

# New Directions in Cryptography: Twenty Some Years Later

(or Cryptography and Complexity Theory: A Match Made in Heaven)

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## Abstract of Talk

In 1976 Diffie and Hellman published their fundamental paper on *New Directions in Cryptography*, in which they announced that “we stand on the brink of a revolution in cryptography”.

Today, twenty some years later, we will survey some of the progress made in cryptography during this time. We will especially focus on the successful interplay between complexity theory and cryptography, witnessed perhaps most vividly by the developments in interactive and probabilistic proof systems and in pseudo random number generation. A list of topics to be touched upon during the talk is included, followed by refereneses in the bibliography.

FOUNDATIONS OF CRYPTOGRAPHY. Complexity theory based cryptography is based on the existence of one-way functions. Reformulated, a one-way function is a problem for which there is an efficiently samplable distribution of instances (to be used by the legal user), which are impossible on the average to solve efficiently by any probabilistic algorithm (the adversary). Taking

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efficient to mean polynomial time, basing cryptography on complexity theory is thus possible only if  $NP \neq BPP$ , although it is not known to be a sufficient condition. The existence of an  $NP$ -complete problem which can be shown as hard on the average to solve as in the worst case for some efficiently samplable distribution, is an open problem.

In lieu of techniques for proving even worst case non-linear lower bounds for natural  $NP$  problem, our goal is construct a theoretical foundation of the field by (1) finding the minimal necessary and sufficient assumption for every cryptographic application, and (2) constructing schemes that can be proven at least as secure as the minimal assumption necessary. The proofs take the form of a “reduction” showing how any break in the security of a system can be transformed into a violation of the underlying assumption.<sup>1</sup> Defining what it means to be “secure”, and what it means to “break” a cryptographic system is an essential first step in establishing these foundations.

IDENTIFYING BUILDING BLOCKS IN CRYPTOGRAPHY: Any cryptographic system we know of (e.g encryption, signatures, oblivious transfer, key exchange) can be shown to imply the existence of one way functions. But, whereas

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<sup>1</sup>Generally, we take efficient to mean probabilistic polynomial time and inefficient to mean non-polynomial time. We note however that security proofs are generally not affected by changing the definition of ‘efficient’ and ‘inefficient’. In a line of work initiated by [BKR, BGR], parameterized reductions are used which tightly monitor the cost of reductions made in security proofs, facilitating using arbitrary gaps between ‘inefficient’ and ‘efficient’.

one-way functions are necessary, they are not always sufficient. In addition to one-way functions and trapdoor functions defined already in [DH], one-way predicates, trapdoor predicates [GM], oblivious transfer protocol[CK], bit commitment protocol, secret sharing among  $n$  users[SH], and computing with shares of a secret rather than directly with data directly[GMW2, BGW], have been identified as key building tools. It is interesting to investigate the relation between these primitives. In particular, in light of the work of [AD] the question of whether trapdoor predicates imply trapdoor functions is intriguing.

**CANDIDATE HARD COMPUTATIONAL PROBLEMS:** In order to actually use cryptosystems we need to find natural candidates for the abstract building blocks. The celebrated RSA function [RSA] pointed the way to number theory where several other suitable candidate hard problems can be found such as factoring integers, computing discrete log over finite fields, and distinguishing quadratic residues from quadratic non-residues modulo composite numbers. Other candidate hard problems found suitable are computing elliptic logarithm in a group of points defined by an elliptic curve over a finite field, decoding random linear error correcting codes, and of late an array of computational problems over lattices[AD, A, GGH]. Showing some relation between average case computational difficulty of the above problems and their worst case difficulty, is a central theme in the the field. For example, for fixed  $n$ , it can be proved that distinguishing between quadratic residues and non-residues modulo  $n$  is as hard on the average as in the worst case [GM]. Recently, [A] showed that computing the shortest vector in lattice (in which the shortest vector is unique upto a polynomial factor) is as hard on the average (taken over a certain samplable distribution of the lattices) as in the worst case. It remains an intriguing open problem to show the existence of an  $NP$ -complete problem which is as hard on the average to solve as in the worst case for some samplable distribution. An affirmative resolution would establish the existence of one-way functions on  $P \neq NP$ .

**PROBABILISTIC METHODS:** The use of probabilistic cryptosystems has emerged as essential for achieving security. It can be shown that probabilistic encryption algorithms are necessary in order to hide partial information about messages and handle arbitrary message spaces [GM]. Probabilistic signature algorithms are needed to achieve unforgeability in face of chosen message attacks [GMRi, 1, DN]. Another example is the replacment of traditional passwords by interactive and probabilistic identification protocols where the key idea behind the security is that the messages exachanged during the protocol are chosen randomly and independently every time the identification protocol is repeated [GMR, FFS, FS]. Interestingly, randomized variants of well-known algorithms such as RSA have made their way by now into tool kits such as SET(sceure electronic transactions).

**TWO-PARTY PROTOCOLS, ZERO KNOWLEDGE :** The most exciting developments following public-key cryptography has been the in the area of protocols. First, there is a wide array of new capabilities that have been developed, such as secret-exchange, contract-signing, certified mail [Bsecret, Bcoin, R77, EGL], and more generally any two-party computation can be performed maintaing correctness and secrecy of the inputs if oblivious transfer exists[Y2, K].

Second, the notion of zero-knowledge [GMR] protocols and proofs [GMR] has trasformed the field from an art form to a science. Zero knowledge yields a formal way to prove security of (or find mistakes in) protocols. Perhaps, more importantly zero knowledge protocols make possible achieving cryptographic tasks deemed impossible before. For example, zero-knowledge identification schemes allow an interactive password method in which all communication between the verifier (checking identity) and prover (being identified) can be sent over an insecure channel as mentioned above [FS]. Another example is that non-interactive zero knowledge enables building an encryption scheme which is provably secure against chosen message attacks if trapdoor functions exist [BFM, BDMP, 1].

More generally, any  $NP$ -statement can be

proved in zero knowledge if one-way permutations exist [GMW1]. This allows an automatic translation of protocols proved secure for users who follow protocol instructions, into protocols which remain secure even when users may deviate arbitrarily from the legal protocol.

**INTERACTIVE AND PROBABILISTIC PROOF SYSTEMS** The first zero knowledge protocols were of simple Yes/No statements, in which one party (the prover) convinced another party (the verifier) with overwhelming probability of correctness that certain inputs were well formed (e.g. an integer  $n$  was factor of 2 primes, or an integer  $y$  was a quadratic residue mod  $n$ )<sup>2</sup>. Although simple NP proofs (i.e short witnesses) existed for these particular statements, the protocols made it possible to hide all other knowledge beside the correctness of the statement being proved. It became immediately apparent that these were not only cryptographic tools, but an alternative way to prove statements correctly with high probability via an interactive process of questions and answers. The name “interactive proof” was coined [GMR]<sup>3</sup>, and the notion took a life of its own separate from security applications. What seemed obvious for cryptographic purposes - that without randomization and interaction certain statements cannot be proved - turned out to be of much wider applicability to complexity theory at large. In a famous sequence of works by [GMW2, LFKN, SH2] it was first shown that a hard problem not known to be in NP - graph non-isomorphism - has an interactive proof, and finally that languages which have interactive proofs are exactly the PSPACE languages. Many other studies of the complexity of interactive proofs exist (see references). Curiously, the notion of Arthur-Merlin games which seemed like a restricted form of interactive form originally, and was developed in [Ba] in order to classify the complexity of certain matrix group

<sup>2</sup>The fact that the prover knew some auxiliary knowledge such as the factorization of  $n$  made it possible for him to convince the verifier of these facts without revealing any extra knowledge

<sup>3</sup>Mike Sipser suggested this name when first hearing of a protocol to distinguish between composite numbers of 2 vs. 3 prime factors, thanks Mike!

membership problems, turned out to coincide with interactive proofs in generality.

An extension of the interactive proof model to the multi-prover interactive proof model [BGKW], where a number of non-communicating provers are available, was made to enable proving that NP statements can be proved in zero knowledge without resorting to any assumptions. This model, has born even more surprising fruit to complexity theory. In [BFL] it is proved that languages which have multi-prover interactive proofs are exactly the NEXPTIME languages. By examining in a quantitative fashion the amount of randomness used by the verifier, and communication exchanged between provers and verifier in a multi-prover proof it was further shown [BFLS, FGLSS, AS, ALMSS] (some of these works use the oracle formulation of multi-prover proofs [FRS]) that NP can be characterized as languages provable by multi-prover proofs with only logarithmic randomness and constant answer size. A surprising connection between multi-prover proofs with bounded resources and approximation problems was found in [FGLSS], and has subsequently enabled classifying the hardness of approximating a slew of optimization problems.

In return, on occasion, the efficient probabilistic proof checking methods developed for complexity purposes in the above models, have made their way back into cryptography [K2, M2].

**PSEUDO RANDOMNESS** Randomness for choosing secret keys was always recognized as an essential part of the security of a cryptographic system. Even more so today, when it is an integral part of the algorithms themselves. Thus, very early it became clear that good pseudo random number generators are necessary. From a line of exciting works [SH3, BM, Y] emerged the notion of cryptographically strong pseudo random number generators (csp-srg's) which produce sequences indistinguishable from truly random sequences by any probabilistic polynomial time algorithm. The notion was accompanied by constructions of csp-srg's under the assumption that one-way permutations exist, and particular efficient construction under specific number theoretic assumptions

[BBS, GMT, HSS, ACGS]. This culminated in the work of [GL, HILL] showing that csprg existence is equivalent to the existence of one-way functions. These constructs were shown by [Y] to have immediate consequences on relation between probabilistic and deterministic complexity classes. Pseudo random functions [GGM] again were a reply to a cryptographic need of randomly accessing a csprg by many independent users, yet they have been used extensively to establish impossibility results in learning theory.

**PROOF TECHNIQUES:** A few dominant proof techniques have emerged in security proofs. Among which are, probabilistic polynomial-time reducibilities between problems, simulation proofs, the hybrid method, and random self reducibility. The latter was first observed as applied to the number theoretic problems of factoring, discrete log, testing quadratic residuosity, and the RSA function. For all of these problems, one could use the algebraic structure to show how to map a particular input uniformly and randomly to other inputs in such a way that the answer for the original input can be recovered from the answers for the targets of the random mapping. A trivial example is for RSA, fix  $n = pq$  product of two prime numbers and  $(e, \phi(n)) = 1$ ,  $ed = 1 \pmod n$ . Then, given  $y = x^e \pmod n$ , it is easy to map  $y$  to a random instance in  $Z_n^*$ , picking  $z \in Z_n^*$  at random and setting  $w = z^e y \pmod n$ , such that from the inverse of  $w \pmod n$ ,  $x$  can be recovered by setting it to  $x = w^d z^{-1}$ . This random mapping can be thus utilized to find out  $x$  from  $y$  in expected polynomial time, if RSA could be inverted with non-negligible probability over  $Z_n^*$  for this  $n$ . Showing that polynomials are randomly self reducible over finite fields [BGW] [BF] was applied to the low-degree polynomial representations of Boolean functions, and has been a central and useful technique in probabilistically checkable proofs.

**WHAT HAS THEORY OF CRYPTOGRAPHY DONE FOR PRACTICE:** Theory of cryptography is inherently a field which is inspired by practical

problems. The underlying setting which we work with involves users and adversaries with varying capabilities, attempting to model real life scenarios. The practice of cryptography may be different than most other fields of applied computer science in that it truly uses theoretical ideas and inventions to operate. The RSA function is, naturally, the overwhelming example. Other examples applied to practice already are zero knowledge identification schemes, the idea of how to catch double spending in Electronic Cash [CFN], probabilistic signature methods in SET [BRsign], verifiable secret sharing was applied to get split key escrow methods in the key escrow arena [M1].

**FUTURE DIRECTIONS IN CRYPTOGRAPHY:** Interestingly enough, twenty years later we are again at the brink of a revolution in cryptography. We have moved from the setting of a pair of sender and receiver who want to communicate privately and authentically, to the setting of the internet. This presents the challenge and possibility of performing complex distributed computations among a large number of potentially untrusted parties, maintaining correctness, privacy, authenticity, anonymity, and varying degrees of un/traceability. In the last part of this talk, we will discuss the topic of multi-party protocols (or distributed cryptography) which models this situation, and some of the future research directions posed by this setting.

We believe that the field of multi party computations is today where public-key cryptography was ten years ago, namely an extremely powerful tool and rich theory whose real-life usage is at this time only beginning but will become in the future an integral part of our computing reality.

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