stanford.edu/data/3Dscanrep/stanford-bunny-cebal-ssh.jpg>  
<http://www.stat.washington.edu/wxs/images/BUNMID.gif>

Triangle mesh

# Triangle Mesh

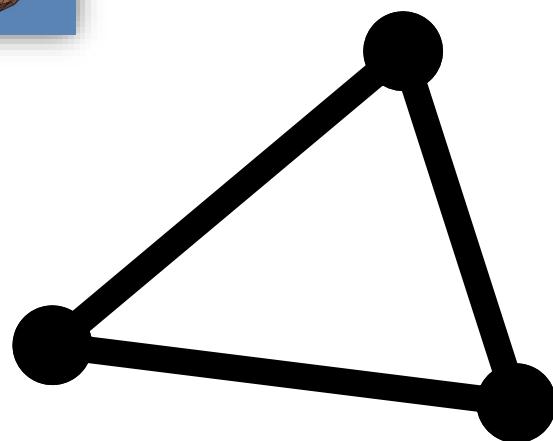
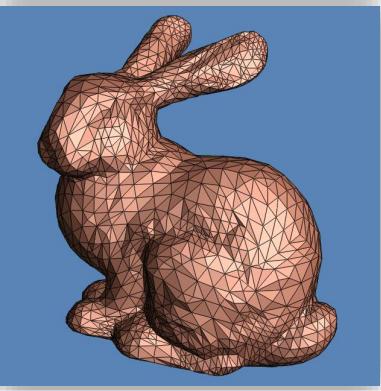
$$V = (v_1, v_2, \dots, v_n) \subset \mathbb{R}^3$$

$$E = (e_1, e_2, \dots, e_k) \subseteq V \times V$$

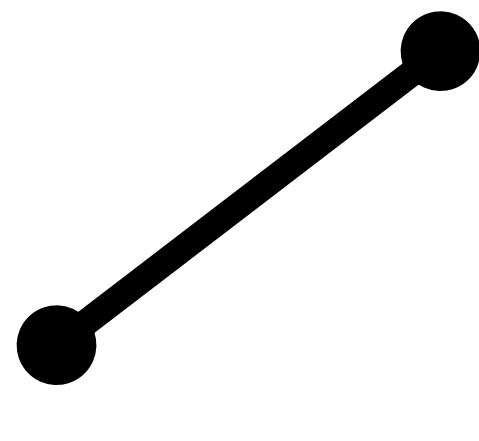
$$F = (f_1, f_2, \dots, f_m) \subseteq V \times V \times V$$

Plus manifold  
topological conditions

# Dimensionality Structure



**Face**  
**Dimension 2**



**Edge**  
**Dimension 1**



**Simplicial  
complex**

**Vertex**  
**Dimension 0**

# Is This a Discrete Surface?

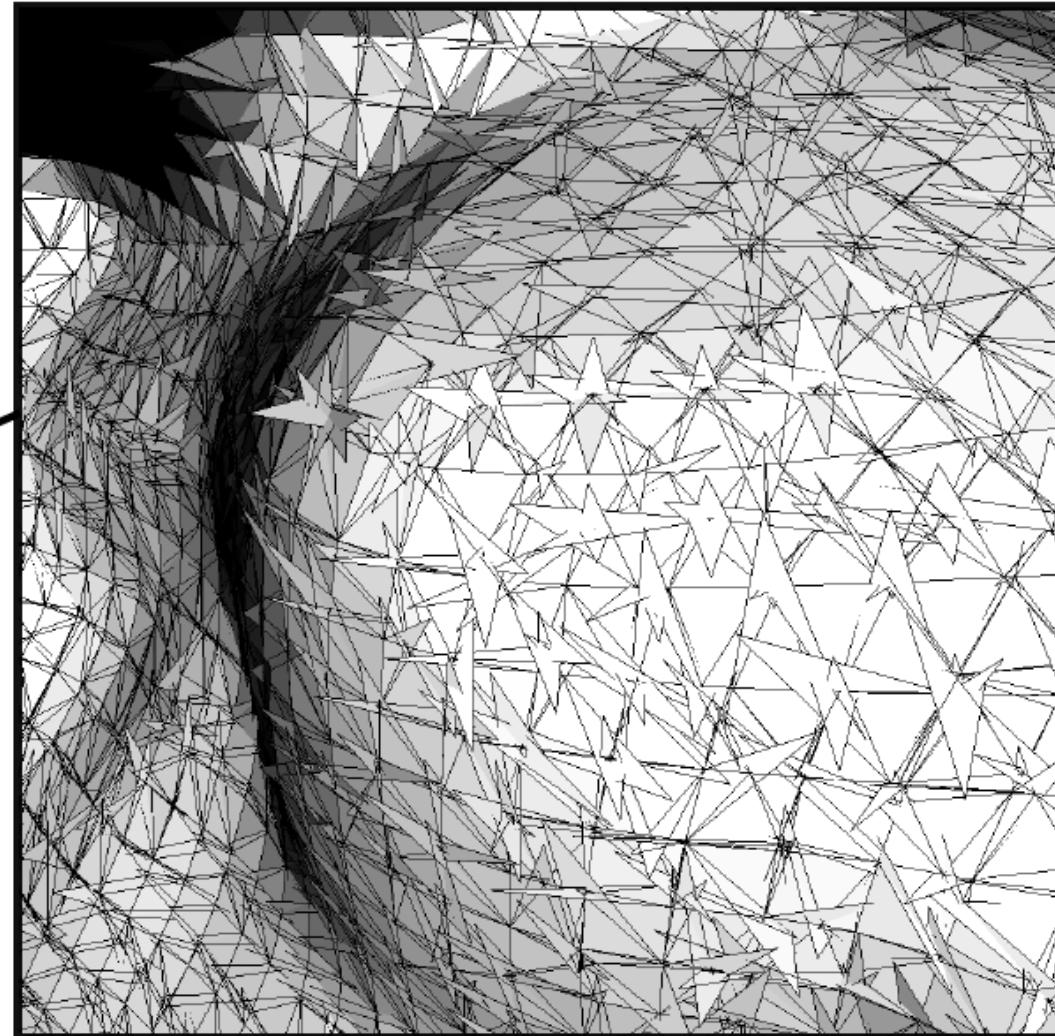
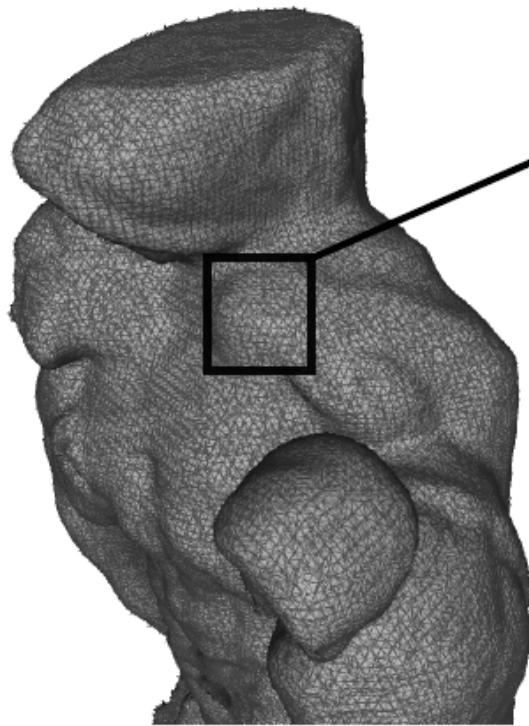


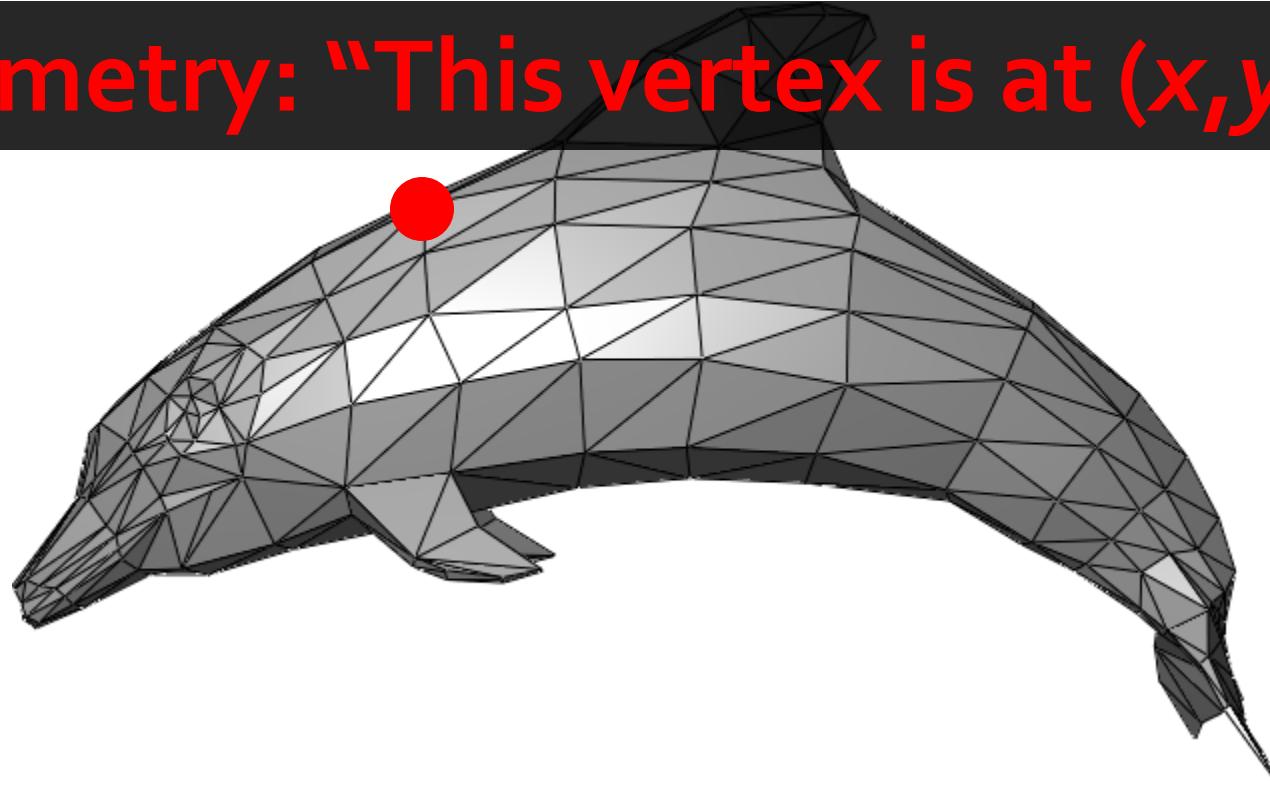
Image from "Global Parametrization of Range Image Sets" (Pietroni et al.)

**Topology** [tuh-pol-uh-jee]:  
The study of geometric  
properties that remain  
invariant under certain  
transformations



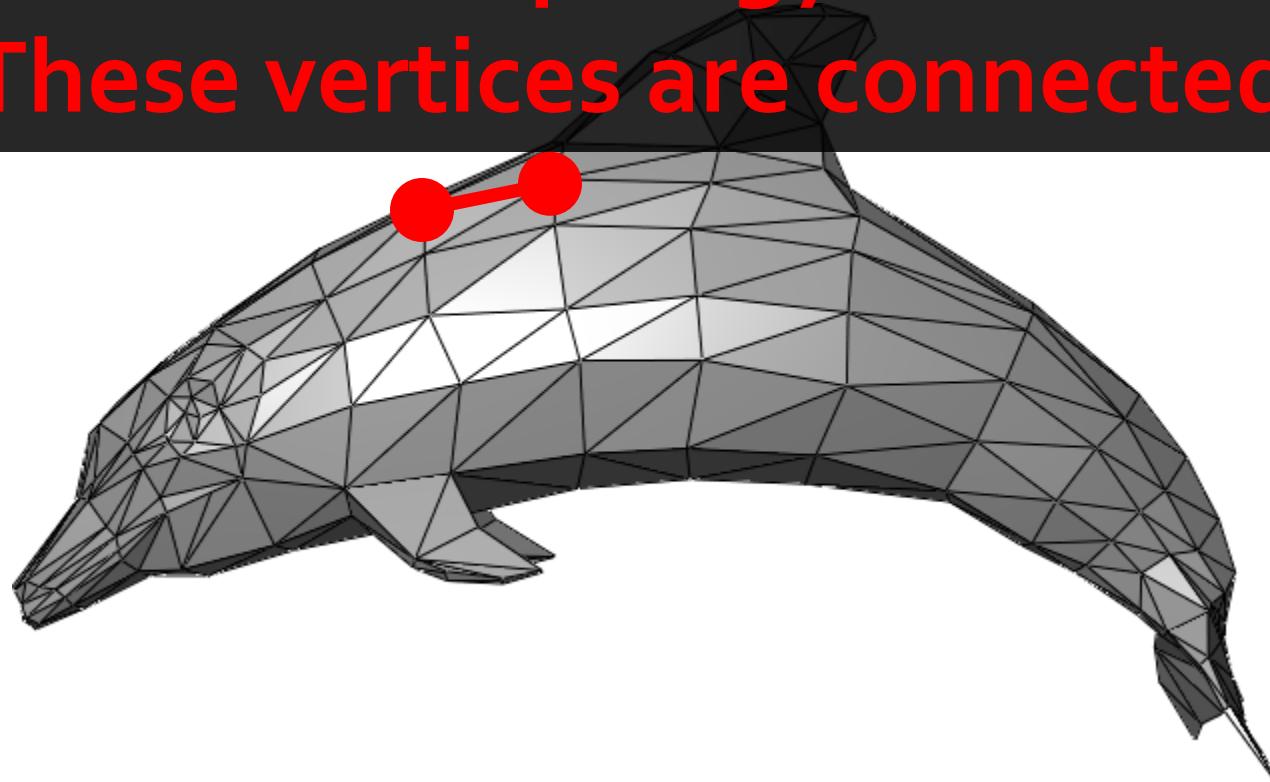
# Mesh Topology vs. Geometry

Geometry: "This vertex is at  $(x,y,z)$ ."



# Mesh Topology vs. Geometry

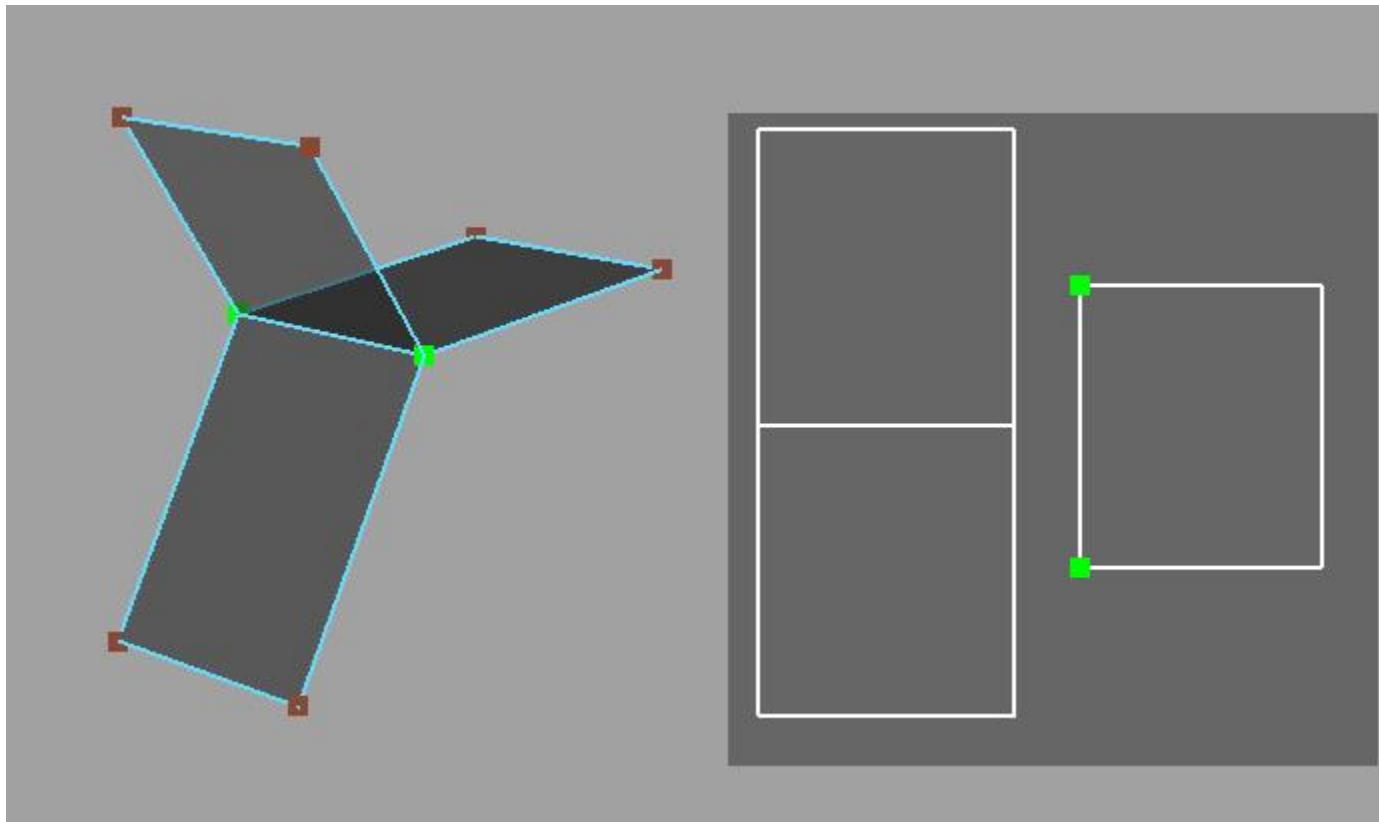
**Topology:**  
**“These vertices are connected.”**



*To read: More general story*

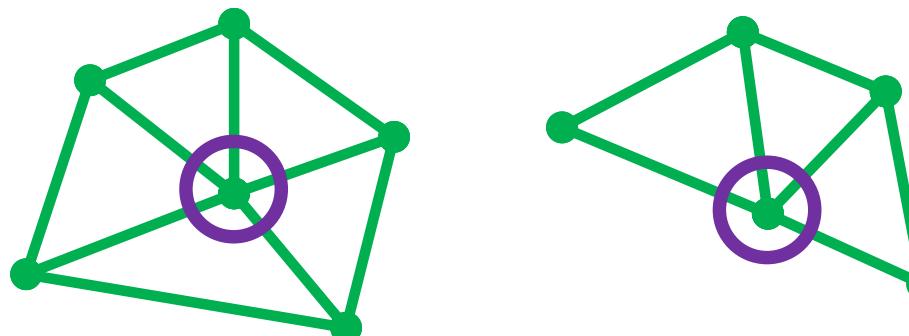
“Orientable combinatorial manifold”

# Nonmanifold Edge



# Manifold Triangle Mesh

1. Each **edge** is incident to one or two faces
2. **Faces** incident to a vertex form a closed or open fan



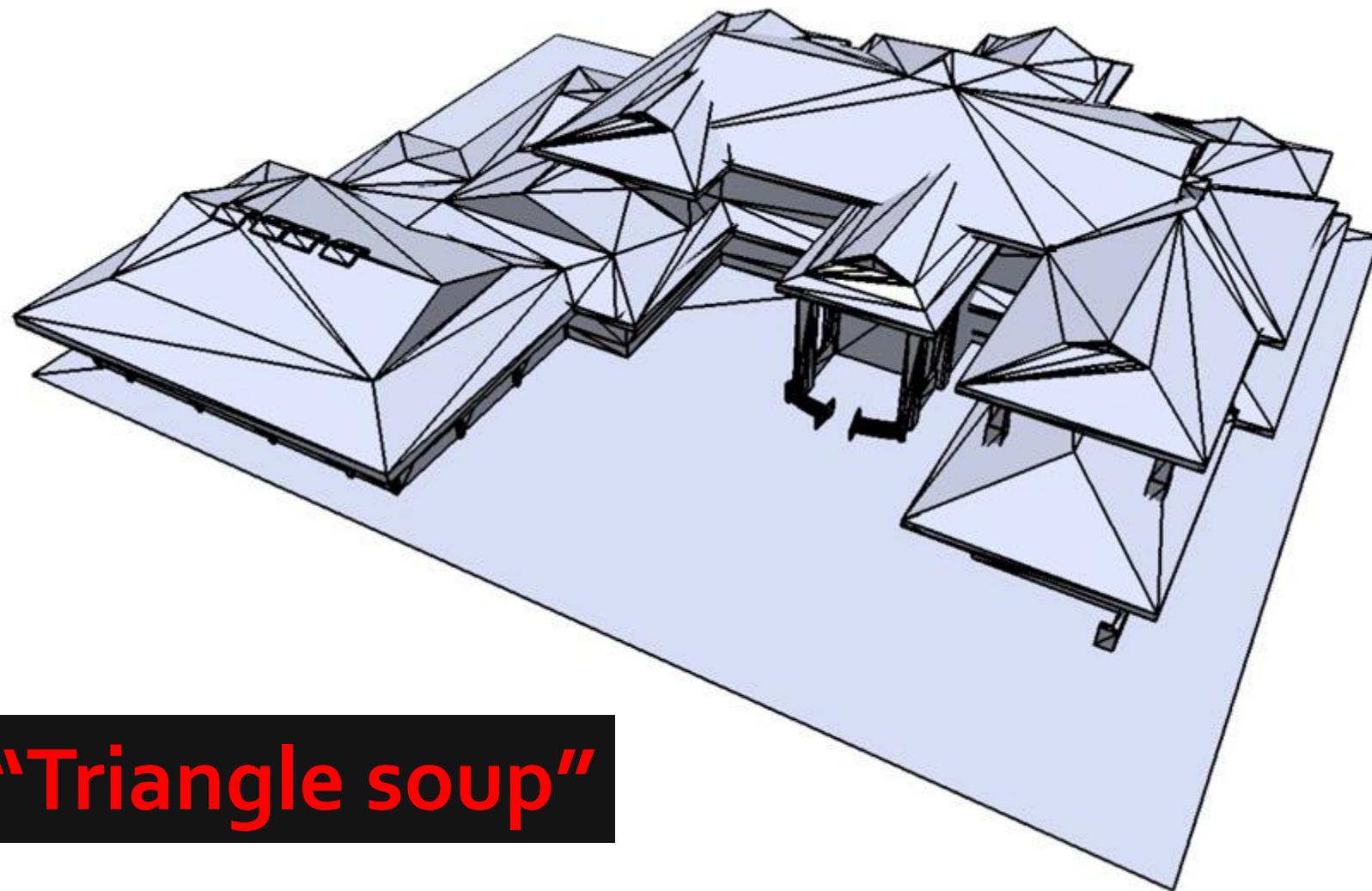
# Manifold Triangle Mesh

1. Each **edge** is incident to one or two faces
2. **Faces** incident to a vertex form a closed or open fan

Assume meshes are manifold  
(for now)



# Easy-to-Violate Assumption



**“Triangle soup”**

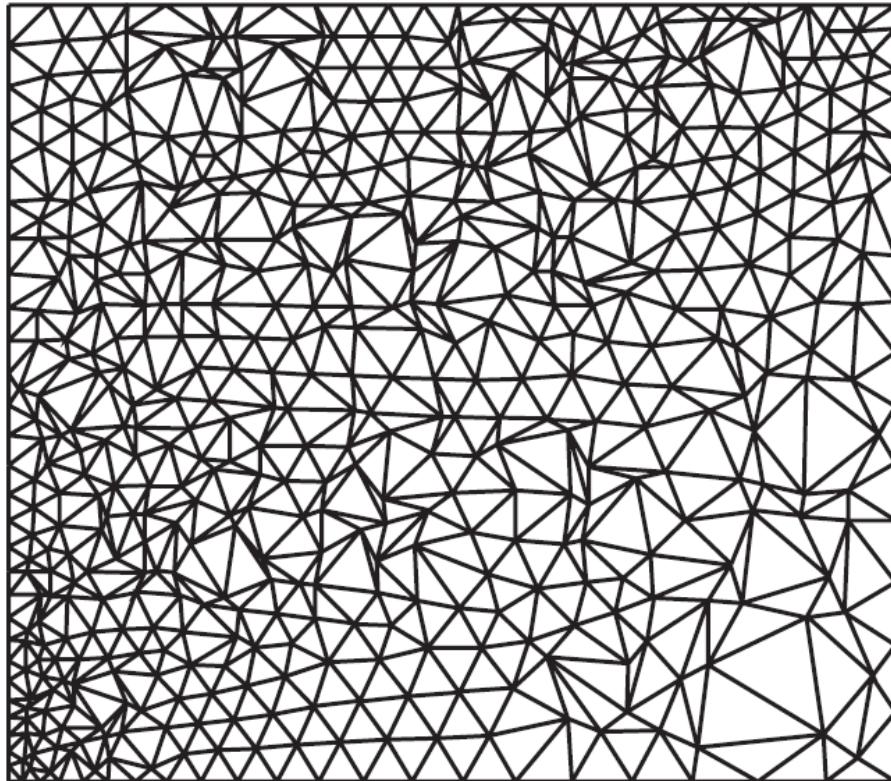
# Basic Observation

Piecewise linear faces are  
reasonable building blocks.

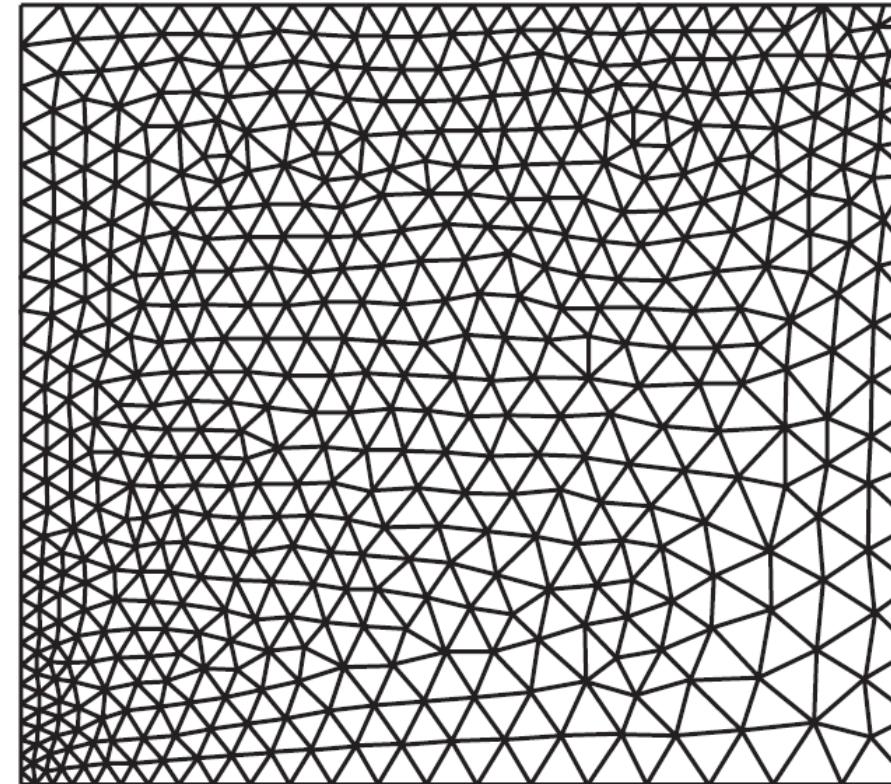
# Additional Advantages

- Simple to render
- Arbitrary topology possible
- Basis for subdivision, refinement

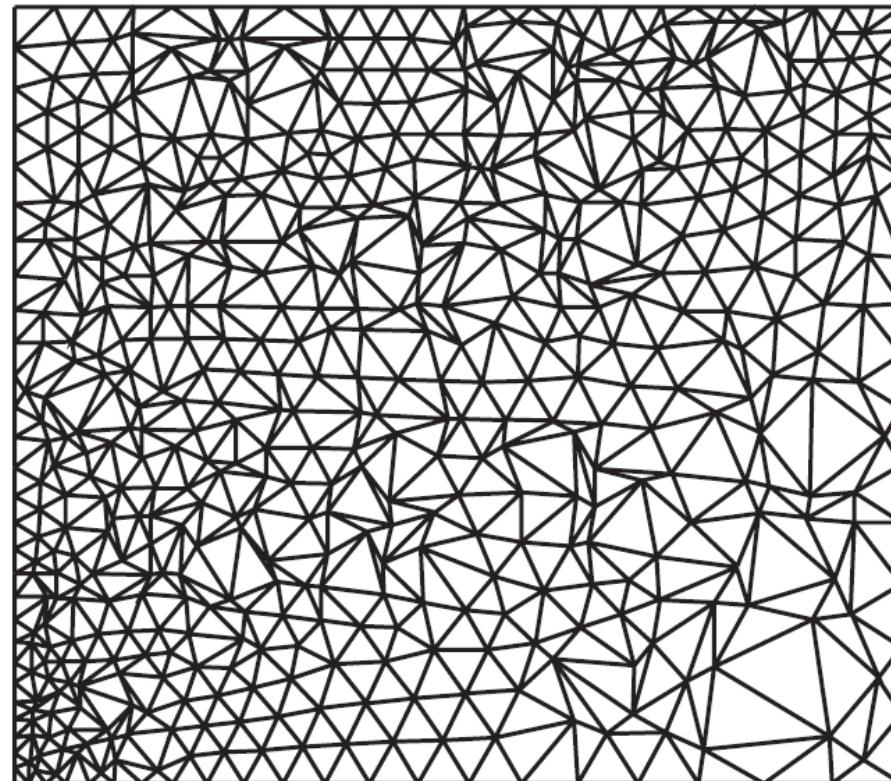
# Invalid Meshes vs. Bad Meshes



**Nonuniform  
areas and angles**

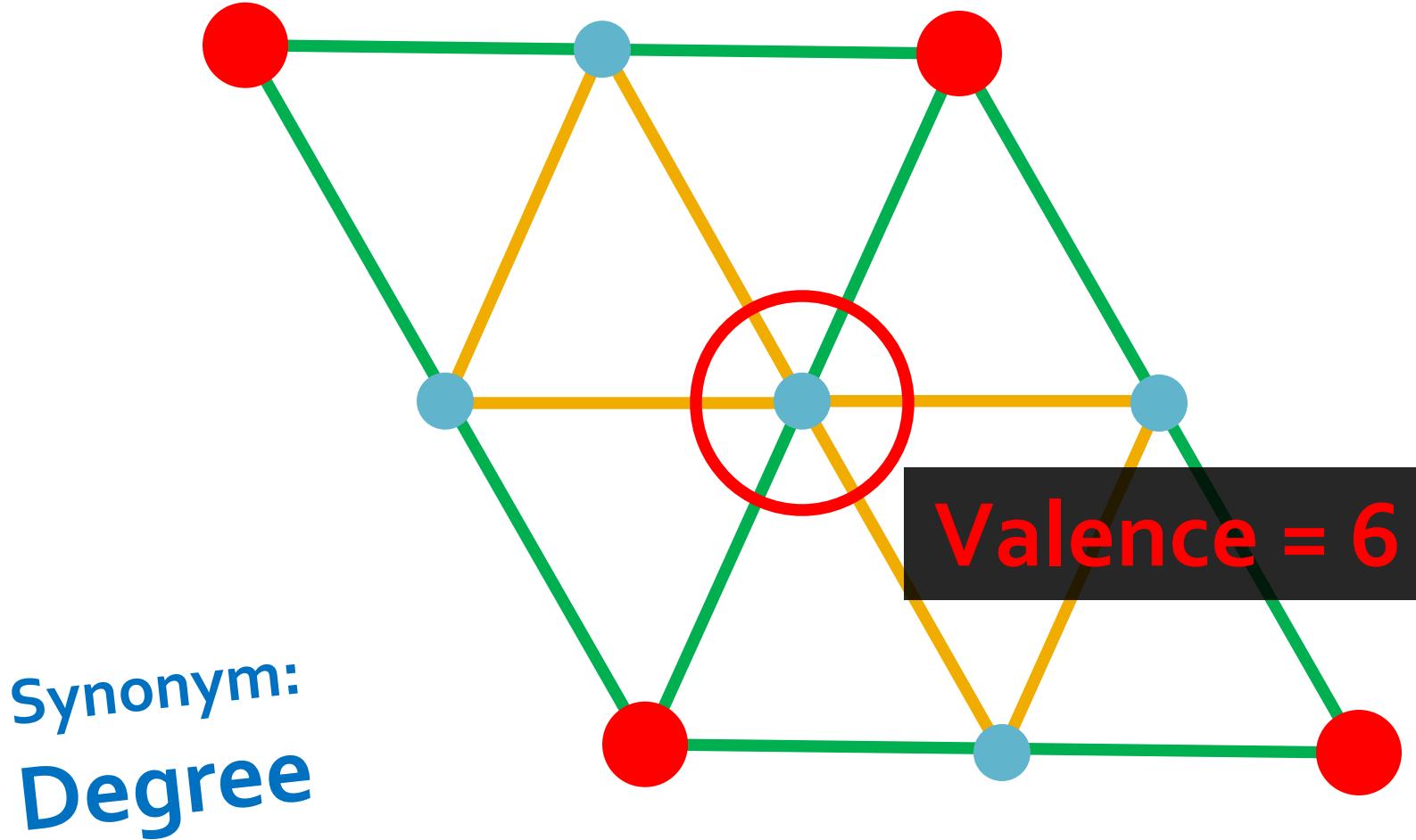


# Why is Meshing an Issue?



**How to you interpret  
one value per vertex?**

# Returning to Topology: Valence



# Euler Characteristic for Meshes

$$V - E + F := \chi$$

$$\chi = 2 - 2g$$



$g = 0$



$g = 1$

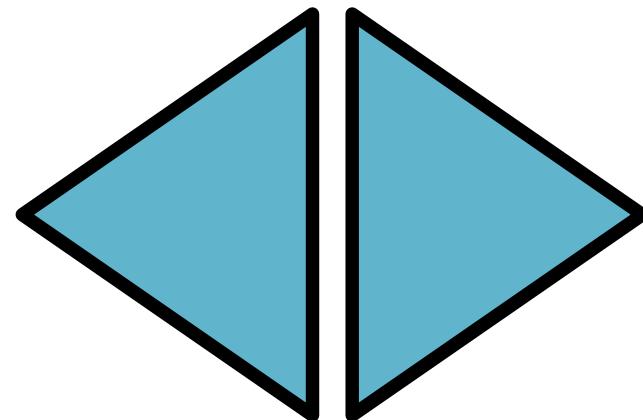


$g = 2$

# Consequences for Triangle Meshes

$$V - E + F := \chi$$

“Each edge is adjacent to two faces. Each face has three edges.”



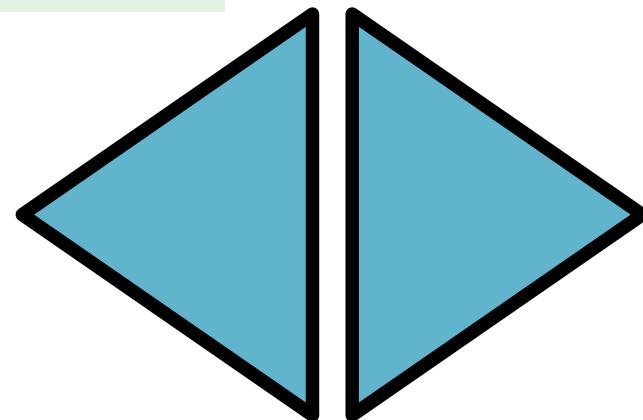
$$2E = 3F$$

Closed mesh: Easy estimates!

# Consequences for Triangle Meshes

$$V - \frac{1}{2}F := \chi$$

“Each edge is adjacent to two faces. Each face has three edges.”



$$2E = 3F$$

Closed mesh: Easy estimates!

# Consequences for Triangle Meshes

$$V - \frac{1}{2}F := \chi$$

Big number      Small number

$$F \approx 2V$$

A diagram illustrating the Euler characteristic formula for a triangle mesh. On the left, a black box contains the text "Big number". In the center, a light green box contains the formula  $V - \frac{1}{2}F := \chi$ . On the right, another black box contains the text "Small number". A red arrow points downwards from the center box to a light green box containing the approximation  $F \approx 2V$ .

Closed mesh: Easy estimates!

# Consequences for Triangle Meshes

$$E \approx 3V$$

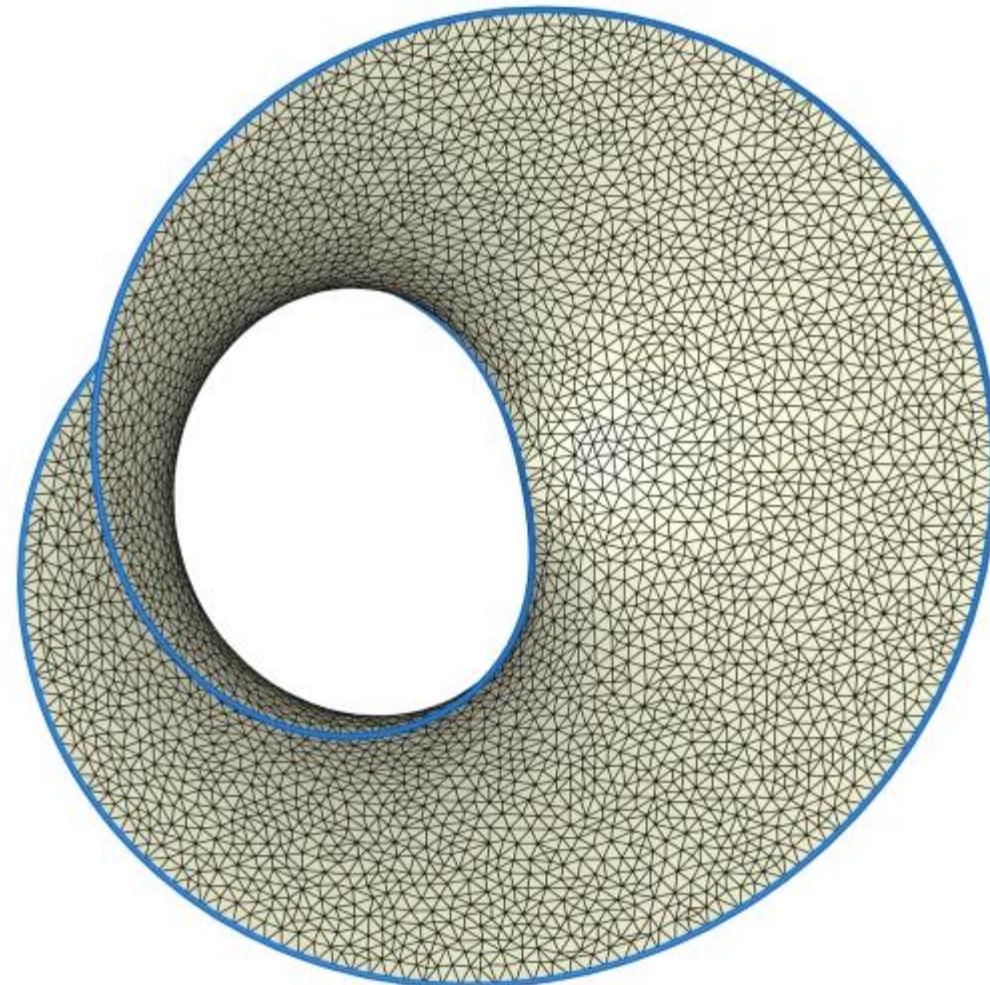
$$F \approx 2V$$

average valence  $\approx 6$

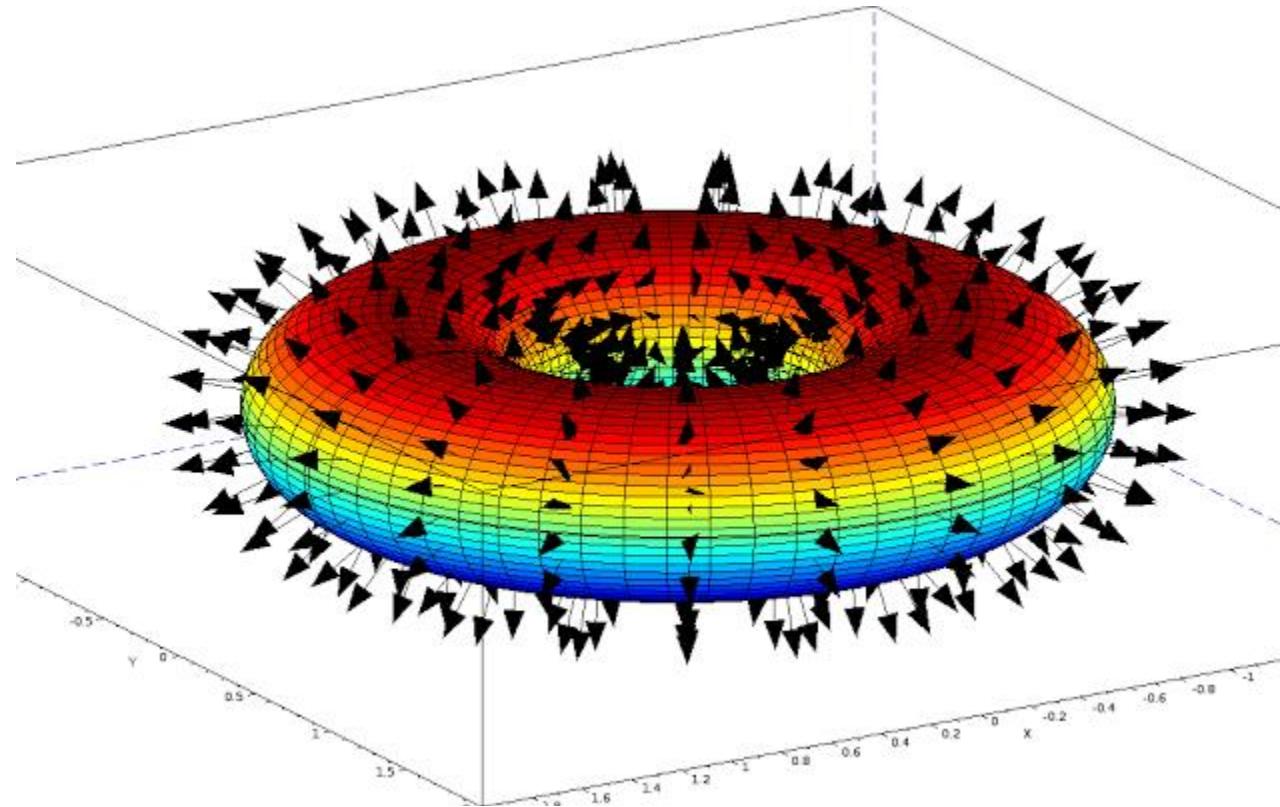
*Why?!*

General estimates

# Orientability



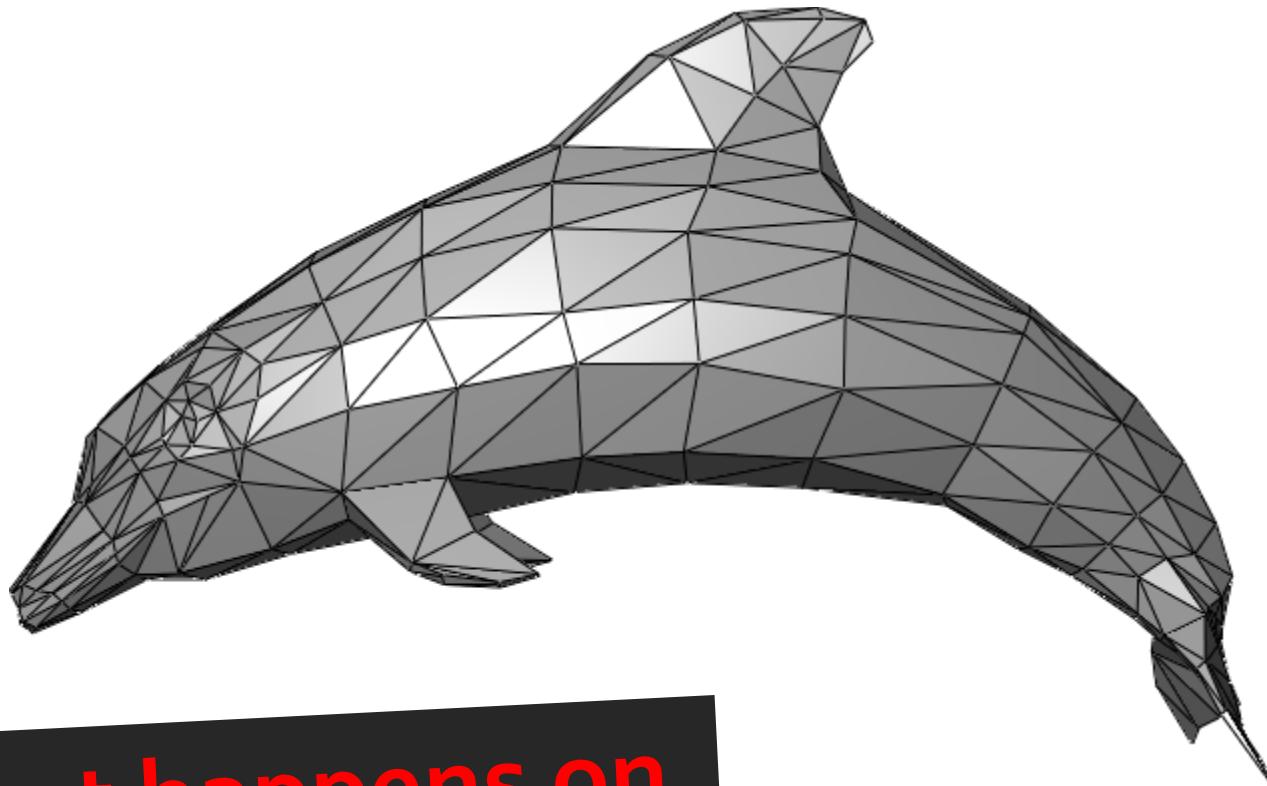
# Smooth Surface Definition



[https://lh3.googleusercontent.com/-njXPH7NSX5c/VV4PXu54n9I/AAAAAAA AJM/m6TGg3ZVKGE/w640-h400-p-k/normal\\_tore.png](https://lh3.googleusercontent.com/-njXPH7NSX5c/VV4PXu54n9I/AAAAAAA AJM/m6TGg3ZVKGE/w640-h400-p-k/normal_tore.png)

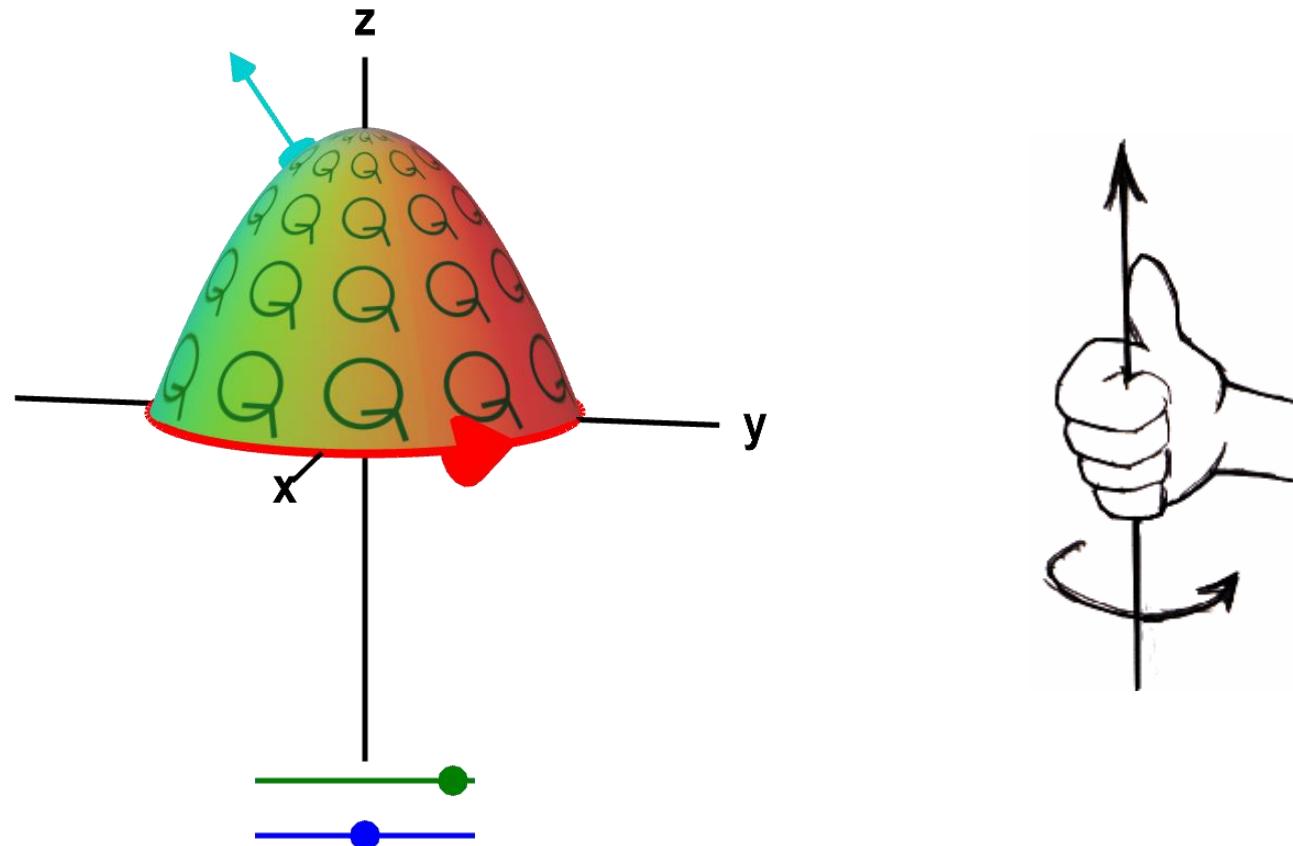
**Continuous field of normal vectors**

# Issue on Triangle Mesh

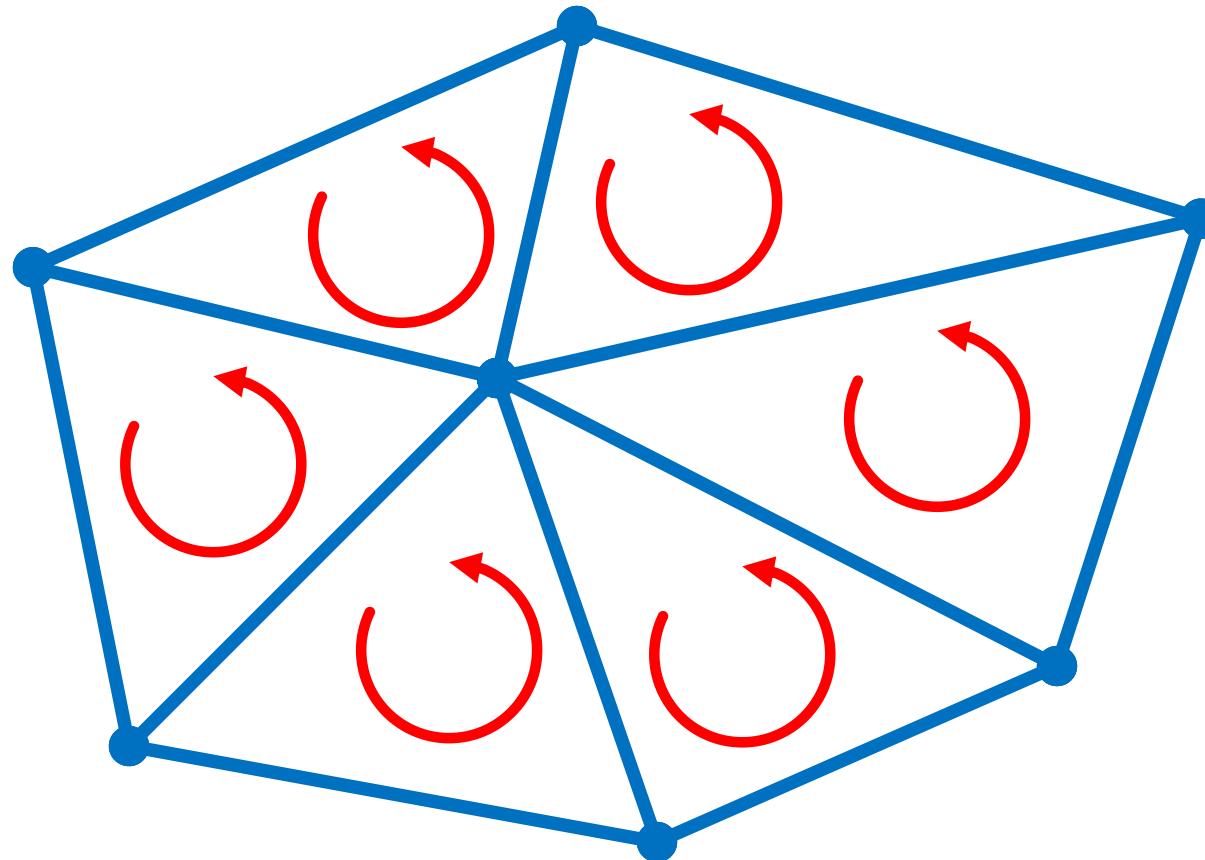


What happens on  
edges/vertices?

# Right-Hand Rule



# Discrete Orientability



Normal field isn't continuous

# Data Structures for Surfaces

**Must represent geometry  
and topology.**

# Simplest Format

```
x1 y1 z1 / x2 y2 z2 / x3 y3 z3  
x1 y1 z1 / x2 y2 z2 / x3 y3 z3  
x1 y1 z1 / x2 y2 z2 / x3 y3 z3  
x1 y1 z1 / x2 y2 z2 / x3 y3 z3  
x1 y1 z1 / x2 y2 z2 / x3 y3 z3
```

No topology!

glBegin(GL\_TRIANGLES)

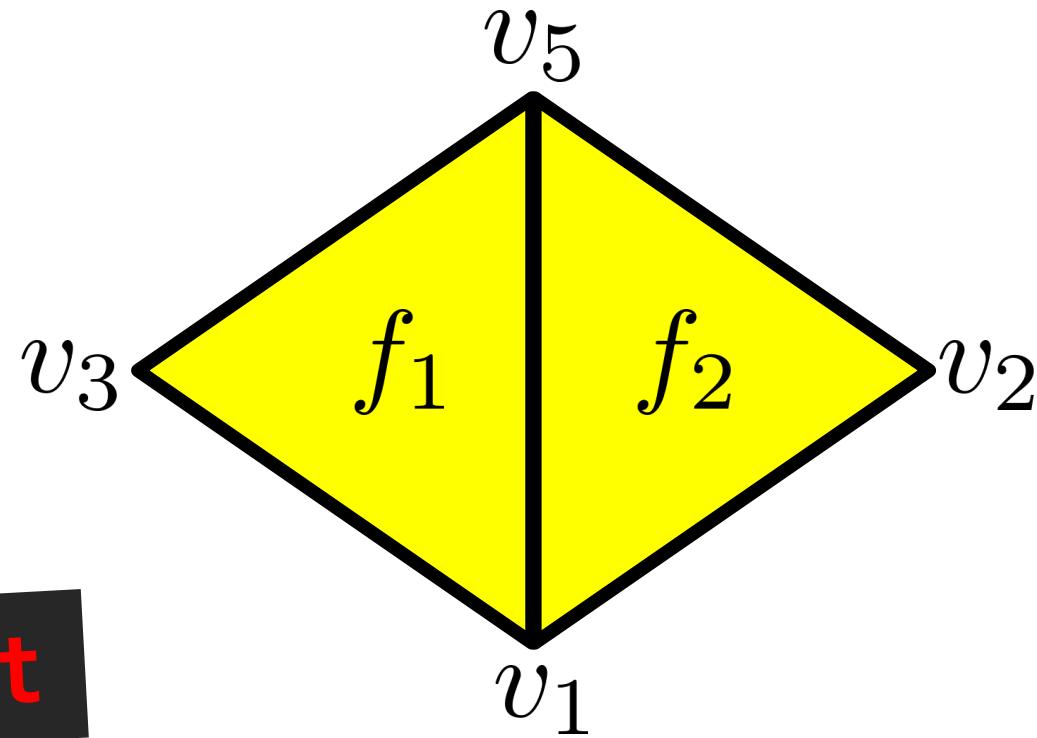
CS 468 2011 (M. Ben-Chen), other slides

Triangle soup

# Factor Out Vertices

```
f 1 5 3  
f 5 1 2  
...  
v 0.2 1.5 3.2  
v 5.2 4.1 8.9  
...
```

.obj format



# Simple Mesh Smoothing

```
for i=1 to n
    for each vertex v
        v = .5*v +
            .5*(average of neighbors);
```

# Typical Queries

- Neighboring vertices to a vertex
- Neighboring faces to an edge
- Edges adjacent to a face
- Edges adjacent to a vertex
- ...

Mostly localized

# Typical Queries

- **Neighboring vertices to a vertex**
- **Neighboring faces to an edge**
- **Edges adjacent to a face**
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- ...

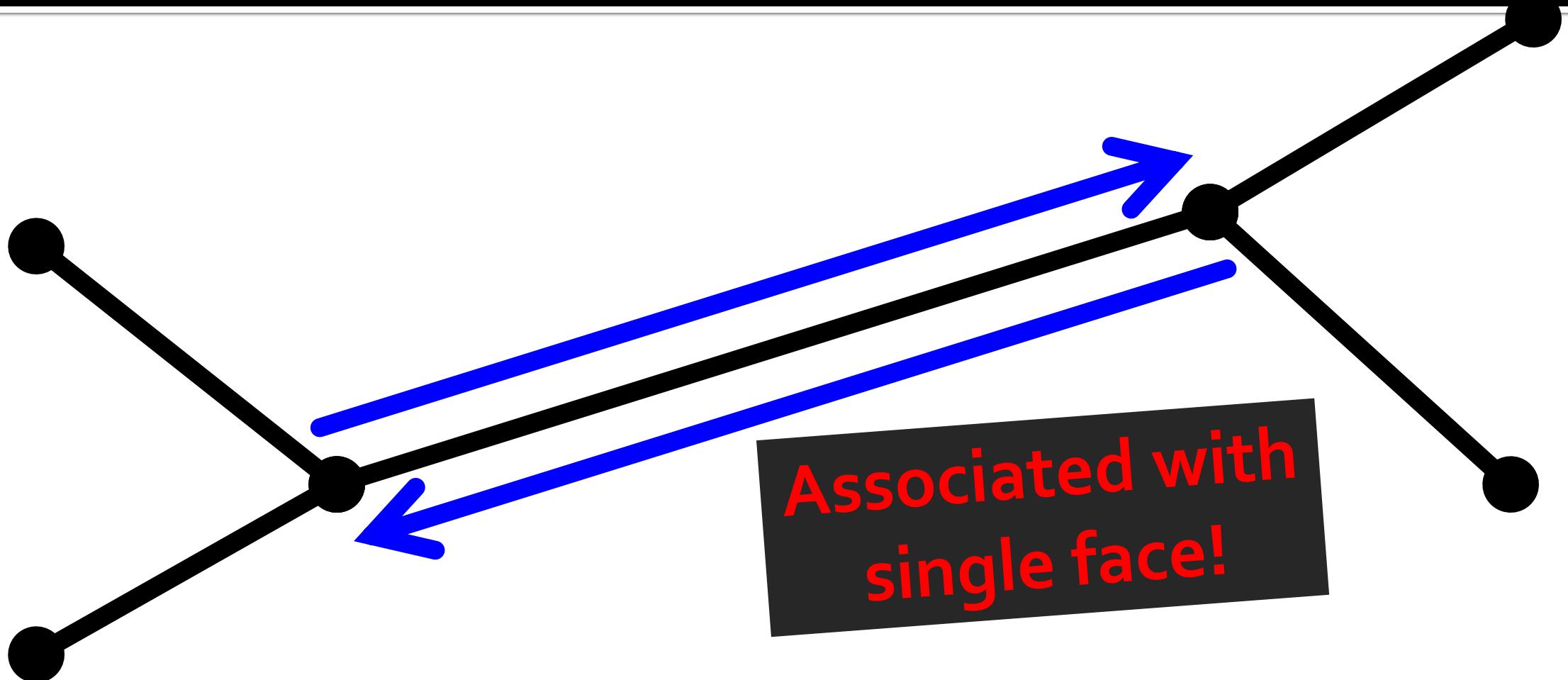
Mostly localized

# Pieces of Halfedge Data Structure

- Vertices
- Faces
- Half-edges

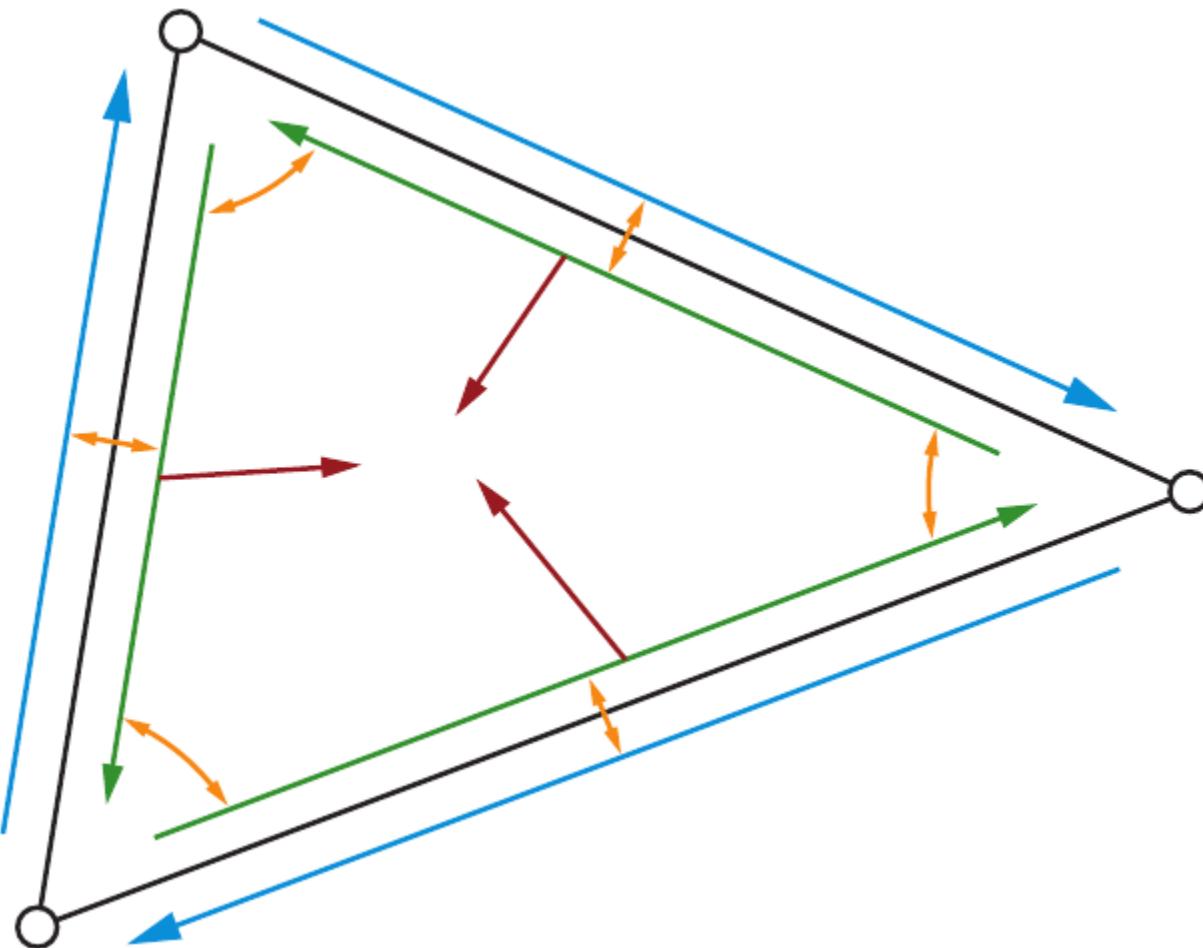
Structure tuned for meshes

# Halfedge?



Oriented edge

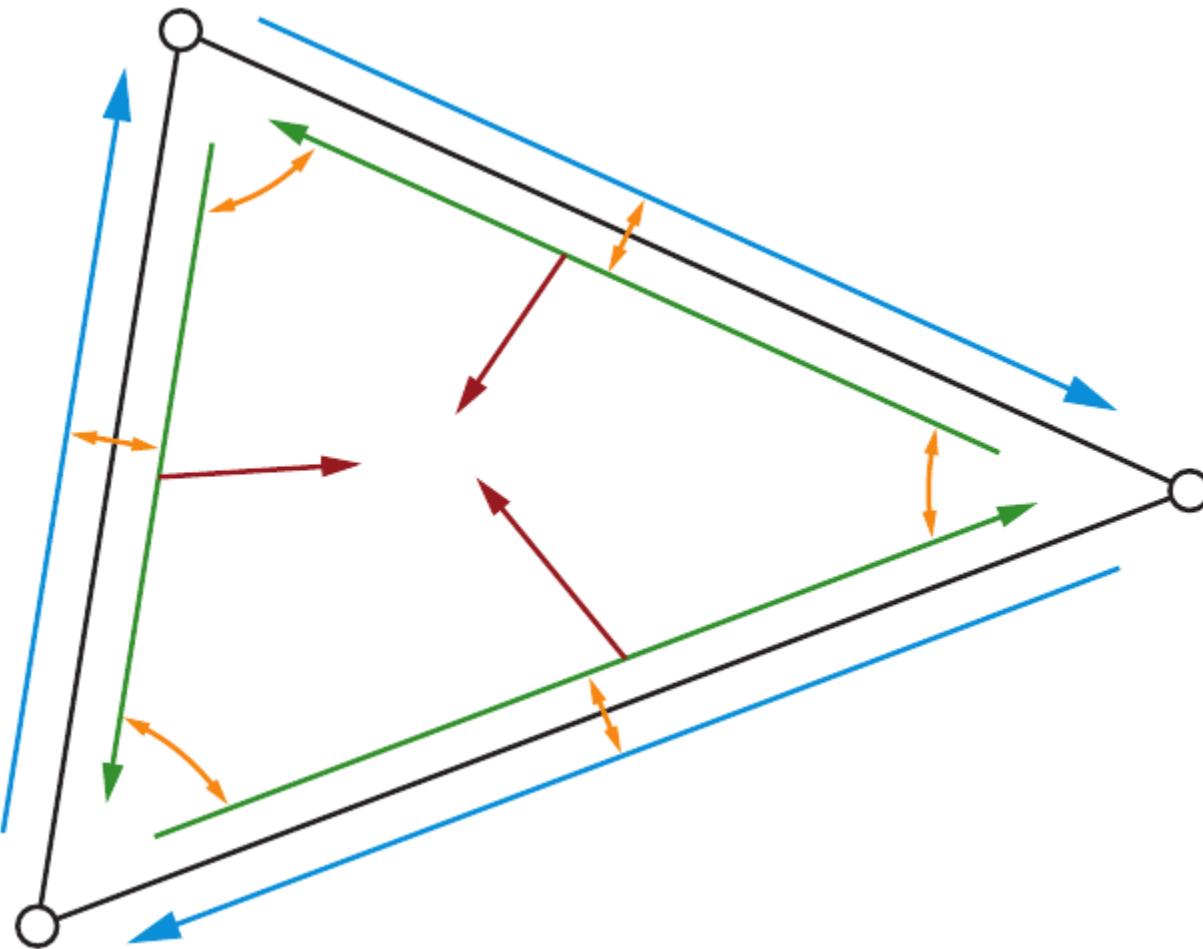
# Halfedge Data Types



**Vertex stores:**

- Arbitrary outgoing halfedge

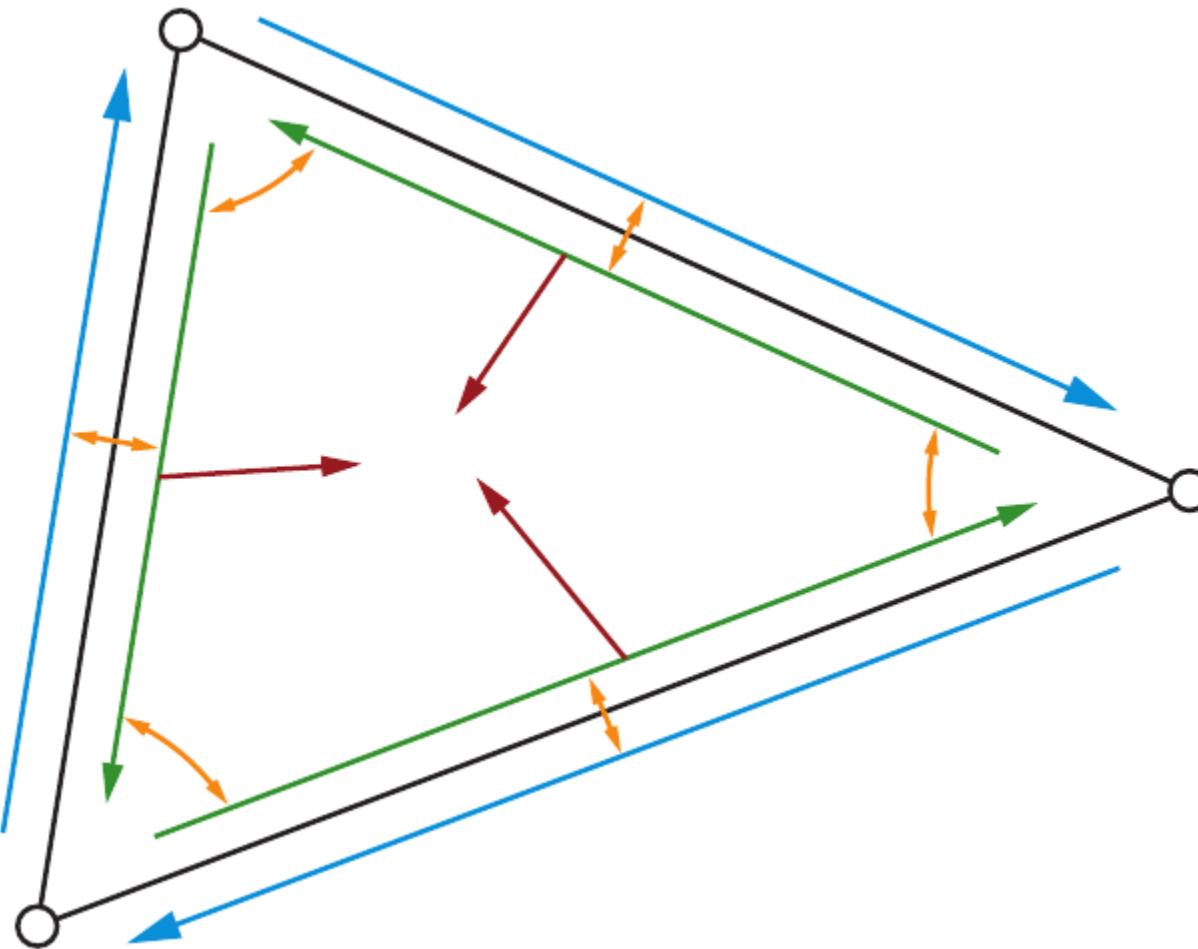
# Halfedge Data Types



**Face stores:**

- Arbitrary adjacent halfedge

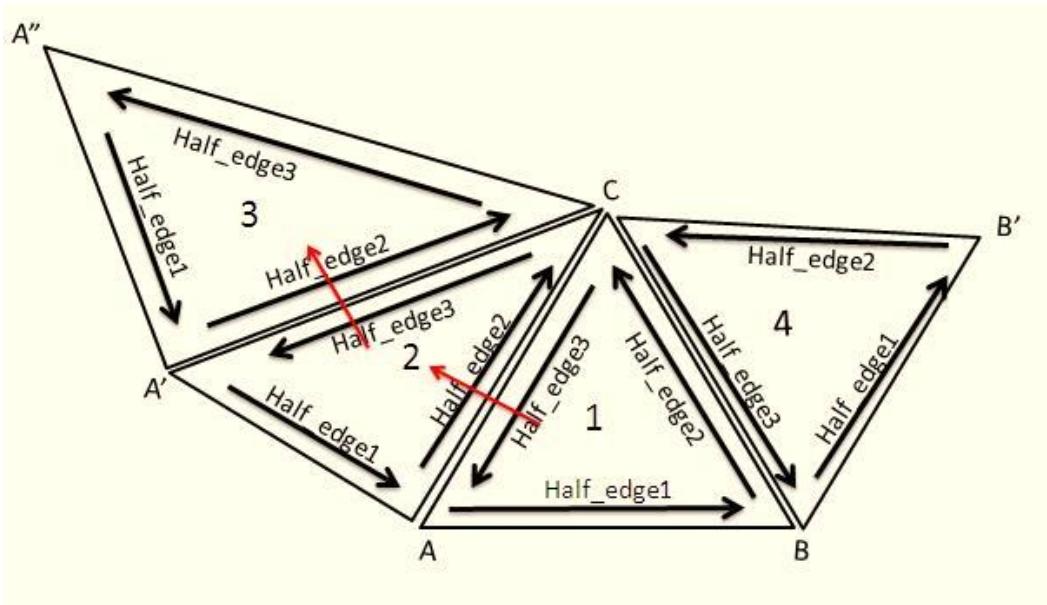
# Halfedge Data Types



**Halfedge**  
stores:

- Flip
- Next
- Face
- Vertex

# Iterating Over Vertex Neighbors



```
Iterate(v) :  
    startEdge = v.out;  
    e = startEdge;  
    do  
        process(e.flip.from)  
        e = e.flip.next  
    while e != startEdge
```

# Only Scratching the Surface

Eurographics Symposium on Geometry Processing (2005)  
M. Desbrun, H. Pottmann (Editors)

## Streaming Compression of Triangle Meshes

Martin Isenburg<sup>1†</sup>      Peter Lindstrom<sup>2</sup>      Jack Snoeyink<sup>1</sup>

<sup>1</sup> University of North Carolina at Chapel Hill    <sup>2</sup> Lawrence Livermore National Labs

EUROGRAPHICS 2011 / M. Chen and O. Deussen  
(Guest Editors)

Volume 30 (2011), Number 2

## SQuad: Compact Representation for Triangle Meshes

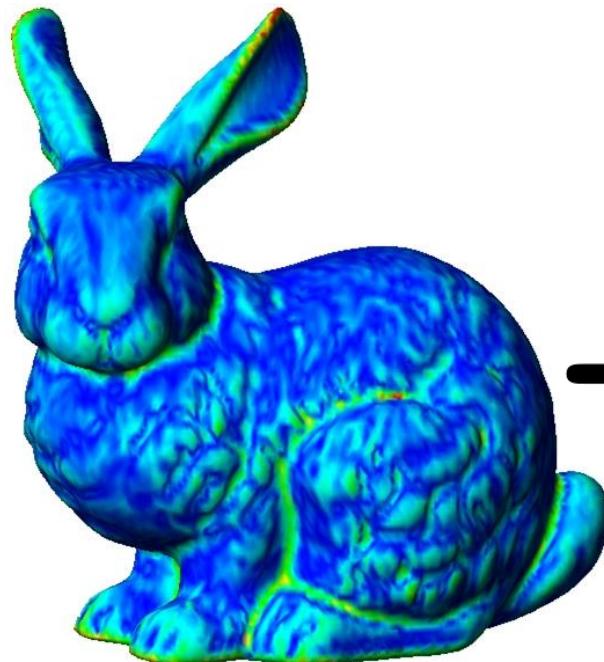
Topraj Gurung<sup>1</sup>, Daniel Laney<sup>2</sup>, Peter Lindstrom<sup>2</sup>, Jarek Rossignac<sup>1</sup>

<sup>1</sup>Georgia Institute of Technology

<sup>2</sup>Lawrence Livermore National Laboratory

# Scalar Functions

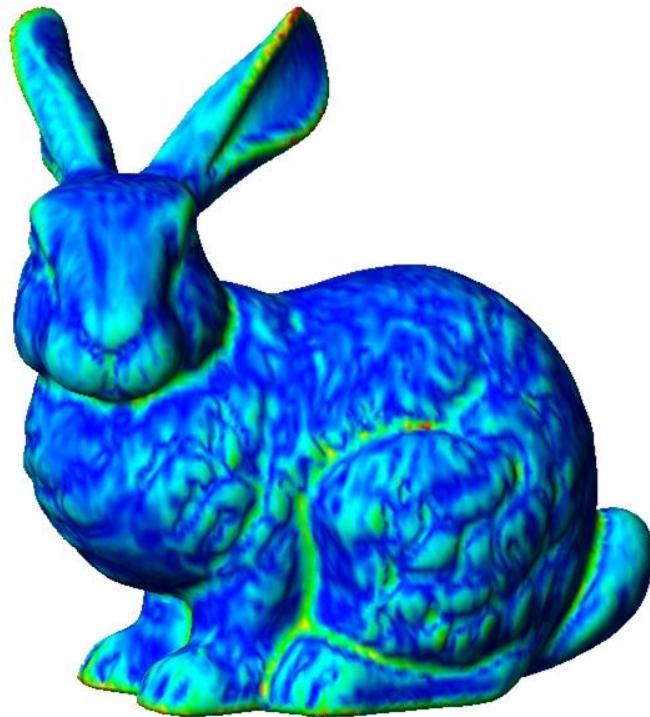
$f : \mathbb{R} \rightarrow \mathbb{R}$



[http://www.ieeta.pt/polymeco/Screenshots/PolyMeCo\\_OneView.jpg](http://www.ieeta.pt/polymeco/Screenshots/PolyMeCo_OneView.jpg)

Map points to real numbers

# Discrete Scalar Functions



$$f \in \mathbb{R}^{|V|}$$

[http://www.ieeta.pt/polymeco/Screenshots/PolyMeCo\\_OneView.jpg](http://www.ieeta.pt/polymeco/Screenshots/PolyMeCo_OneView.jpg)

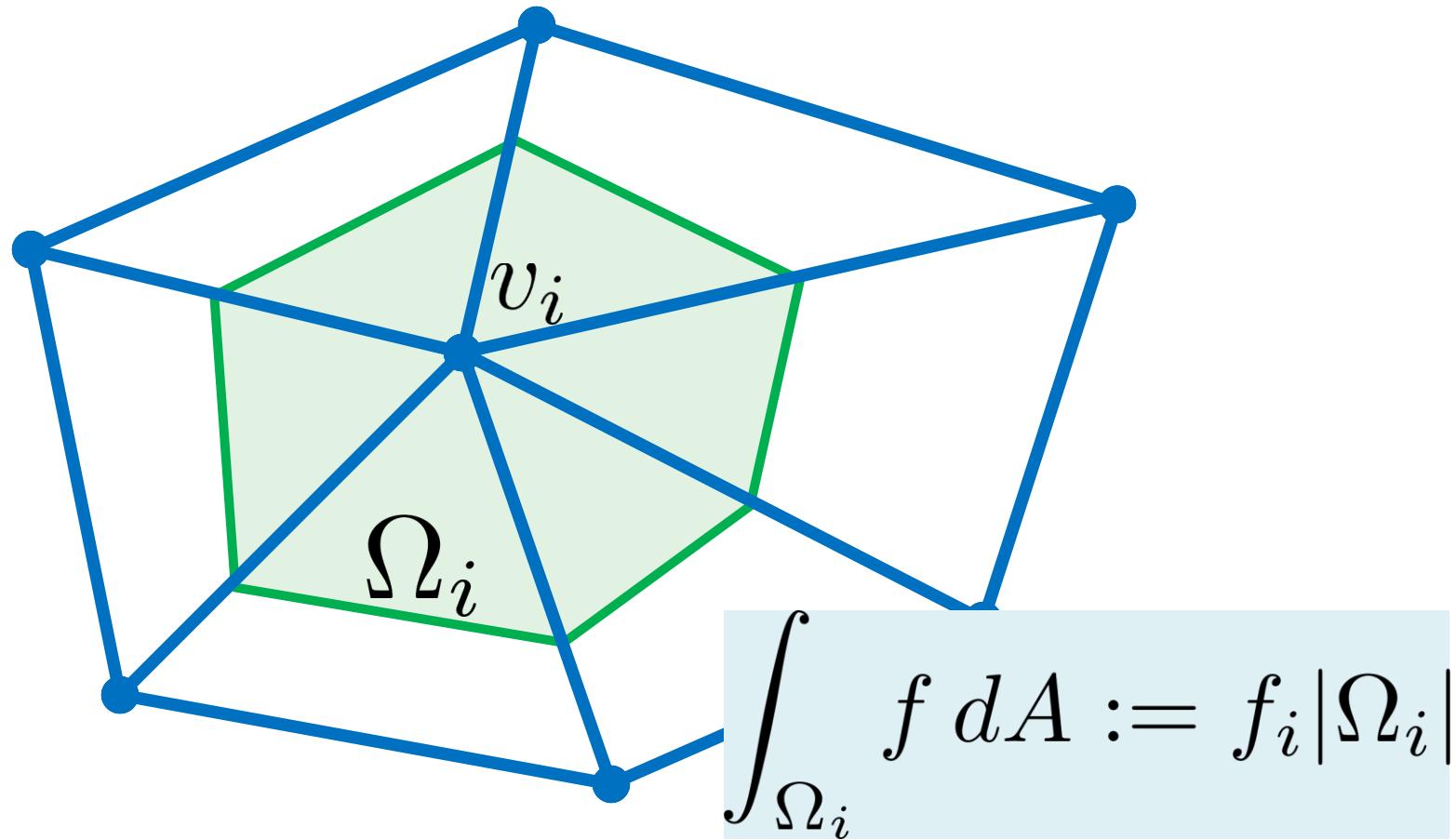
Map vertices to real numbers

# Question

What is the integral of  $f$ ?

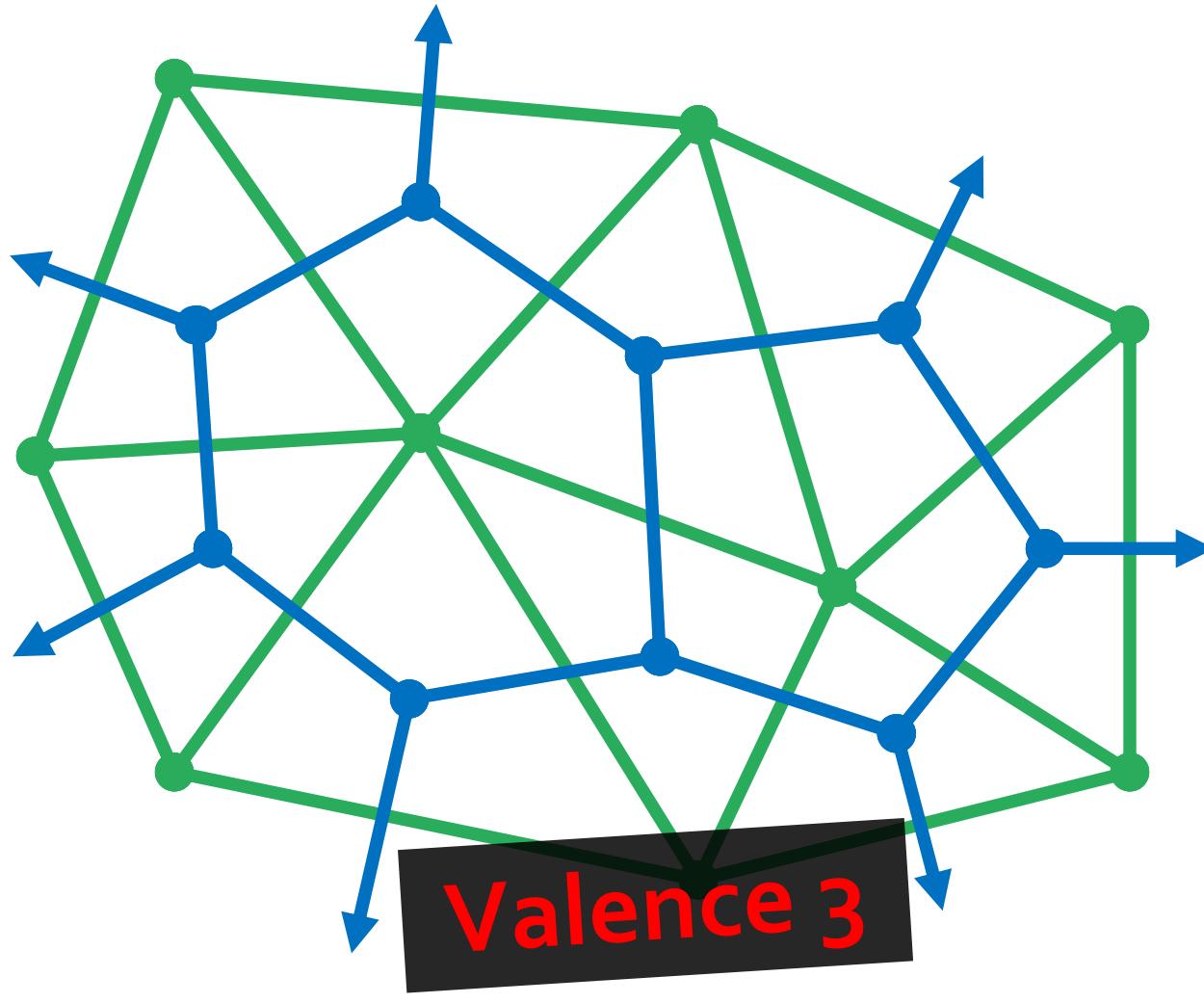
$$\int_M f \, dA$$

# Dual Cell

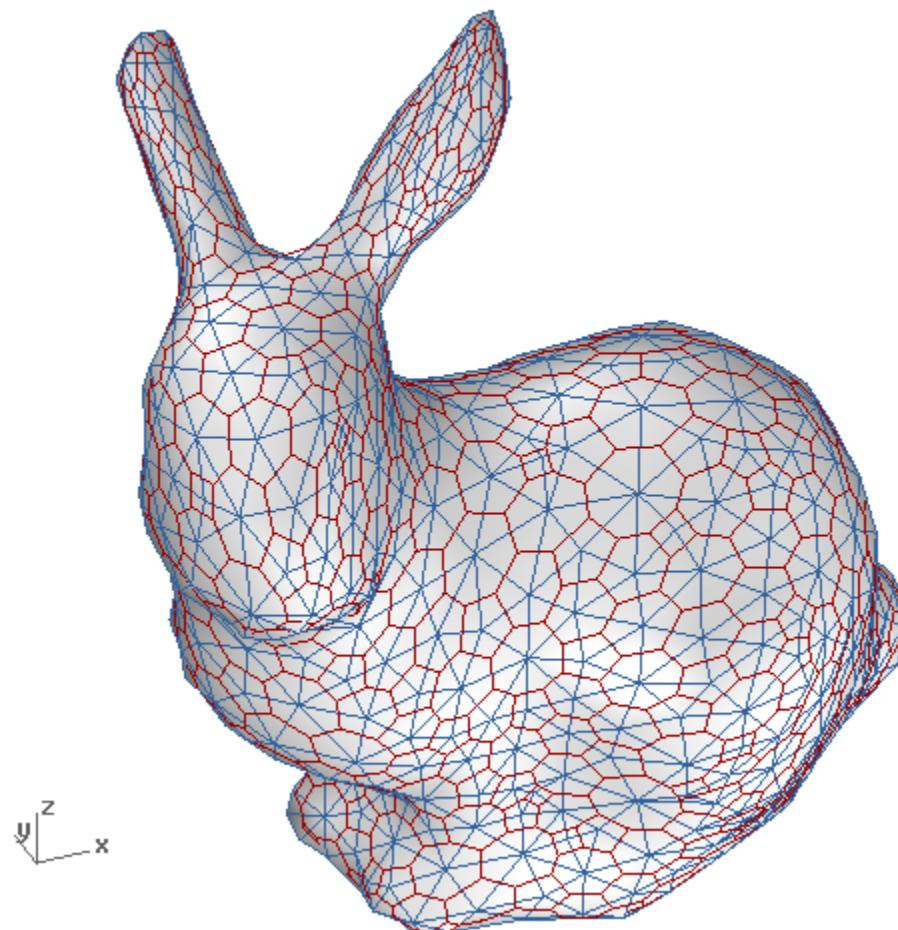


Discrete version of  $dA$

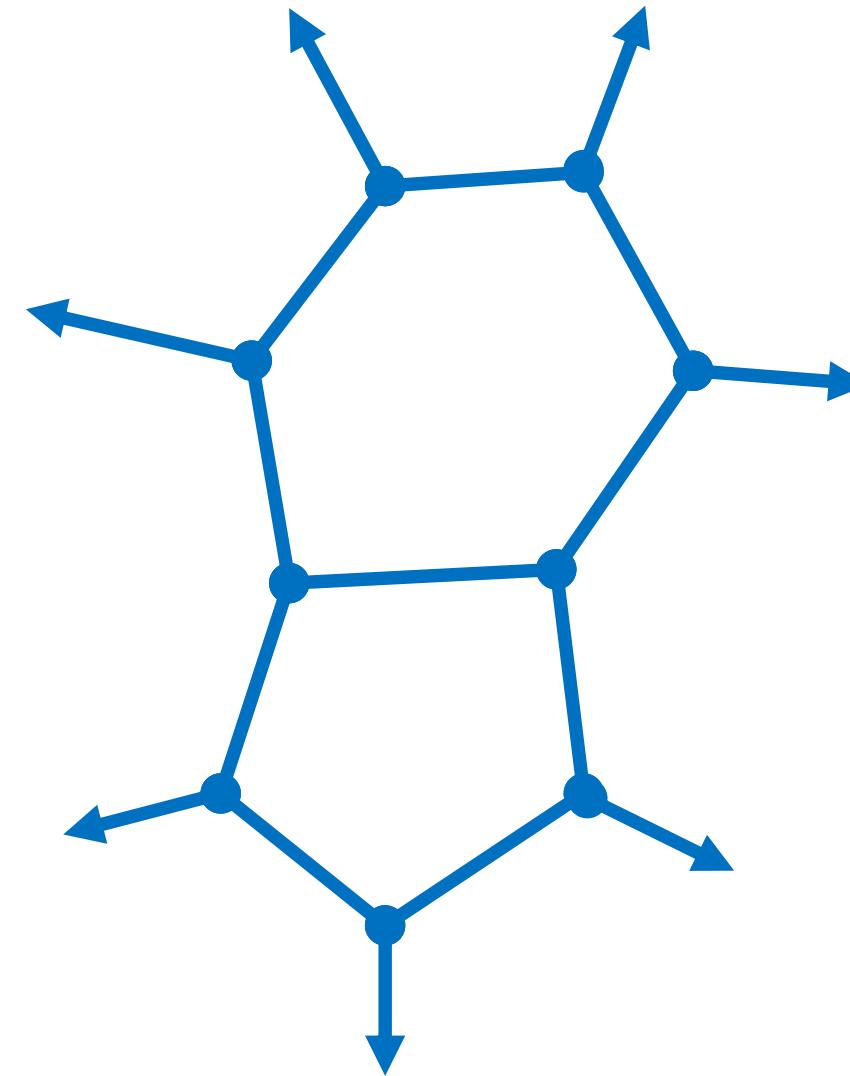
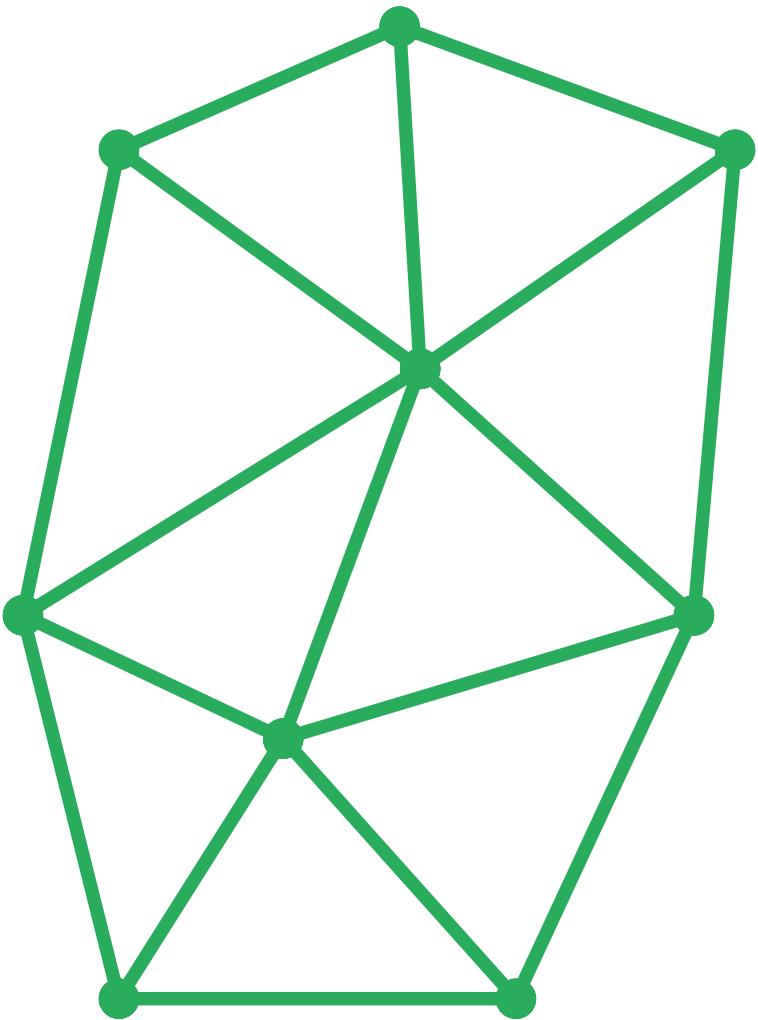
# Dual Complex



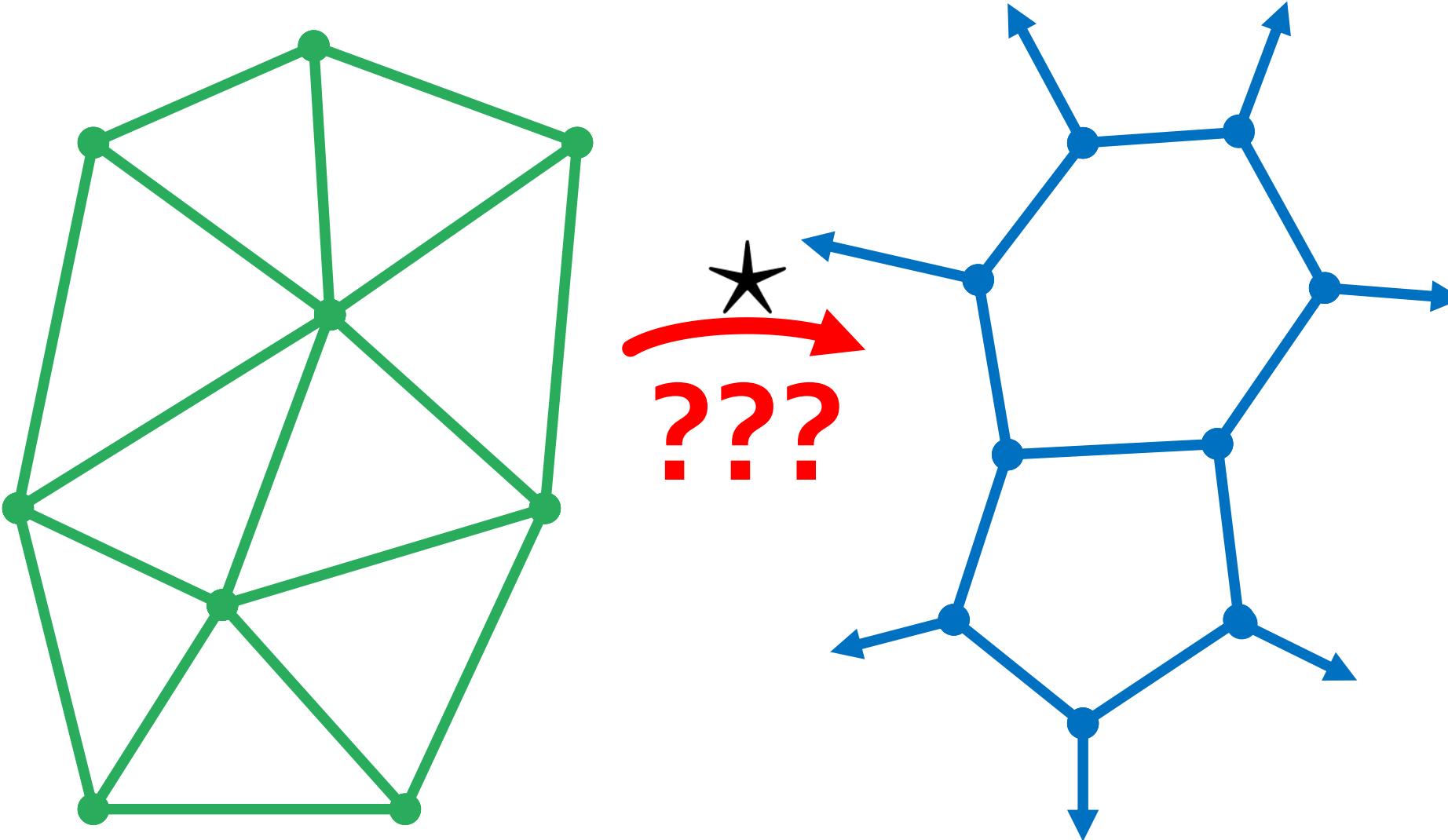
# One Surface, Two Meshes



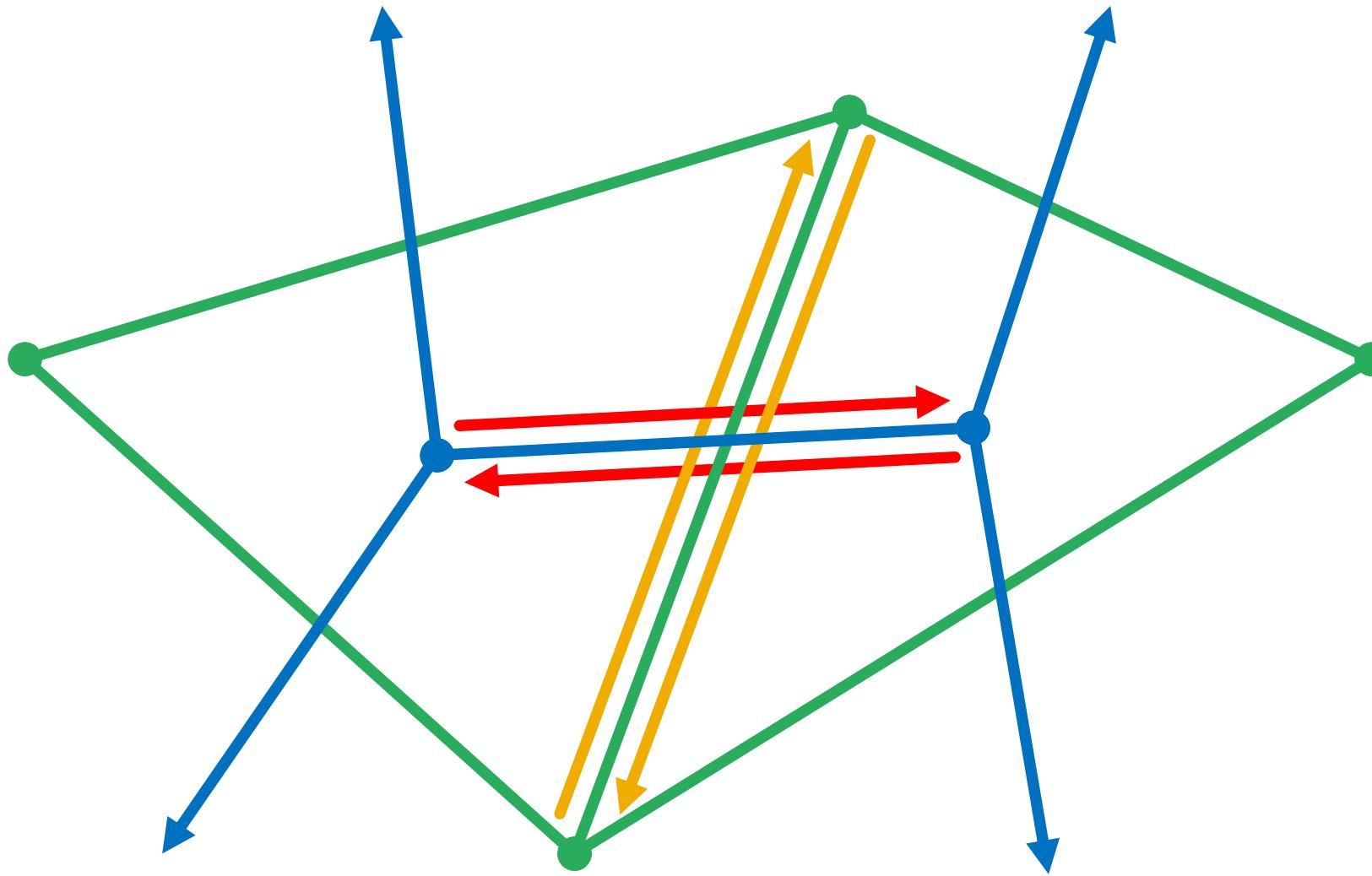
# One Surface, Two Halfedges



# Missing Operation

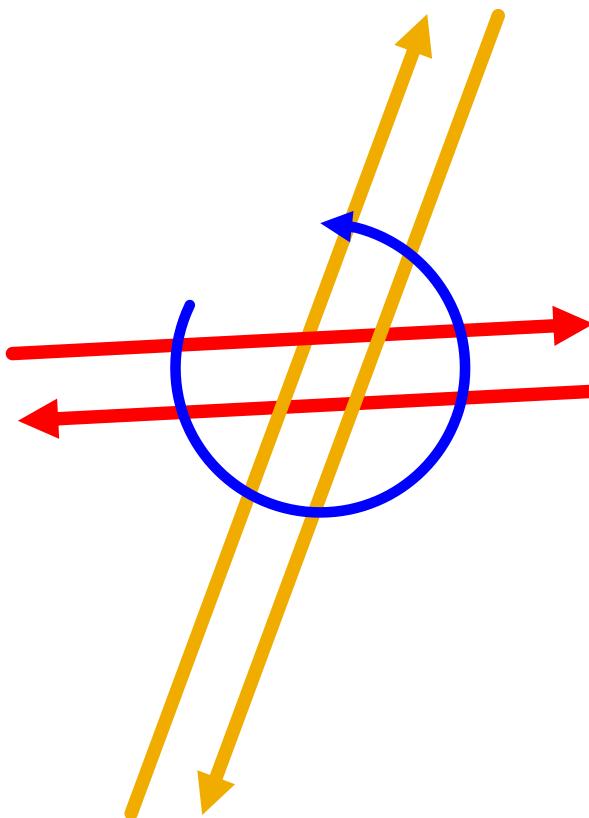


# Quad Edge

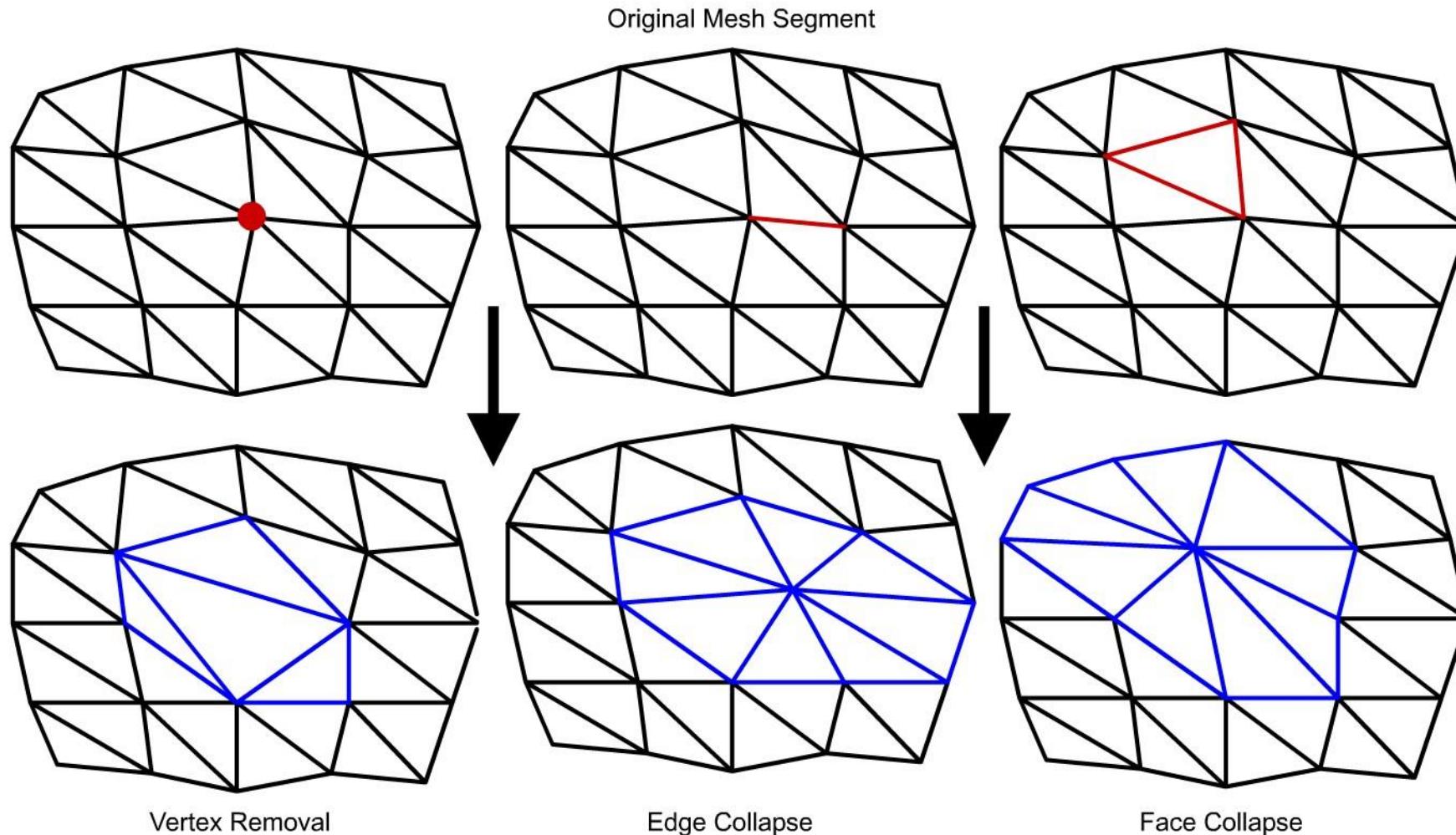


# Rotation Operation

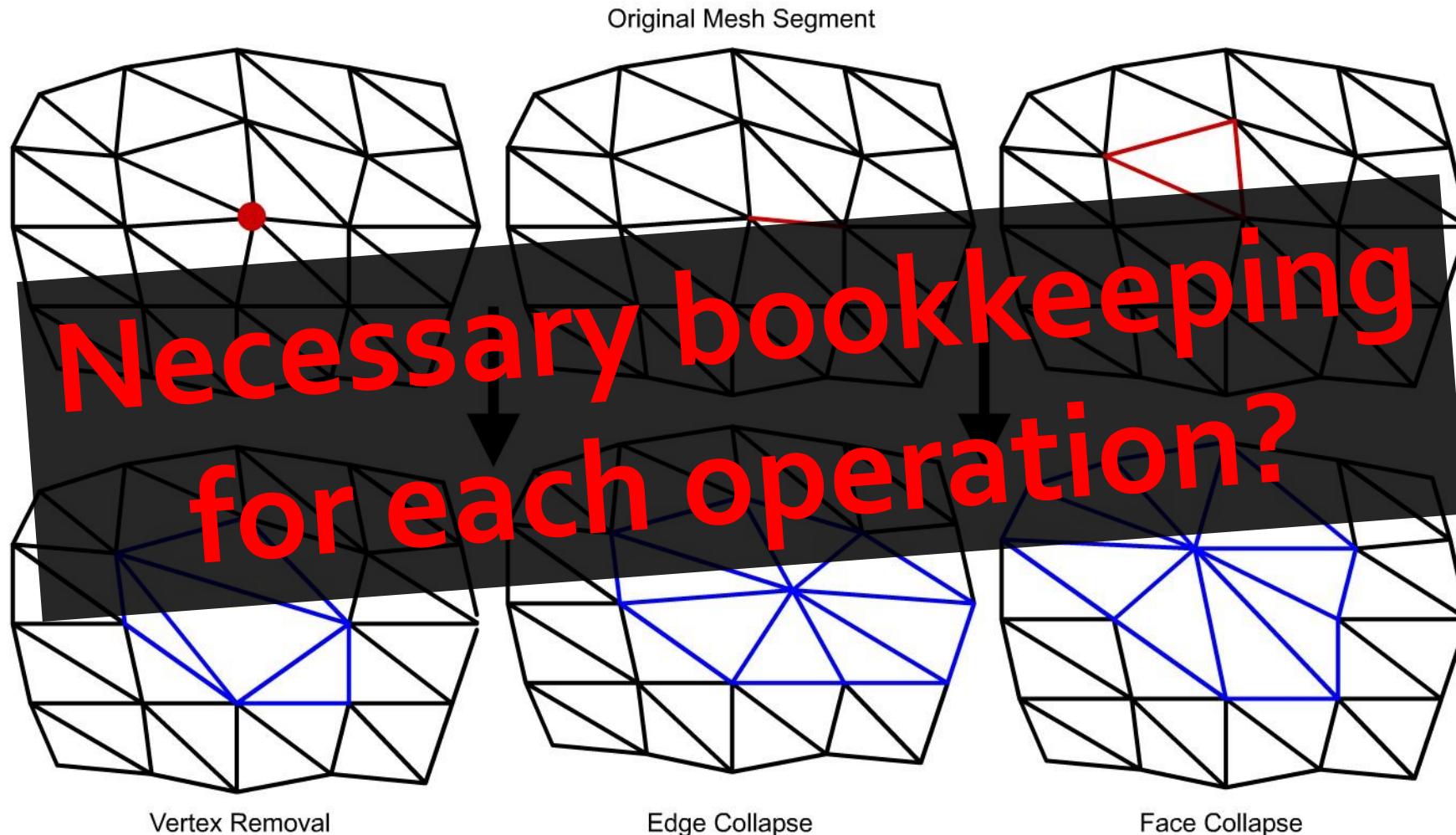
$e \rightarrow \text{Rot} \rightarrow \text{Rot} = e \rightarrow \text{Flip}$



# Topological Operations



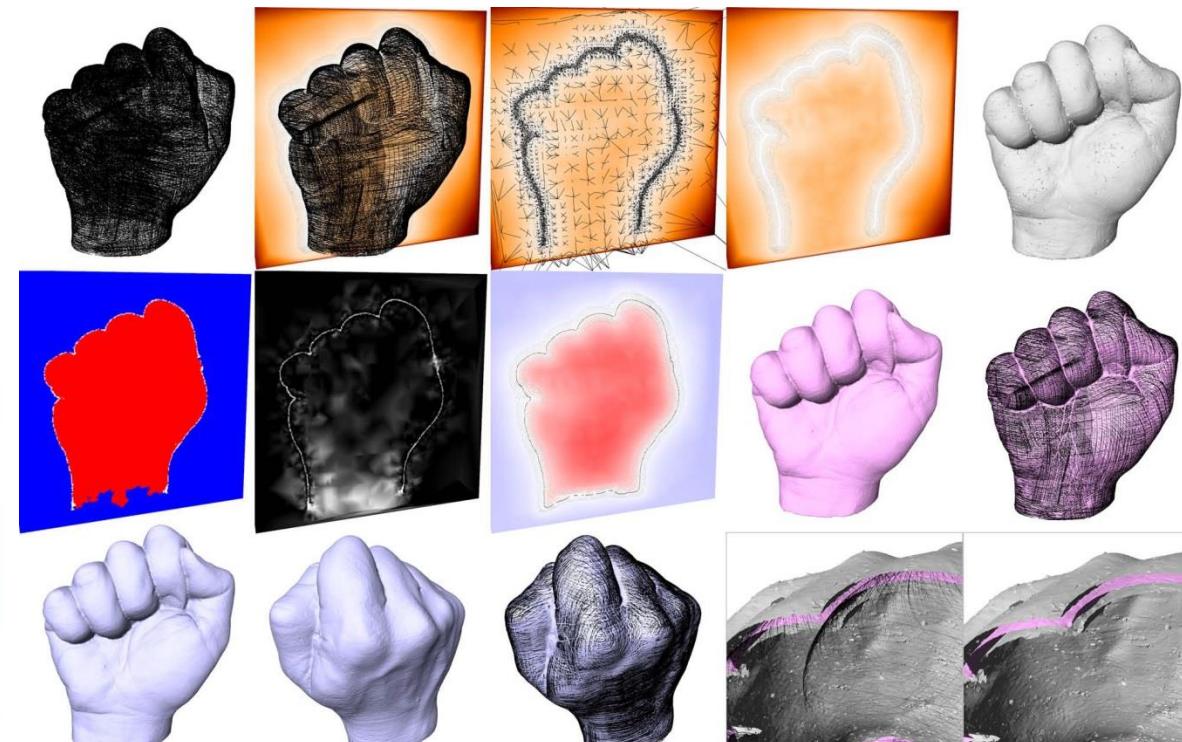
# Topological Operations



# Take-Away

Complex data structures  
enable simpler traversal at  
cost of more bookkeeping.

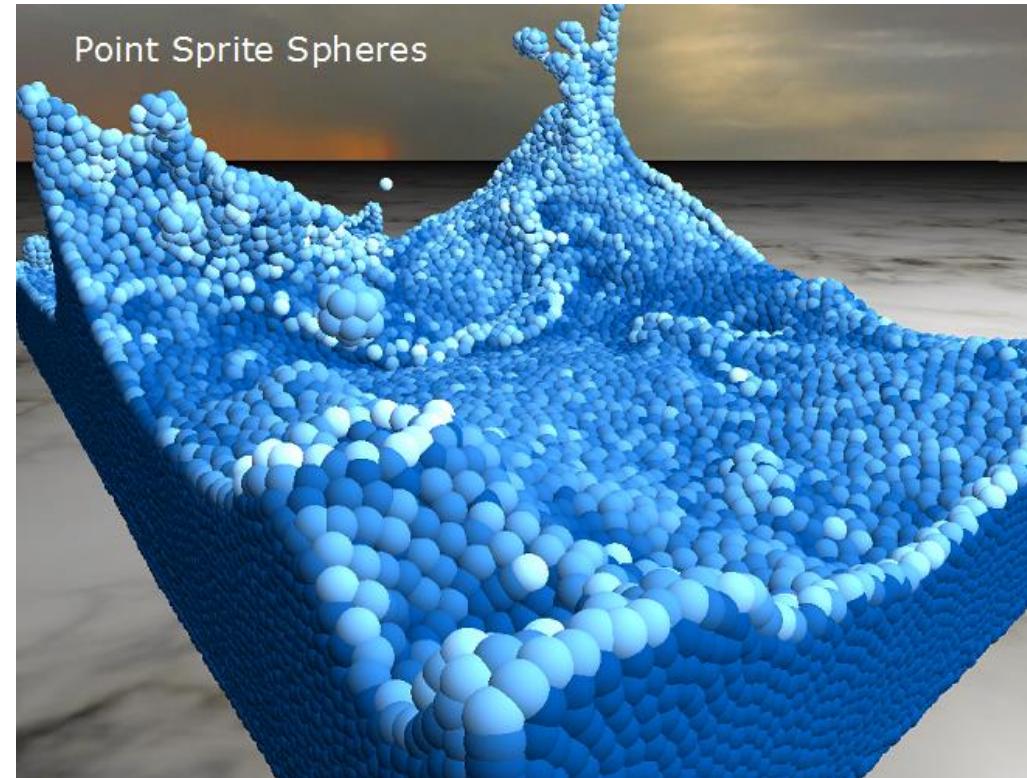
# Not the Only Geometric Representation



[http://www.cs.umd.edu/class/spring2005/cmsc828v/papers/mpu\\_implicitly.pdf](http://www.cs.umd.edu/class/spring2005/cmsc828v/papers/mpu_implicitly.pdf) <ftp://ftp-sop.inria.fr/geometrica/alliez/signing.pdf>

Implicit surfaces

# Application of Implicit Surfaces

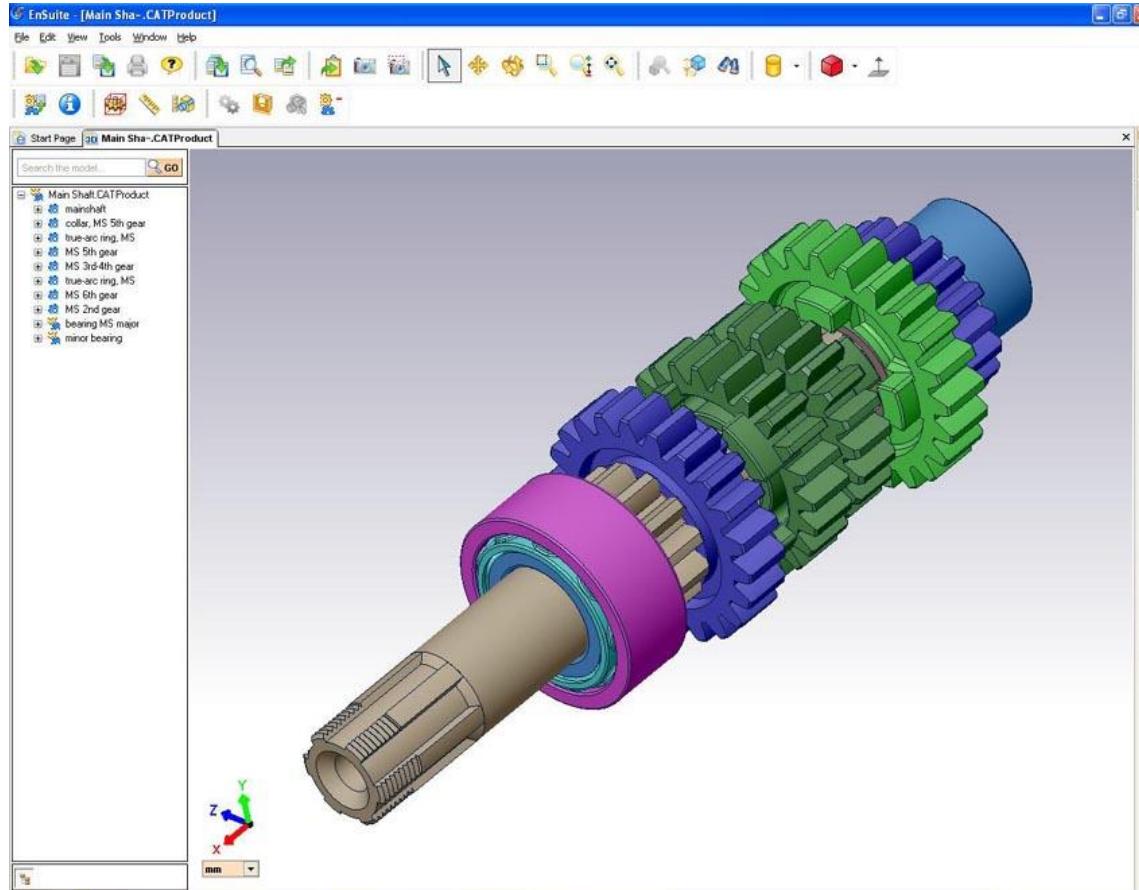


<http://www.itsartmag.com/features/cgfluids/>

<https://developer.nvidia.com/content/fluid-simulation-alice-madness-returns>

## Smoothed-particle hydrodynamics (SPH)

# Not the Only Geometric Representation

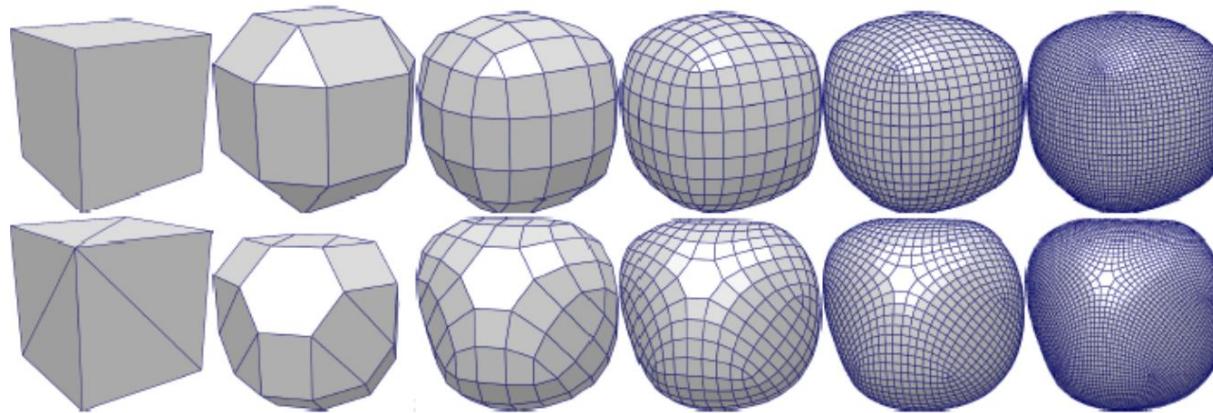


Computer-Aided  
Design (CAD)

<http://www.cad-sourcing.com/wp-content/uploads/2011/12/free-cad-software.jpg>

Polynomial/rational patches

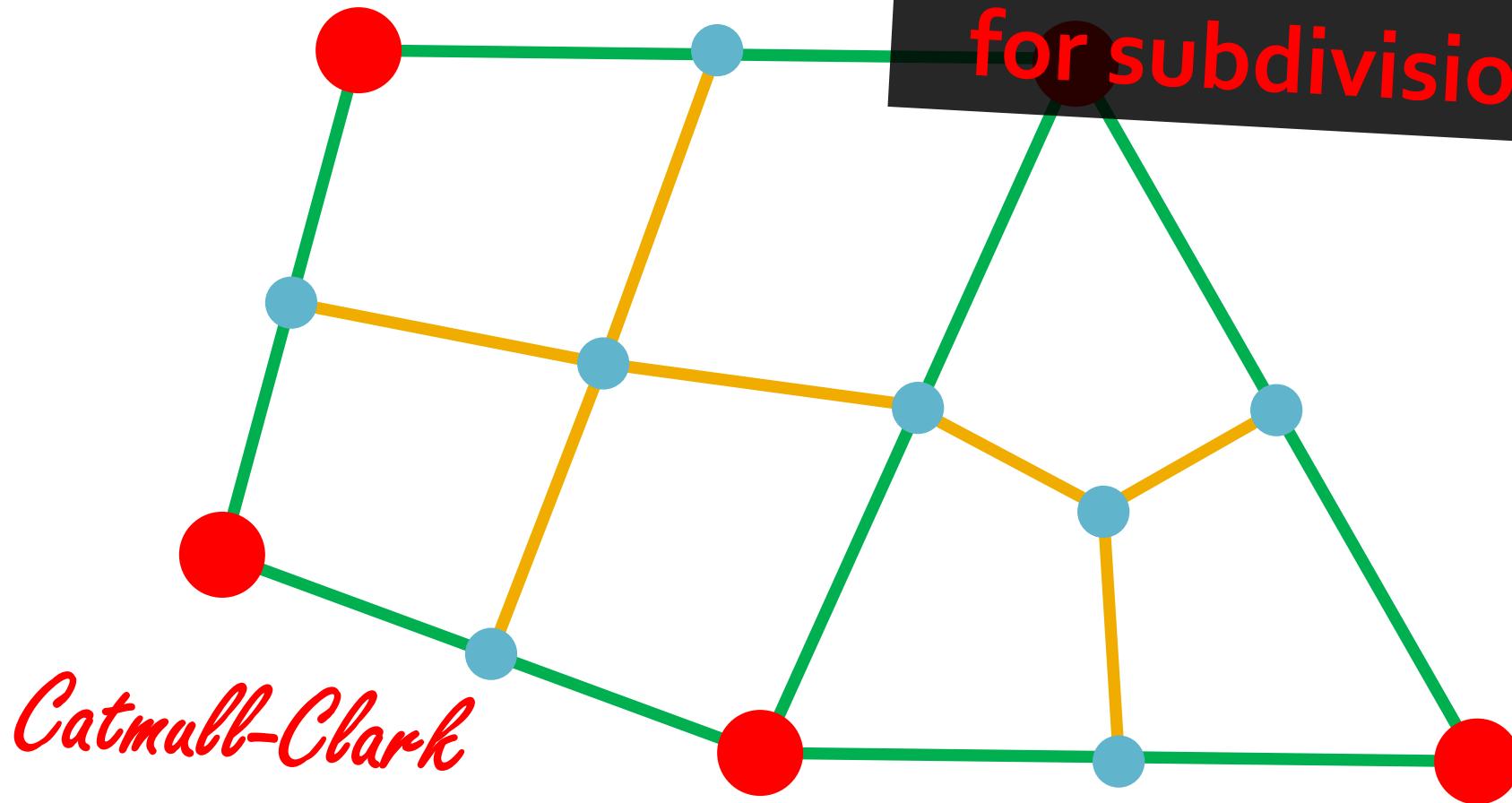
# Not the Only Geometric Representation



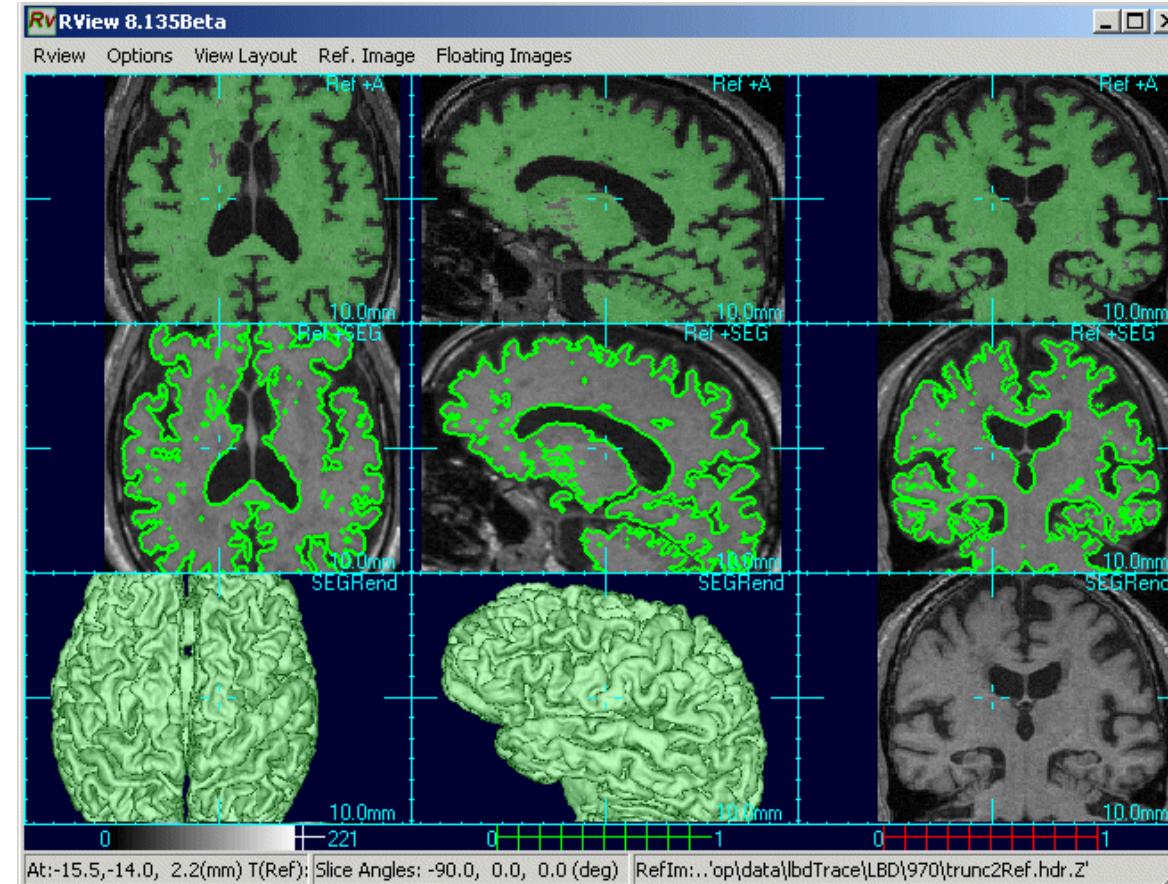
[https://imagecomputing.net/damien.rohmer/teaching/2018\\_2019/semester\\_1/m2\\_mpri\\_cg\\_viz/class/01\\_surface\\_representation/content/035\\_subdivision\\_surfaces/index.html](https://imagecomputing.net/damien.rohmer/teaching/2018_2019/semester_1/m2_mpri_cg_viz/class/01_surface_representation/content/035_subdivision_surfaces/index.html)

**Subdivision Surfaces**

# Aside



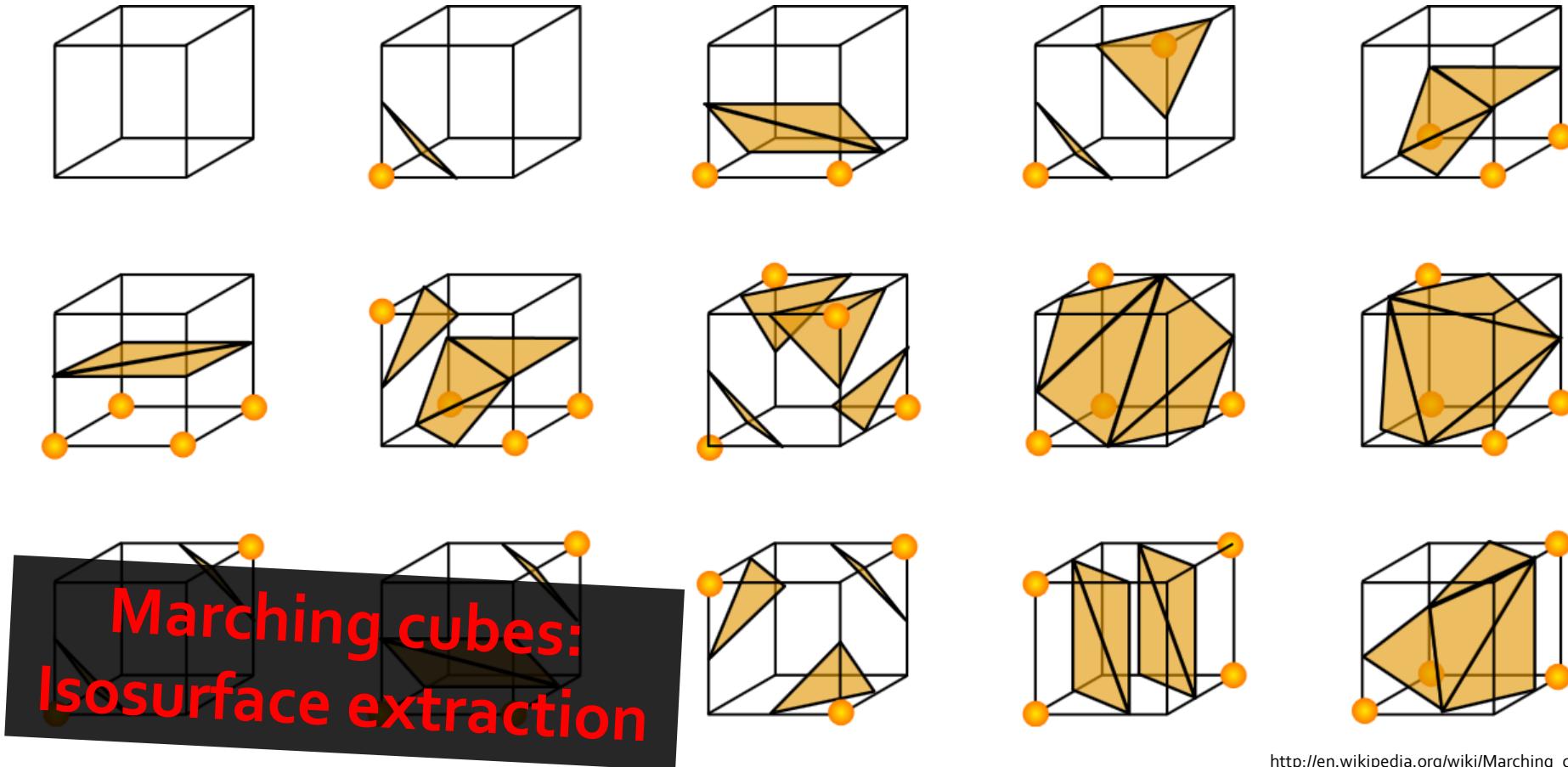
# Not the Only Geometric Representation



<http://www.colin-studholme.net/software/rview/rvmanual/morpho5.gif>

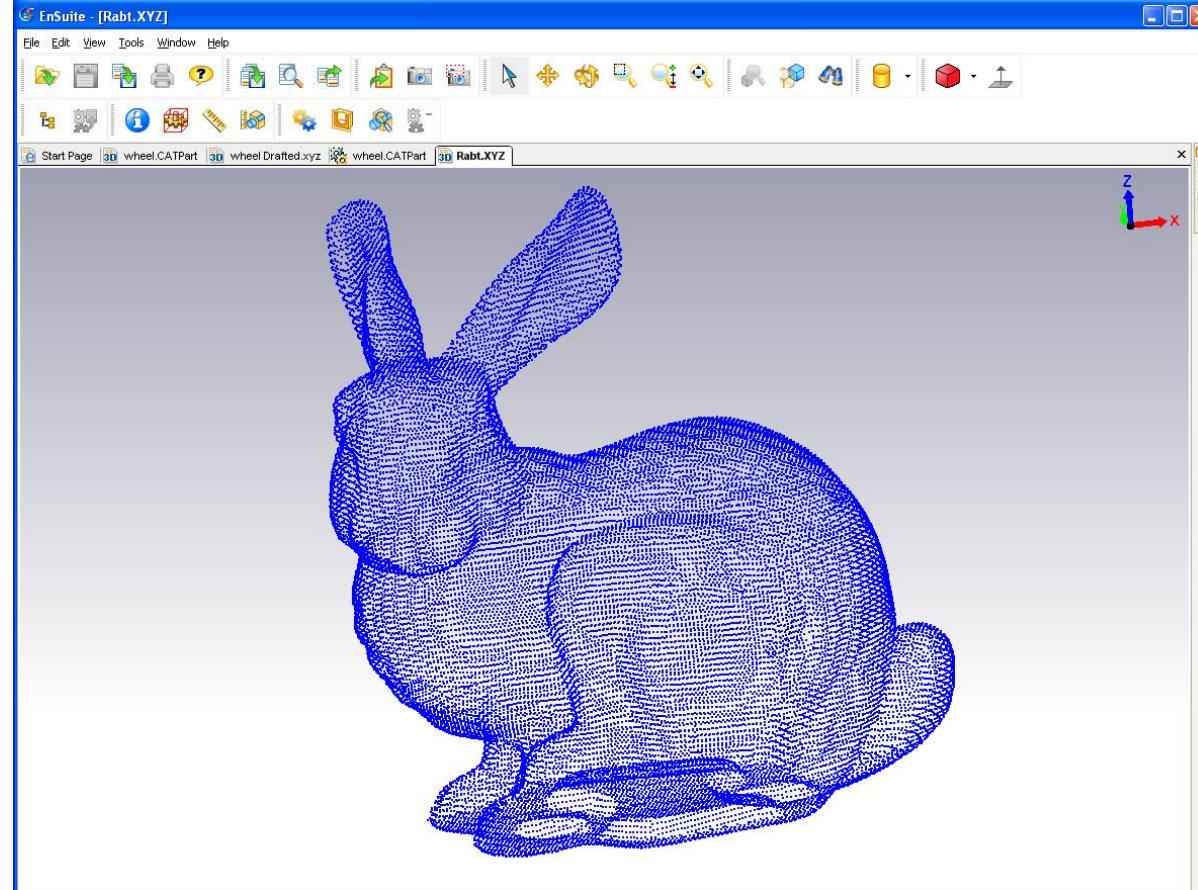
Volumetric imaging

# Surfaces from Volumes



Volumetric extraction

# Not the Only Geometric Representation



<http://www.engineerspecifier.com/public/primages/pr1200.jpg>

Point clouds

# Surfaces: Smooth and Discrete

Justin Solomon

6.838: Shape Analysis  
Spring 2021

