

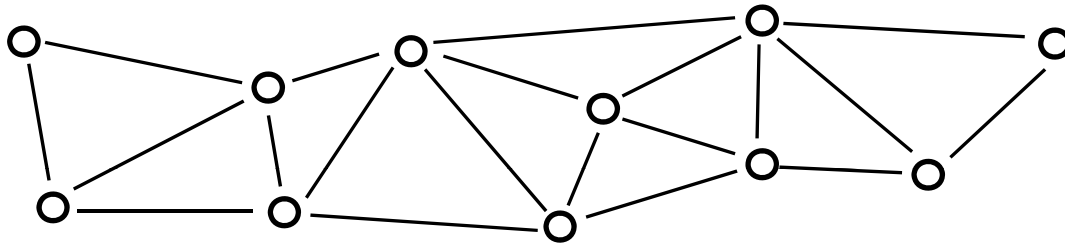
# Algorithms and Lower Bounds for Distributed Coloring Problems

Fabian Kuhn

Parts are joint work with  
Nicla Bernasconi, Dan Hefetz, Angelika Steger

# Distributed Coloring

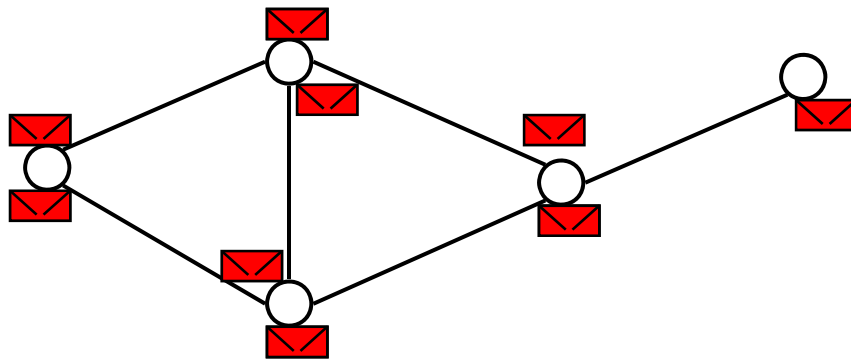
- Given: Network = Graph  $G$



- Problem: Compute coloring of  $G$  by a distributed algorithm
- Goal: Minimize number of colors
- Applications:
  - Frequencies (FDMA), time slots (TDMA) in wireless network MAC prot.
  - Other distributed coordination tasks

# Communication Model

- We use **synchronous message passing** model
- Network = **graph** (nodes: devices, edges: direct comm. links)
- Time is divided into **rounds**:



Each node sends **message** to **each** of its **neighbors**

**time complexity** = number of rounds

# Symmetry Breaking

- Main challenge: How to **break symmetries**?
- Two ways to break symmetries:
  1. Randomization
  2. Deterministic Symmetry Breaking
    - Nodes have unique IDs or some other a priori labeling
- This talk: Mostly about **deterministic** symmetry breaking

# Previous Work: Deterministic Algorithms

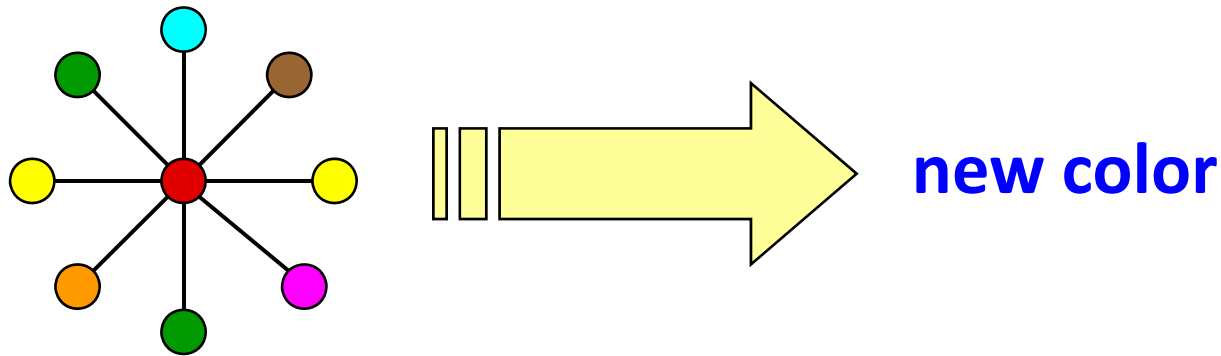
- 3-coloring of the ring in  $O(\log^* n)$  rounds [Cole, Vishkin 86]
- Lower Bound:  $\Omega(\log^* n)$  rounds needed for  $O(1)$ -coloring a ring [Linial 92]
- General Graphs:
  - $(\Delta+1)$ -coloring in time  $O(\Delta^2 + \log^* n)$  [Goldberg, Plotkin, Shannon 88]
  - $O(\Delta^2)$ -coloring in time  $O(\log^* n)$  [Linial 92]
  - $(\Delta+1)$ -coloring in time  $O(\Delta \cdot \log n)$  [Awerbuch et al. 89]
    - Combining the last two:  $(\Delta+1)$  colors in  $O(\Delta \cdot \log \Delta + \log^* n)$  rounds
  - Using network decompositions:  $(\Delta+1)$  colors in time  $2^{O(\sqrt{\log n})}$  [Awerbuch, Goldberg, Luby, Plotkin 89], [Panconesi, Srinivasan 95]
- Algorithms efficient if degree small
- Else: Huge gap between upper and lower bounds

# Previous Work: Randomized Algorithms

- Randomized  $O(\Delta)$ -coloring in expected  $O(\sqrt{\log n})$  rounds [Kothapalli,Scheideler,Onus,Schindelhauer 06]
- Randomized  $(\Delta+1)$ -coloring in expected  $O(\log n)$  rounds:
  - MIS in exp.  $O(\log n)$  rounds [Alon,Babai,Itai 86], [Luby 86]
  - Reduction from  $(\Delta+1)$ -coloring to MIS [Linial 92]
- Large gap between deterministic and randomized algorithms:
- $O(\Delta)$  colors, deterministic:  $\min \{2^{O(\sqrt{\log n})}, O(\Delta \log \Delta + \log^* n)\}$
- $O(\Delta)$  colors, randomized:  $O(\sqrt{\log n})$

# One-Round Coloring Algorithms

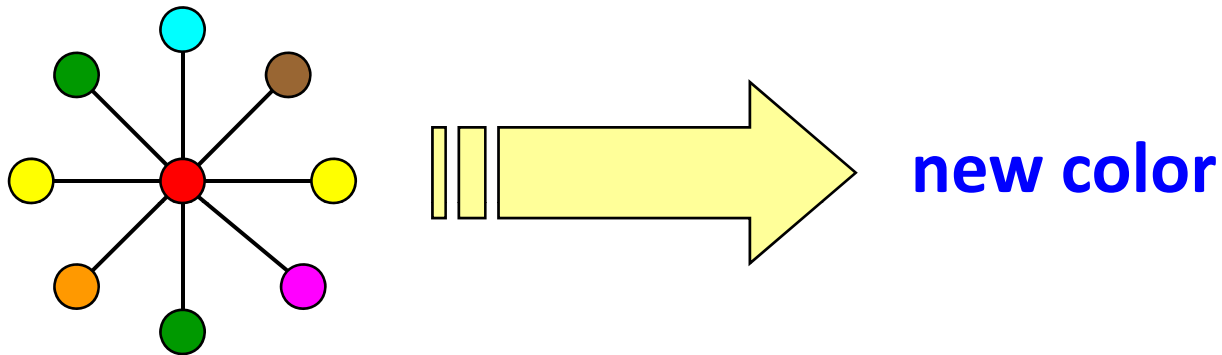
- Easiest non-trivial case, most local algorithms
- Algorithm starts with **unique IDs** or **initial coloring**
- Each node collects IDs or initial colors of its **neighbors**
- Based on this information, a **new color** is determined



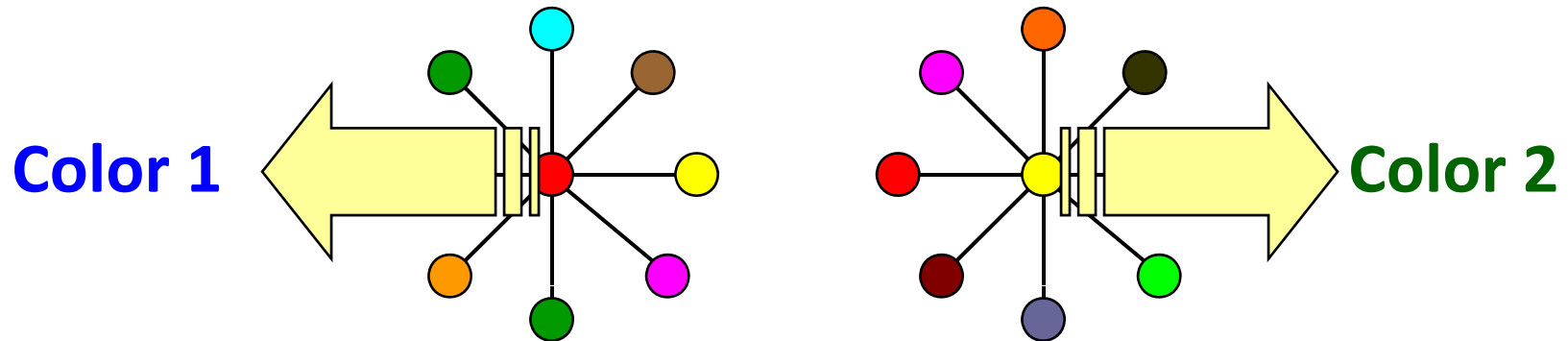
- Many existing coloring alg.: **iterative applications** of one-round alg.
- Results in algorithms with short messages

# One-Round Coloring Algorithms

- Assign **new color** to every possible **one-hop view**



- Different colors** for views of (possibly) **neighboring** nodes





# Formally...

- Given graph with max degree  $\Delta$ , initial m-coloring

- One-hop view of node  $u$ :  $(x_u, S_u)$

$x_u \in [m]$ : color of  $u$ ,  $S_u \subset [m]$ : colors of  $u$ 's neighbors ( $|S_u| \leq \Delta$ ,  $x_u \notin S_u$ )

- Views  $(x_u, S_u)$ ,  $(x_v, S_v)$  can be **views of neighbors** if  $x_u \in S_v$  and  $x_v \in S_u$

- q-coloring algorithm: **function  $f: (x, S) \rightarrow \text{color} \in [q]$**

$f(x_u, S_u) \neq f(x_v, S_v)$  if  $x_u \in S_v$  and  $x_v \in S_u$

- q-coloring algorithm: q-coloring of **neighborhood graph**

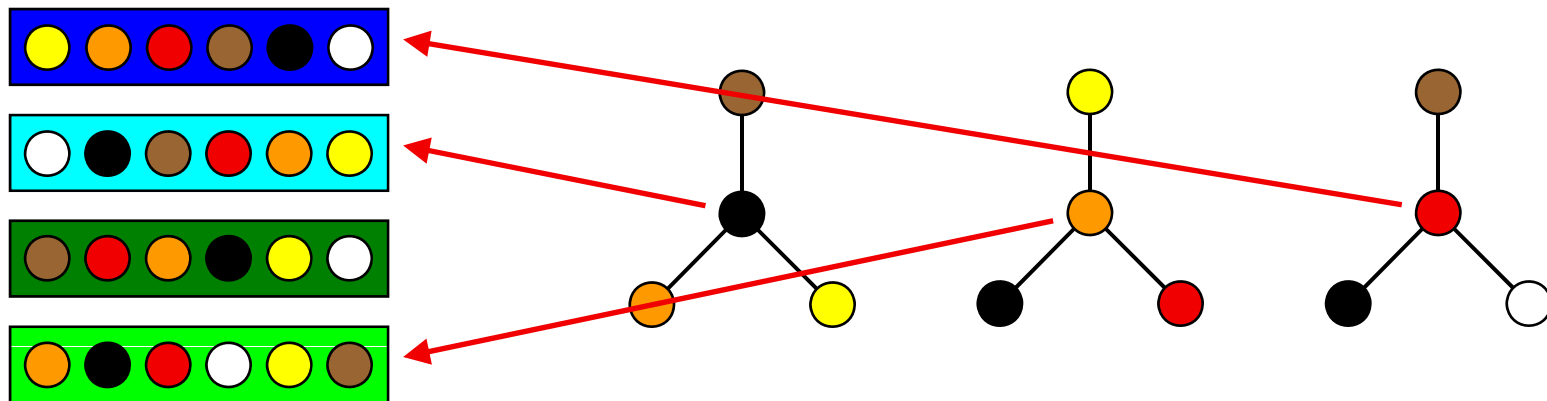
Nodes: all possible pairs  $(x, S)$ , Edge if  $x_u \in S_v$  and  $x_v \in S_u$

# One-Round Coloring: Related Work

- Given, graph of **max degree  $\Delta$ , initial  $m$ -coloring**
- **Upper bound:  $O(\Delta^2 \cdot \log m)$**  [Linial 92]
- **Lower bound:  $\Omega(\log \log m)$**  [Linial 92] (holds on ring)

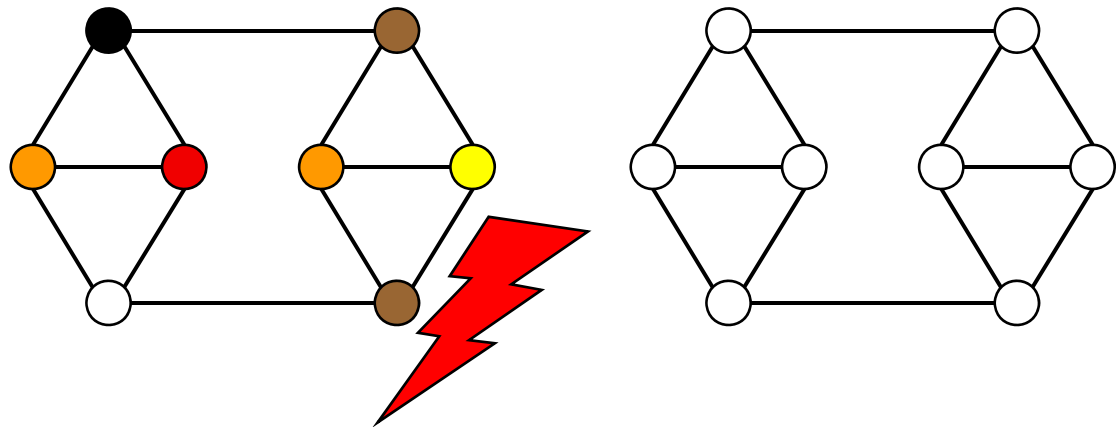
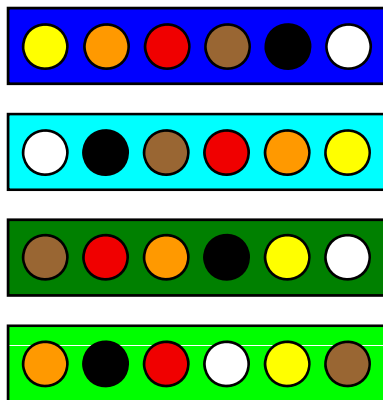
# Coloring Algorithm

- Algorithm Idea:
  - For each new color, determine a total order (permutation) on old colors
  - Node takes new color if its old color appears before colors of neighbors in corresponding order
- Example: 6 old colors (● ● ● ● ● ●), 4 new colors (● ● ● ●)



# Coloring Algorithm

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# One-Round Coloring: Upper Bound

- **Theorem:**  $(\Delta+1)^2 \cdot \ln m$  different orders suffice
- Proof Sketch: (simple probabilistic method proof)
  - Choose **random orders**
  - Any one-hop view (center color +  $\Delta$  adjacent colors) covered with **probability  $1/(\Delta+1)$**
  - Prob. that a given view is not covered by  $(\Delta+1)^2 \cdot \ln m$  orders:

$$\left(1 - \frac{1}{\Delta + 1}\right)^{(\Delta+1)^2 \ln m} < \frac{1}{m^{\Delta+1}}$$

- Number of **one-hop views** (center col,  $\Delta$  adj. cols) is at most  $m^{\Delta+1}$
- Probability that there is a view that is not covered is  $< 1$
- **Probability that all views are covered  $> 0$**
- There is a set of  $(\Delta+1)^2 \cdot \ln m$  orders which cover all views

# Multicoloring

- Assign **sets of colors** such that neighboring sets are **disjoint**  
minimize total number of colors, maximize size of sets
- Taking  **$c \cdot \Delta^2 \cdot \ln m$  colors** for sufficiently large constant  $c$ :  
Every possible one-hop view gets a color w.h.p.
- In fact, every node can choose many colors
- For  **$c = O(1/\delta^2)$** , a node with degree  $d$  gets  **$(1-\delta)/d$ -fraction** of all colors (by a simple Chernoff argument)
- Might be useful for TDMA slot assignments  
(extremely local algorithm, better usage of channel by assigning multiple slots to each node)

# Algebraic Constructions

- Uses a probabilistic argument  $\rightarrow$  no explicit algorithm
- There are algebraic constructions that are almost as good:
  - $p_1, \dots, p_k$ : first  $k$  prime numbers
  - Node with ID  $x$  can take colors  $(i, x \bmod p_i)$  for  $i = 1, \dots, k$
  - Choose a color that no neighbor can take
  - Chinese remainder theorem:  
upper bound on number of  $i$  such that for  $x \neq y$ :  $x \equiv y \pmod{p_i}$   
(if  $\prod_i p_i \geq m^\Delta$ , every node finds a color)
  - Choosing  $k = O(\Delta \cdot \log m / \log(\Delta \cdot \log m))$  suffices
  - Prime number theorem: there are sufficiently many small primes:  
 $p_k = O(\Delta \cdot \log m) \rightarrow \# \text{colors} = k \cdot p_k = O(\Delta^2 \cdot \log^2 m / \log \Delta)$
- Using similar algorithm based on polynomials over finite fields:  $O(\Delta^2 \cdot \log^2 m / \log^2 \Delta)$  colors
- Iterating  $O(\log^* m)$  times  $\rightarrow O(\Delta^2)$ -coloring

# Multicoloring II

- Explicit algorithms can be extended to also get bounds for multicoloring (parameter  $\delta \in (0,1)$ ):
  - Total number of colors:  $O(\Delta^2 \cdot \log^2 m / \log^2 \Delta)$   
node of degree  $d$  gets  $O(\delta / (d^{1+\delta} + \log_{\Delta} N))$ -fraction of colors
  - Total number of colors:  $O(\Delta^{O(\log^* m)} \cdot \log m / \log \Delta)$   
node of degree  $d$  gets  $O(\delta/d^{1+\delta})$ -fraction of colors
  - Trade-off possible between the two extremes possible
- Open problem:  
Find better explicit algorithms for graph multicoloring
- Randomized: With  $O(\Delta \cdot \log(n)/\delta^2)$  colors, possible to assign  $(1-\delta)/d$ -fraction to each node of deg.  $d$  w.h.p. ( $n = \#$  of nodes)



# Defective Coloring

- Generalization of the classical coloring problem
- The **defect  $d$**  of a coloring of the vertices of a graph is the maximum degree of a graph induced by one of the color classes. (classic coloring:  $d=0$ )
- Problem: Given  $d$ , minimize number of colors
- Using similar techniques as for standard coloring:  
deterministic  **$d$ -defective  $O((\Delta/d)^2)$ -coloring** in time  **$O(\log^* m)$**
- Tricky part: iterative application

# Deterministic $(\Delta+1)$ -Coloring Algorithm

- If number of colors  $m \geq \Delta+2$   
We can reduce by a factor of  $(\Delta+1)/(\Delta+2)$  in one round  
[Kuhn,Wattenhofer 06]
- Algorithm (assume  $O(\Delta^2)$ -coloring is given):
  - Compute  $(\Delta/2)$ -defective  $c$ -coloring  
( $O(1)$  colors in  $O(\log^* \Delta)$  rounds)
  - Recursively compute  $(\Delta/2 + 1)$ -coloring for each color class  
(can be done in parallel)
  - Combination of the two colorings gives  $c \cdot (\Delta/2 + 1) = O(\Delta)$ -coloring
  - Reduce to  $\Delta+1$  colors in  $O(\Delta)$  rounds
- Recursion for time:  $T(\Delta) \leq T(\Delta/2) + O(\Delta)$ ,  $T(2) = O(1)$

# $(\Delta+1)$ -Coloring Algorithm: Analysis

- Recursion for time:  $T(\Delta) \leq T(\Delta/2) + \alpha \cdot \Delta$ ,  $T(2) \leq 4\alpha$   
(for some constant  $\alpha$ )
- Theorem:  $T(\Delta) \leq 2\alpha \cdot \Delta$ 
  - True for  $\Delta = 2$
  - $\Delta > 2$ :  $T(\Delta) \leq 2\alpha \cdot \Delta/2 + \alpha \cdot \Delta = 2\alpha \cdot \Delta$
- Obtaining  $O(\Delta^2)$ -coloring to begin:  $O(\log^* m)$  time
- Total time for  $(\Delta+1)$ -coloring:  $O(\Delta + \log^* m)$
- Same idea gives  $\lambda \cdot (\Delta+1)$ -coloring in  $O(\Delta/\lambda + \log^* m)$  rounds for every  $\lambda \geq 1$  (e.g.  $\Delta^{3/2}$ -coloring in  $O(\Delta^{1/2} + \log^* m)$  time)

# Weak Colorings

- One of the first papers on local algorithms by Naor and Stockmeyer considered the following weak coloring problem: Assign colors to nodes such that every node has at least one neighbor with a different color
- Generalization: Assign colors to nodes such that every node has at least  $k$  neighbors with different color
- $O(k^2)$  colors in  $O(\log^* m)$  rounds
- $k+1$  colors in  $O(k + \log^* m)$  time  
(same technique as for  $(\Delta+1)$ -coloring, trade-off also possible)

# Lower Bound for One-Round Algorithms

- $\Omega(\Delta^2/\log^2 \Delta)$ -lower bound on number of colors for deterministic algorithms in [Kuhn,Wattenhofer 06]
- New: Improved  $\Omega(\Delta^2)$ -lower bound, much simpler proof
- Observation (IDs can be replaced by initial colors):
  - Nodes  $u, v$  with IDs  $x, y$ , set of neighbor IDs:  $S_x, S_y$
  - If  $y \in S_x$  and  $x \in S_y$ ,  $u$  and  $v$  must choose different colors (otherwise, there is a graph on which the algorithm does not work)
  - The color sets of nodes with ID  $x$  and a neighbor with ID  $y$  are disjoint from the color sets of nodes with ID  $y$  and a neighbor with ID  $x$

# Edge Orientations

- A new color can be seen as an orientation on the edges of  $K_m$
- A node with ID  $x$ , neighbor IDs  $S_x$  can choose a new color  $\alpha$  if in corresponding orientations all edges  $(x,y)$  for  $y \in S_x$  are oriented as  $x \rightarrow y$
- Find orientations on the edges of  $K_m$  such that  $\forall x, S (x \notin S, |S| \leq \Delta), \exists$  an orientation such that  $x \rightarrow y$  for all  $y \in S$
- Lower bound for coloring: Show that a certain number of orientations does not suffice!
- Remark: Edge orientation problem for general graphs  $G$ : Condition must hold for all  $x, S$  as before where all  $y \in S$  are neighbors of  $x$

# Sources

- $X \subseteq [m]$  ( $[m] = \{1, \dots, m\}$ )
- $x \in X$  is source w.r.t.  $X$  for a given orientation if  $x \rightarrow y, \forall y \neq x, y \in X$
- For every orientation and every  $X \subseteq [m]$ : at most one source
- Theorem: If  $m \geq \Delta^2/4 + \Delta/2$ ,  $\Delta^2/4$  orientations do not suffice
- Proof ( $\Delta$  even):
  - There are at most  $\Delta^2/4$  sources w.r.t.  $[m]$  and some orientation  
→ there are at least  $\Delta/2$  non-sources
  - Let  $X$  be set of  $\Delta/2$  of non-sources
  - Show: there is one-hop view  $(x, S)$  with  $|S| = \Delta - 1$  that gets no color  
( $x \in X, S = X \setminus \{x\} \cup \{\Delta/2 \text{ other IDs}\}$ )

# Lower Bound Proof

- Need to find  $(x, S)$  such that for all orientations, there is  $y \in S$  with  $y \rightarrow x$
- Per orientation, at most one source w.r.t.  $X$   
 $\rightarrow$  on average, IDs in  $X$  source for  $(\Delta^2/4)/(\Delta/2) = \Delta/2$  orientations w.r.t.  $X$   
 $\rightarrow \exists x \in X$  that is source for at most  $\Delta/2$  orientations
- $\exists y \in S = X \setminus \{x\}$  with  $y \rightarrow x$  for all but these  $\Delta/2$  orientations
- Because  $x$  is non-source, for every orientation, there is  $y \in [m]$  for which  $y \rightarrow x$
- Hence, we can add  $\Delta/2$  additional IDs to the set  $S$  to “cover” all orientations



# Summary: One-Round Lower Bounds

- Hence, for  $m \geq \Delta^2/4 + \Delta/2$ ,  $\Omega(\Delta^2)$  colors are best possible for deterministic one-round algorithms
- Combined with Linial's ring lower bound:  $\Omega(\Delta^2 + \log \log m)$
- **Randomized** algorithms:
- For  $\Delta = \Omega(\log n)$ ,  $\Omega(\Delta \cdot \log n / \log \log n)$  colors needed
- Proof based on more complicated counting argument and Yao's principle

# The Color Reduction Problem

- We want an algorithm that works for any graph  $G$  with max. degree  $\Delta$  and initial  $m$ -coloring (assume  $\Delta$  and  $m$  are known)
- Goal: Reduce the number of colors as quickly as possible (time complexity of algorithm should be function of  $m$  and  $\Delta$ )
- Note: There is **no bound** on the size of the graph
- Because size of graph is not bounded:  
**randomization does not help!**

# Color Reduction Lower Bound

- Problem has nice recursive structure that can be exploited
- Proof Sketch:
  - $\mathcal{N}_r(m, \Delta)$ : neighborhood graph for  $r$  rounds,  
 $\chi(\mathcal{N}_r(m, \Delta))$  = number of colors needed by  $r$ -round algorithm
  - $\eta_{r, \Delta}(G)$ : number of edge orientations needed for graph  $G$
  - We have seen:  $\chi(\mathcal{N}_1(m, \Delta)) = \eta_{r, \Delta}(K_m)$  (note that  $K_m = \mathcal{N}_0(m, \Delta)$ )
  - It can be shown:  $\chi(\mathcal{N}_r(m, \Delta)) = \eta_{r, \Delta}(\mathcal{N}_{r-1}(m, \Delta))$
  - Recursive structure allows to show the following lemma:  
If after removing  $s$  independent sets from  $\mathcal{N}_r(m, \Delta)$ , a  $t$ -clique remains,  
removing  $s$  independent sets from  $\mathcal{N}_{r+1}(m, \Delta)$  leaves a  $t'$ -clique  
(for some specific value of  $t$  and  $t'$ )
  - Proof of lemma uses same basic technique as lower bound on  $\eta_{r, \Delta}(K_m)$
- Result:  $\chi(\mathcal{N}_r(m, \Delta)) = \Omega(\Delta^2/r)$
- Hence, our algorithm is essentially tight

# Distributed Coloring: Open Problems

- Lower bound for deterministic distributed coloring algorithms (or is there really a polylog algorithm?)
- Lower bound for randomized algorithms ( $\Omega(\log^*n)$  best current lower bound)
- Explicit multicoloring, other coloring variants
- Dynamic case?  
(maybe more realistic communication models in general)