Costs of task allocation with local feedback: effects of colony size and extra workers in social insects and other multi-agent systems

Tsvetomira Radeva<br/>1\*, Anna Dornhaus^2‡, Nancy Lynch^1‡, Radhika Nagpal^3‡, Hsin-Ha<br/>o ${\rm Su}^1$ 

**1** Electrical Engineering and Computer Science Department, Massachusetts Institute of Technology, Cambridge MA, USA

**2** Department of Ecology and Evolutionary Biology, The University of Arizona, Tucson AZ, USA

3 School of Engineering and Applied Sciences, Harvard University, Cambridge MA, USA

<sup>‡</sup>These authors are joint senior authors on this work.

\* Corresponding author (email: radeva@csail.mit.edu (TR))

#### Abstract

Adaptive collective systems are common in biology and beyond. Typically, such systems require a task allocation algorithm: a mechanism or rule-set by which individuals select particular roles. Here we study the performance of such task allocation mechanisms measured in terms of the time for individuals to allocate to tasks. We ask: (1) Is task allocation fundamentally difficult, and thus costly? (2) Does the performance of task allocation mechanisms depend on the number of individuals? And (3) what other parameters may affect their efficiency? We use techniques from distributed computing theory to develop a model of a social insect colony, where workers have to be allocated to a set of tasks; however, our model is generalizable to other systems. We show, first, that the ability of workers to quickly assess demand for work in tasks they are not currently engaged in crucially affects whether task allocation is quickly achieved or not. This indicates that in social insect tasks such as thermoregulation, where temperature may provide a global and near instantaneous stimulus to measure the need for cooling, for example, it should be easy to match the number of workers to the need for work. In other tasks, such as nest repair, it may be impossible for workers not directly at the work site to know that this task needs more workers. We argue that this affects whether task allocation mechanisms are under strong selection. Second, we show that colony size does not affect task allocation performance under our assumptions. This implies that when effects of colony size are found, they are not inherent in the process of task allocation itself, but due to processes not modeled here, such as higher variation in task demand for smaller colonies, benefits of specialized workers, or constant overhead costs. Third, we show that the ratio of the number of available workers to the workload crucially affects performance. Thus, workers in excess of those needed to complete all tasks improve task allocation performance. This provides a potential explanation for the phenomenon that social insect colonies commonly contain inactive workers: these may be a 'surplus' set of workers that improves colony function by speeding up optimal allocation of workers to tasks. Overall our study shows how limitations at the individual level can affect group level outcomes, and suggests new hypotheses that can be explored empirically.

## Author summary

Many complex systems have to allocate their units to different functions: cells in an embryo develop into different tissues, servers in a computer cluster perform different calculations, and insect workers choose particular tasks, such as brood care or foraging. Here we demonstrate that this process does not automatically become easier or harder with system size. If more workers are present than needed to complete the work available, some workers will always be idle; despite this, having surplus workers makes redistributing them across the tasks that need work much faster. Thus, unexpectedly, such surplus, idle workers may potentially significantly improve system performance. Our work suggests that interdisciplinary studies between biology and distributed computing can yield novel insights for both fields.

# Introduction

Many systems in biology and engineering, from cells to mobile networks and human societies, consist of several or many interacting units that contribute 'work' towards a central goal [1–6]. Each of these systems employs a 'task allocation mechanism', i.e., individual workers choose, or are allocated to, a specific part of the total workload, a task, which they then attempt to complete. The simplest such task allocation mechanism might be one where each individual picks a task randomly; another simple (from an algorithm standpoint) mechanism might be one where each individual is preprogrammed to always pick a defined task. For example, in a simple multicellular organism such as the alga Gonium [7], each cell processes nutrients that it happens to encounter, and each cell is equally likely to reproduce. Conversely, a car may be made up of lots of elements that need to work together to make the car run, but these elements have no flexibility with regard to how they contribute to this goal: each part fulfills its preprogrammed and unchangeable function. However, most biological systems, and many engineered ones, do not behave according to either of these extremes. Instead, individuals have to choose how to contribute, and may use various types of information about the need for different types of work to make this choice (note that we are using the term 'choice' in the sense of possessing an algorithm that leads to task selection, and do not imply free will). The goals of any such task allocation mechanism are to achieve efficiency and robustness of system function. For example, in a developing embryo, multiple cells have to select which organs or tissues to develop into [8]. The task allocation mechanism used has to ensure that the right cells are allocated to all necessary organs; at the same time, it has to tolerate the occasional loss of cells. Similarly, in cloud computing, the demand for different types of computation may change dynamically over time, and so might the availability of individual processors [9,10]. The ideal task-allocation mechanism used here again has to achieve a match of allocated processors with current needs, which likely requires repeated re-allocation.

Is task allocation a difficult problem, and does it matter which algorithm is chosen? If task allocation is an easy problem, then the match of work to workers should be close enough to the theoretical optimum that the efficiency and robustness of the evolved biological systems and designed/engineered systems are not substantially reduced. However, there is evidence from theoretical computer science that indicates that task allocation (referred to as 'resource allocation') is difficult [11–13] in that it requires a non-negligible amount of resources (such as time, memory, and/or communication messages). In particular, [12] shows that if individuals also differ in how well they can perform different types of work, then in the model they consider, task allocation is an NP-hard problem. Another line of evidence for the idea that task allocation is difficult q

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

is the number of workers in distributed systems that are in fact not allocated to any tasks [14]. In social insect colonies in particular, a large fraction of workers do not appear to work [15]; in addition, at any point in time, there is another substantial group of individuals who are thought to be actively looking for work [16]. This may indicate either that these workers are in excess of the number needed to perform tasks, or that they are result of a task allocation mechanism that either costs time (in the form of workers looking for work) or produces inadequate allocation (unemployed workers that could be employed). Either way, this would indicate that task allocation is not an easy problem (several other hypotheses, unrelated to task allocation, have also been proposed [15]). In distributed computing, extra computing devices (in addition to the number necessary to complete the tasks) are often used to achieve fault tolerance and increase efficiency by replicating information and computation over multiple devices [9,10]. Both of these phenomena might indicate that task allocation is neither effective nor fast: if task allocation were easy to achieve quickly, then there would not be a need for costly buffering. If task allocation is a difficult problem, we would expect to see complex systems employ imperfect mechanisms that lead to approximate solutions, or which sometimes fail to allocate workers to tasks correctly, or we might see additional strategies that compensate for mistakes of imperfect task allocation, or trade-offs between the resources invested and the quality of task allocation achieved. Thus, in these cases we expect the chosen task allocation mechanism to contribute significantly to system performance or biological fitness. It will not then be possible to understand the evolution of system organization, or to design an efficient and robust system, without also understanding the constraints imposed by the process of task allocation.

Here we aim to contribute to an understanding of what limits flexible and robust task allocation. To do this, we develop a model of task allocation in social insect colonies. We are specifically interested first in how group size, i.e. the number of individuals that may be allocated to work, affects the difficulty of correct task allocation, and second, in the effects of having more workers available than work (which would lead to inactive workers). We also discuss the effect of the number of distinct task types to which workers have to be allocated. We quantify performance of three generalized task allocation mechanisms that differ in the amount of information available to workers about the demand for work in different tasks. We are thinking of our model as representing individual insect workers making choices among such tasks as foraging or brood care. However, our model is kept general in many respects, and is thus likely to apply to many similar systems where individuals are making choices about tasks using local information.

Group size is typically thought to be a central factor in determining complex system 75 function [17]: multicellular organisms [18], human societies and organizations [19,20], 76 and social and computer networks [21] all have been argued to develop more complexity, 77 acquire new functionalities, and be competitively superior at larger group sizes, and all 78 of this has also been argued for social insect colonies [22]. In many cases, although not 79 unequivocally [19,22], larger group size has been associated with more specialized, and possibly less flexible, individuals within the group; this may result from the smaller 81 variance typically experienced by larger groups because of the 'law of large numbers' [23]. 82 Larger groups may also benefit from 'economies of scale' when there are fixed costs that 83 do not scale linearly with the number of individuals [24]; for example, broadcast signals 84 reach more individuals in larger groups at the same cost [25]. Biological accounts of the 85 evolution of larger groups, at any level of organization, typically focus on these benefits 86 of group size [17]. In computer science, on the other hand, research has often focused on 87 the costs of group size [13, 26]. Generally speaking, algorithms that require interactions 88 between individuals take much longer to execute in larger groups, because the number 89 of possible interactions increases faster than linearly with group size (with  $N^2$  for 90

40

41

42

43

44

45

46

47

48

49

50

51

52

53

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

69

70

71

72

73

74

pairwise interactions, exponentially when any number of interactants is possible). Indeed this effect of group size on 'naive' distributed problem-solving algorithms is so great that the group size is typically equated with 'problem size', and the performance of algorithms is measured mainly in terms of how strongly they depend on group size or other measures closely related to group size [13,27]. This makes sense if one assumes that the effect of group size will outweigh the effects of any constant factors on the performance of the algorithm, even for moderately large groups.

As stated above, we are using social insect colonies as a model system to study the effect of group size on the difficulty of task allocation. Social insects such as bees, ants, wasps, and termites typically live in colonies that contain one or a few queens, who are the source of colony reproduction, and many, anywhere from a handful to millions of workers, who do not reproduce but complete all other tasks [28–30]. These tasks include foraging (finding and collecting food), nest building and repair, brood care (caring for immature individuals; Hymenopteran insects such as bees and ants spend  $\approx 10 - 30\%$  of their lifespan in an immature stage in which they cannot move and have to be cleaned, fed, defended, and kept at a tolerable temperature much like the most dependent mammals in their infant stage), colony defense, and various other tasks that may include thermoregulation (such as by ventilation or heating), nest cleaning, undertaking (removing dead individuals), etc. [15]. The need for work in these different tasks typically fluctuates in daily and seasonal patterns as well as stochastically [31].

Social insect colonies are self-organized, meaning that neither the queens nor any other workers 'direct' the task choices of other workers, although interactions between individuals such as communication signals and aggression may affect task selection [29, 32]. There are more than 10000 species of ants alone, and different species of social insects may use different task allocation mechanisms. Any task allocation mechanism consists of two parts: the traits of individuals that predispose them to particular tasks, and the behavioral rules that lead them to select a particular task at a given moment (the individual-level algorithm; [33]). In social insects, body size, age, physiological and nutritional status, sensory abilities, and other internal factors are thought to create variation among individuals in task preferences and skills; in addition, individual experience, interactions with other workers, spatial and hierarchical position in the colony, and random encounters with tasks will do so as well, in the short and long term [14, 32, 34]. In different species, some or all of these factors may play a role in task allocation, and to differing degrees. The behavioral rule set, i.e. the algorithm, by which individuals choose a task to work on in the moment, is typically thought to involve a comparison between an individual's task preferences and the need for a particular task; this is sometimes referred to as the 'task stimulus response threshold mechanism' (because workers are thought to have different thresholds at which they decide to work on a task, depending on the level of 'task stimulus' which communicates demand for work in the task, [35]). However, it is worth noting that the actual precise algorithm is seldom defined in the insect literature; e.g. 'thresholds' may actually be continuous probabilistic functions, and it is unclear how multiple task stimuli are evaluated (in random order, or at the same time, and do they interact or not). It is also typically unclear how the factors listed above interact to produce variation in preferences across tasks or across individuals; e.g. are the preferences for different tasks independent of one another or not [36]. All of this may also vary across species.

Despite this uncertainty about the precise mechanism, the fact that social insects achieve task allocation is well studied. Workers in a colony specialize to a large or small degree on different tasks, and may switch tasks as needed [37], although this may come at additional cost [38]. Colonies are typically able to effectively compensate for worker loss ([36], although see [39]) or changes in demand for different tasks [14]. However, it is also the case that inactive workers are common: at any point, often > 50%, 137

91

92

93

94

95

96

97

98

99

100

101

102

103

104

105

106

107

108

109

110

111

112

113

114

115

116

117

118

119

120

121

122

123

124

125

126

127

128

129

130

131

132

133

134

135

sometimes > 70%, of the colony appear not to be performing any tasks [15]. This may be in part due to need for rest, selfish reproduction by workers [40, 41], or immaturity of workers [42]; but it has also been suggested that completely inactive and 'walking' (without apparently getting anything done) workers may either be looking for work and failing to find it [16], or in fact be a surplus of workers not necessary to complete the work of the colony [14]. Inactive workers, i.e. units within a complex system that are not contributing, may also be common elsewhere, both in biology and engineering [43, 44]. Here we examine the effect of such a buffer of apparently redundant workers on task allocation efficiency.

This study aims to contribute to understanding why social insects evolved the task 152 allocation mechanisms that they did, and, more generally, what limits effective task 153 allocation in distributed systems. We contribute to these aims by measuring the 154 performance of task allocation mechanisms under different assumptions. To achieve this, 155 we derive how quickly task allocation can be achieved using distributed computing 156 theory methods to analyze algorithm performances. We use a generalized task 157 allocation mechanism with three different assumptions about how individual workers 158 can acquire information about the need for more work in specific tasks (what we call 159 the 'deficit'). This approach then leads us to insights about whether and how task 160 allocation is limited by group size, the relationship of group size to the total need for 161 work (what we call the 'demand'), the information available to workers, the number of 162 tasks, and how precisely the colony must match the allocation of workers to demands 163 for work across tasks. The rest of this paper is organized as follows: in the Methods 164 section, we describe the tools and techniques we use from distributed computing theory, 165 together with a formal model of the task allocation system we consider; in the Results 166 section, we mathematically derive bounds (that is upper limits) on the time for ants to 167 allocate themselves to tasks in the various versions of our formal model, and also 168 provide some intuitive explanations and numerical examples of the results; in the 169 Discussion section, we emphasize the implications of our results for actual ant and bee 170 species and we address some caveats and open questions. 171

## Methods

In this paper, we use modeling and analysis techniques from the field of theoretical distributed computing to study the difficulty of task allocation in insect colonies. Distributed computing is a field that typically studies networked computers that jointly, but in a self-organized manner, solve a computational problem [13]. Similar to biological complex systems, the individual computers may pass messages to each other, but will be otherwise acting independently. We believe that many of the insights and tools from the field of distributed computing theory will be directly useful and informative for biology, and some recent studies have started to apply them to biological problems ([12,45–49]).

In distributed computing theory and in this paper, models are generally abstract, 181 discrete and probabilistic; moreover, they are modular in that each individual is modeled 182 independently from other individuals, from the environment (including the tasks), and 183 from the information about tasks the environment may provide to individuals. In these 184 models, we design distributed algorithms and assign an independent copy of the 185 algorithm to run at each individual. We analyze the algorithms mathematically, using 186 proof techniques from probability theory and algorithm complexity, to derive guarantees 187 on the solvability and efficiency of task allocation (measured as the time for workers to 188 allocate themselves correctly to tasks). The specific results we present have both a 189 worst-case and an average-case flavor. The worst-case aspect of the results refers to the 190 possible initial values of the parameters in the system; in other words, we do not 191 measure the performance of our algorithms with respect to the expected average 192

143

144

145

146

147

148

149

150

151

172

173

174

175

176

177

178

179

performance given some distribution of starting environments, but instead consider how well the algorithm will do with the worst possible starting conditions (e.g. with respect to the distribution of demands across different task types). The average-case aspect of the results is with respect to the probability distribution of the actual decisions of the workers and the probabilistic feedback they receive from the environment. We elaborate more on this distinction in the Informal Definitions and descriptions section.

#### Our approach

The specific abstraction of the task allocation problem that we study involves a distributed process of allocating all workers to tasks with the goal of satisfying the demand for each task. The demand for each task can be thought of as a work-rate required to keep the task satisfied. We consider all workers to be equal in skill level and preferences. While this is an abstraction, we focus here on simply the challenge of allocating generalist workers among tasks. We do not attempt to model how the demand for a task is computed or measured empirically. Instead, we assume that as a result of workers trying to maximize the fitness of the colony, there is some optimal number of workers performing each task, and this is what the workers should attempt to match.

At each time step, each worker decides what task to work on based on simple 209 feedback from the environment informing the worker of the state of the tasks. In 210 particular, we consider two specific types of environment feedback: (1) whether the 211 worker is successful at its current task, and (2) which task does the work choose next. 212 We analyze whether this general algorithm is able to successfully allocate the workers so 213 that all tasks are satisfied, and the time for this process to terminate. In particular, we 214 focus on upper bounds for the time to satisfy all tasks (i.e. how long it is expected to 215 take given the worst possible starting conditions) as a function of colony size, the 216 number of tasks, and the total amount of work in the presence or absence of extra 217 workers (beyond the minimum to satisfy all tasks) in the colony. 218

#### Informal definitions and descriptions

**Model:** We consider a setting in which all workers are identical and each worker can supply one unit of work to each task type (brood care, foraging, nest maintenance, etc.). For brevity, for the rest of the paper, we will refer to tasks types as *tasks*.

At the start of the re-allocation process, each task is characterized by an integer-valued demand, and we consider a task to be satisfied when the number of units of work provided to the task is at least as much as the demand of the task. In order to guarantee that it is possible to satisfy the demands of all tasks, we assume that the number of workers is at least as large as the total sum of all demands.

We also assume the workers perform actions in lock step and that each such step is sufficiently long so that the workers can re-evaluate the state of the environment at the end of each round, which includes the effect of the work performed by other workers in that round. Based on that information, at the end of each step, each worker decides what action to perform (what task to work on) in the next step. We measure the efficiency of the re-allocation process as the number of steps necessary for the workers to re-allocate to the tasks in a way that matches or exceeds the demands (we term this 'successful reallocation').

Feedback about task demands:We abstract away from actual low-level236mechanisms that workers use to acquire knowledge about the environment; instead, we237focus on the *information content* of the environment feedback. Therefore, we can model238feedback that is minimal and probabilistic. Our goal is to provide only limited239information about the state of the environment.240

199

200

201

202

203

204

205

206

207

208

219

220

221

222

223

224

225

226

227

228

229

230

231

232

233

234

In particular, we consider environment feedback that consists of two components: success and choice. The first component, success, informs each worker whether it is successful at the task it is currently working on (i.e. whether its work was needed there), and the second component, choice, provides each worker with an alternative task to work on, in case it is not successful at its current task. From a biological perspective, the separation between these two components is motivated by the two main ways a worker interacts with its environment: (1) from attempting to work on some task, a worker learns whether its work is needed, and (2) from randomly interacting with tasks in the nest, it may perceive need for work in tasks it is not active in. We consider the following specifications for success and choice.

Success feedback: We assume that for a given time step and a given task, if the number of workers working on this task is less than or equal to the demand of the task, then all workers working on the task are 'successful'. Otherwise, if more workers are working on the task than the demand requires, then we assume *success* informs only as many workers as needed to satisfy the demand that they are successful, and it informs the rest of the workers working on the task that they are unsuccessful. Since workers are identical and do not store any work history (similarly to a Markov process), it is not important which workers are the successful ones and which workers are the unsuccessful ones among all the workers working on some task, as long as the number of successful workers does not exceed the demand of the task.

A good analogy to the *success* feedback is the game of musical chairs: the number of chairs corresponds to the demand of the task, and the number of workers working on the task corresponds to the number of people playing the game. In musical chairs, all players who manage to find a seat when the music stops continue to the next round; similarly, the workers that manage to complete some amount of work that contributed to decreasing the demand are considered successful.

As a result, *success* provides each worker with implicit information about the amount of work needed for the task without directly informing the worker of the exact value of that amount.

*Choice feedback:* For the second component, *choice*, of the environment feedback, we assume workers determine an alternative task to work on by encountering tasks randomly. We model three probability distributions for the *choice* component.

The simplest way to model a worker encountering a random task in the nest is to assume *choice* provides the worker with (1) a uniformly random task (that is, each task is equally likely to be chosen). We think of the uniform distribution as a very natural way to choose a task without any information about the set of tasks or their demands. Other distributions imply some knowledge about parameters of the distribution. For example, the normal distribution implies we have some information about the mean and variance of the distribution. Even more importantly, since our random variable is discrete, the normal distribution is not a good choice because we do not assume any 'ordering' of the tasks. Thus, the 'uniform' distribution here simply means that each task is chosen with equal probability. Alternatively, we might think workers recognize tasks that need work, and *choice* might provide (2) a uniformly random task only among the unsatisfied tasks. Finally, we might think that tasks provide information on their level of demand, and thus workers may be able to choose (3) a task that needs more work compared to other tasks. Option (1) implies that workers initially choose a task with no information on the demand for work in different tasks. Options (2) and (3)imply that workers can sense which tasks need work before engaging in them, e.g. through a task stimulus produced by unsatisfied tasks such as pheromone produced by hungry (unfed) brood (indicating need for brood care). Since we assume that in all cases workers will discover whether their contribution was actually needed through the 'success feedback' mechanism, options (1) and (2) imply that tasks are ultimately

241

242

243

244

245

246

247

248

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

264

265

266

267

268

269

270

271

272

273

274

275

276

277

278

279

280

281

282

283

284

285

286

287

288

289

290

291

checked for demand one at a time, i.e. with a cost of one round per task checked, while <sup>293</sup> in option (3) workers can sense demand for all tasks at once. <sup>294</sup>

See Table 1 for an example execution of the task allocation system.

	Inactive Workers	Task 1	Task 2	Task 3	Task 4
		**	****	*	***
Time 0 :	••••	00	• 0 00	0	• • •
Time 1 :	• • • • • • • • • •	•0	• 0 00	•	• • •
Time $2:$	• • • • •	•0	• • 00	•	••0
Time 3 :	• • ••	•0	• • 00	•••	••0
Time 4 :	••	••	• • ••	•	•••

Table 1. Sample execution of a task allocation in our model.

Sample execution of a task allocation in our model. The stars denote the demand of each task, the empty circles denote unsatisfied units of work, and the solid circles denote workers working on specific tasks. The execution begins at time 0 when only two workers are working on tasks 2 and 4. Then, at time 1 some workers join tasks 1 and 3. At time 2, more workers join all tasks. At time 3, too many workers join Task 3 and only one of them is successful because the demand for the task is 1. Finally, at time 4 all tasks are satisfied. The remaining workers indicate that the size of the colony is greater that the total sum of the demands of all tasks.

**Performance measure:** In all three of the options for the *choice* component, keeping the *success* component the same, we are interested in upper bounds on (that is, the maximum value of, and thus the worst-case for) the time until workers are correctly re-allocated such that the demands of the tasks are satisfied. It is important to note that our results have both a worst-case flavor (in terms of the initial configuration of the system) and average-case flavor (in terms of the probability distribution defined by the *choice* component).

The worst-case analysis refers to the initial assignment of workers to tasks as well as the demands of the tasks. So, when we say that for some scenario the running time is at most t, informally, it implies that for any possible initial configuration of task demands and assignment of workers to tasks, starting from that configuration, it takes time at most t to re-allocate the workers correctly. It is not always clear whether there exists an initial configuration (assignment of workers to tasks and task demands) that results in a re-allocation of exactly time t; it is also not straightforward to identify the initial configuration that requires the most rounds for workers to re-allocate correctly (the 'worst-case' initial configuration). In other words, we do not average the time to re-allocate over all possible initial configurations. Averaging over all possible initial configurations would be a challenging task given that the space of such initial configurations is very large; moreover, we would have to assume all initial configurations are equally likely to arise, which may not necessarily be a reasonable assumption.

The average-case (or more generally, probabilistic) analysis refers to the fact that we use the distribution of outputs of the *choice* component. So, when we say that for some scenario with probability at least p the running time is at most t, informally, it implies that we took into account all possible outputs of *choice* and their likelihood in order to calculate t. In other words, it is possible that the workers do not re-allocate within time t (or ever), but the probability of that happening is less that 1 - p (usually extremely small). Analyzing the running time in such a probabilistic way is a manageable task because we know exactly what the distribution of outputs of *choice* is for each of the three options and at each step.

295

296

297

298

299

300

301

302

303

304

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

#### Formal definitions

See S1 Text for a more detailed version of this section.

Let A denote the set of workers and T denote the set of tasks. Each task  $i \in T$  has 327 an integer demand  $d_i$  that represents the minimum number of workers required to work 328 on task i in order to satisfy the task. Let  $w_i$  denote the total number of worker units of 329 work currently supplied to task i. Let  $\vec{w}$  and  $\vec{d}$  denote the vectors of  $w_i$  and  $d_i$  values, 330 respectively, for each  $1 \le i \le |T|$ . The  $\vec{d}$  vector is static, while  $\vec{w}$  changes over time 331 depending on the different tasks workers choose to work on. Clearly, in order for all 332 demands to be met, there should be sufficiently many workers in the colony. We assume 333 that there exists a real  $c \ge 1$  such that  $|A| = c \cdot \sum_{i \in T} d_i$ . 334

**Feedback:** We consider two feedback components, *success* and *choice*, that provide each worker with a boolean in  $\{0, 1\}$  and a task in  $T \cup \{\bot\}$ , respectively, determined based on  $\vec{w}$  and  $\vec{d}$ . The output values of *success* and *choice* are determined according to some probability distributions.

Workers : Each worker  $a \in A$  has a state  $q \in Q = \{q_{\perp}, q_1, q_2, \cdots, q_{|T|}\}$  at each 339 point in time, where  $q_{\perp}$  indicates that worker a is not working on any task and each 340 state  $q_i$ , for  $i \in \{1, \dots, |T|\}$ , indicates that worker a is working on task i. Each worker 341 is modeled as a finite state machine with transition function 342  $\delta: Q \times (\{0,1\} \times (T \cup \{\bot\})) \to Q$ ; in other words, each worker's new state is determined 343 by its old state and its inputs from the success and choice components. Let q be the 344 current state of some worker a, and let q' be the resulting state of worker a after 345 applying  $\delta$ . In each step, q' is determined as follows: q' = q if success outputs 1, and 346  $q' = q_i$  if success outputs 0 and choice outputs  $i \in T \cup \{\bot\}$ . 347

**Execution:** The execution of any algorithm solving the task allocation problem starts at time 0 and proceeds in synchronous rounds, such that each round r + 1, for  $r \ge 0$ , denotes the transition from time r to time r + 1. In each round r + 1, the success and choice components provide each worker with a boolean and a task. Each worker component performs a state transition using its  $\delta$  transition function and performs some amount of work on the task associated with its state.

**Problem statement:** A task  $i \in T$  is *satisfied* at time r if  $d_i \leq w_i(r)$ . An algorithm satisfies all tasks by time  $r \geq 0$  if for each  $r' \geq r$ , all tasks  $i \in T$  are satisfied at time r'.

The specification of *success* and some of the specifications of *choice* in this section are inspired by the biological model by Pacala et al. [50] and simplified for the sake of easier analysis.

 $|\{a \mid a \text{ is in state } q_i \text{ at time } r \text{ and receives 1 from the success component in round } r + 1\}| = \min(d_i, w_i(r))$ . Also, each worker in state  $q_{\perp}$  at time r receives 0 from success in round r + 1.

**Choice component:** The *choice* component returns a candidate task to each worker as an alternative task to work on. We consider three different specifications of *choice*: 367

325

326

348

349

350

351

352

- 1. choice returns a task drawn from all the tasks in T uniformly at random (with probability 1/|T|). 370
- 2. choice returns a task drawn from the set of unsatisfied tasks,  $U(r) = \{i \mid d_i > w_i(r)\}$ , uniformly at random. If there is no such task, then choice returns  $\perp$ .
- 3. choice returns a task *i* drawn from the set of all unsatisfied tasks with probability  $(d_i - w_i(r)) / \sum_{j \in U(r)} (d_j - w_j(r))$ . This option corresponds to the scenario where workers can somehow sense the need to work on each task, and are more likely to work on tasks with high deficit  $d_i - w_i(r)$  compared to the total deficit of all unsatisfied tasks  $\sum_{j \in U(r)} (d_j - w_j(r))$ . 376

## Results

First, we present the formal statement of our results, together with simple proof 380 overviews. We start by introducing a few general facts about the task allocation system, 381 like properties of the success and choice feedback, and simple results about the the 382 general growth of the level of satisfaction of each task. Next, we describe the main 383 results corresponding to each of the three options for the *choice* components. For each 384 such option, we present the formal result on how much time is required for workers to 385 correctly re-allocate, and then describe informally the main arguments of the proofs. 386 The full proofs of all the results are available in S2 Text. Readers uninterested in the 387 specific mathematical arguments can skip to the Non-technical Summary of Results 388 section. Finally, in the Numerical results section, we provide numerical examples that 389 illustrate our results with respect to concrete values of the parameters. 390

#### General facts

In this section, we give some basic definitions and results that will be used in the subsequent analyses of the convergence times for the various *choice* options.

A task is satisfied at time r if  $d_i \leq w_i(r)$ . Let S(r) denote the set of satisfied tasks at time r. Let  $U(r) = T \setminus S(r)$  denote the set of unsatisfied tasks at time r. For each task  $i \in T$  and each time r, let  $\Phi_i(r) = \max\{0, (d_i - w_i(r))\}$  be the *deficit* of task i at time r. If  $i \in U(r)$ , then  $\Phi_i(r) = d_i - w_i(r)$ . We define the *total deficit* at time r:

$$\Phi(r) = \sum_{i \in T} \Phi_i(r).$$

Define a worker to be *inactive* in round r, for r > 0, if it is in state  $q_{\perp}$  at time r - 1 or if it receives 0 from *success* in round r. In other words, a worker is inactive if it is not working on any task, or if it unsuccessful at the current task it is working on.

For a full list of the parameters used in the model and analysis, see Table 2. Based on the basic properties of the *success* and *choice* components, we can establish the following facts:

- 1. The number of work units supplied to a given task  $i \in T$  is non-decreasing.
- 2. For each  $r \ge 0$ ,  $|U(r)| \ge |U(r+1)|$  and  $|S(r)| \le |S(r+1)|$  (follows from fact 1). In other words, the number of unsatisfied tasks never increases and the number of satisfied tasks never decreases.
- 3. For each  $r \ge 0$ ,  $\Phi_i(r) \ge \Phi_i(r+1)$ . The deficit of each task never increases.

371

372

373

379

391

392

393

397

398

399

400

Symbol	Parameter definition	Plausible range	Explanation for range	References
	number of tasks	[2,20]	At low end if conceived of as the number of distinct worker task groups; at higher end if all 'identifiable' worker activi- ties are included.	[15, 51-53]
Φ	initial deficit	[5, 500]	Considerable variation across species and situations; what is empirically measured is the number of workers actually re- allocated or activated.	[31,54–57]
A	number of workers	[2, 20] million	Most species are in the 10-500 range for total colony size.	[22]
D	total task demands	[2, 20 million]	We assumed here that the demand for work, measured in insect workloads, is in the same range as the colony size (see section 4.3 for discussion).	[22]
С	extra workers ( A /D)	[1,2]	Since $D$ has not been empiri- cally measured, neither has $c$ . If we assume 'inactive' work- ers may be in excess of work that needs to be performed, values in the entire range are plausible.	[15, 52, 58-60]
$1-\delta$	success probability	[0.5, 0.95]	To our knowledge, no at- tempts to estimate delta or epsilon exist. Our estimates are simply based on the as- sumption that in some cases, e.g. defense, colonies would need to be 'very' certain that approximately the cor- rect number of workers are al- located to the task at hand; in other cases, such as forag- ing, colonies may only need moderate certainty that task allocation is successful.	
$1-\epsilon$	fraction of deficit to be satisfied	[0.7, 0.9]	$\epsilon$ reflects the degree to which the demand for work in a task is exactly matched. Given the high degree of stochasticity ob- served in task allocation in so- cial insects, we assumed here that $1 - \epsilon$ is not required to be 'very' close to 1 in most cases.	[54, 61]

Table 2.	Summary	of	parameters	$\mathbf{in}$	$\mathbf{the}$	task	allocation	model	and	analysis.
----------	---------	----	------------	---------------	----------------	------	------------	-------	-----	-----------

- 4. By the assumption that  $|A| = c \cdot \sum_{i \in T} d_i$ , the number of inactive workers in round r + 1 is at least  $c \cdot \Phi(r)$ . So, the more total deficit, the more inactive workers we have.
- 5. If the probability to satisfy a task in round r + 1 is at least p, then  $\mathbb{E}[|U(r+1)|] \leq |U(r)| \cdot (1-p)$  and  $\mathbb{E}[\Phi(r+1)] \leq \Phi(r) \cdot (1-p)$ . In other words, if we know the probability with which each task gets satisfied in a given round, we can calculate the expected number of unsatisfied tasks and the expected total deficit in the next round.
- 6. If *choice* always returns an unsatisfied task to each worker, then the workers re-allocate successfully in at most |T| rounds.

Next, we analyze the three variations of the *choice* component.

#### Uniformly random tasks

In this section, we consider the first option for the *choice* component, where in each round *choice* returns a task *i* with probability 1/|T|. This section includes only proof overviews and approximate running times. For detailed proofs of the results in this section, refer to S2 Text.

One of the main results for this option of the *choice* component states that for any success probability  $1 - \delta$  that we choose, the time until workers re-allocate correctly is at most  $\mathcal{O}(|T|c^{-1})(\ln \Phi(0) + \ln(1/\delta))$ . We can see the time is linearly proportional to the number of tasks |T|, logarithmically proportional to the total amount of work needed ( $\Phi(0)$ ) and the inverse of the failure probability, and inversely proportional to c, the ratio of the colony size to the total sum of demands of tasks.

**Theorem 1.** For any  $\delta$ ,  $0 < \delta < 1$ , with probability at least  $1 - \delta$ , all tasks are satisfied by time  $\mathcal{O}(|T|c^{-1})(\ln \Phi(0) + \ln(1/\delta))$ .

Proof Idea: We know that the number of inactive workers in round r + 1 is at least  $c \cdot \Phi(r)$  (by fact 4). By the definition of *choice* in this section, each inactive worker starts working on each task *i* with probability 1/|T|. Therefore, we can show that, in each round, the expected number of new workers to join each unsatisfied task is at least  $c \cdot \Phi(r)/|T|$ .

First, consider the case when  $c \leq 2|T|$  and consider some time r. After some workers 434 join task i in round r + 1, it is not guaranteed that the entire new set of workers 435 remains working on task i because some workers may be unsuccessful if task i does not 436 require that many workers. Assuming  $c \leq 2|T|$ , since the total deficit is  $\Phi(r)$  and there 437 are |T| tasks, we can show that in expectation the total deficit in the next round is at 438 least  $c \cdot \Phi(r)/|T|$  (which can be 0 if all tasks are satisfied). Therefore, in expectation, at 439 least  $c \cdot \Phi(r)/|T|$  of the new workers that join tasks will remain working on them. This 440 implies that the expected total deficit  $\Phi(r)$  decreases by approximately  $c \cdot \Phi(r)/|T|$  in 441 round r+1. 442

Next, we consider the case of c > 2|T|. We can express c as a multiple of |T|:  $c = c' \cdot |T|$  for some c' > 2. We can show that in each round, the probability to satisfy each task is at least some constant, and consequently (using fact 5 above), we conclude that the expected number of unsatisfied tasks and the total deficit decrease by a constant fraction in each round.

Finally, we start at time 0, when the total deficit is  $\Phi(0)$ , and inductively apply the conclusions above in the cases of  $c \leq 2|T|$  and c > 2|T|. By facts 2 and 3, we know that both |U| and  $\Phi$  are non-increasing, so we just need to analyze how fast they decrease. For the case of  $c \leq 2|T|$ , the expected total deficit  $\Phi(r)$  decreases by approximately  $c \cdot \Phi(r)/|T|$  in each round r + 1. So it will take approximately  $(|T|/c) \ln \Phi(0)$  rounds

406

407

413

414

415

416

417

418

419

420

421

422

423

424

425

426

427

428

429

430

431

432

433

443

444

445

446

until the total deficit decreases to 0. To turn this into a more formal probabilistic claim, 453 we can add approximately  $\ln(1/\delta)$  rounds, for some  $0 < \delta < 1$ , in order to ensure that 454 the tasks are satisfied not only in expectation, but with probability at least  $1 - \delta$ . This 455 trick works by applying a simple Markov bound (see S2 Text). 456

The second main result for this option of the *choice* component studies the time until workers re-allocate in such a way that, for any success probability  $1 - \delta$  and any fraction  $\epsilon$  that we choose, a  $(1-\epsilon)$ -fraction of the total work  $\Phi(0)$  is satisfied with probability at least  $1 - \delta$ . The time to re-allocate in this case is at most  $\mathcal{O}(|T|c^{-1})(\ln(1/\epsilon) + \ln(1/\delta))$ . Similarly to the first result in this section, the time is linearly proportional to the number of tasks |T|, logarithmically proportional to the inverse of the failure probability, and inversely proportional to c, the ratio of the colony size to the total sum of demands of tasks. However, here, we do not have a dependence on  $\Phi(0)$ , but only a logarithmic dependence on  $1/\epsilon$ .

**Theorem 2.** For any  $\delta$  and  $\epsilon$ ,  $0 < \delta$ ,  $\epsilon < 1$ , with probability at least  $1 - \delta$ , the deficit at 466 time  $\mathcal{O}(|T|c^{-1})(\ln(1/\epsilon) + \ln(1/\delta))$  is at most  $\epsilon \cdot \Phi(0)$ . 467

Proof Idea: Following the same structure as the proof above, we can also compute the number of rounds until the tasks are satisfied approximately. Suppose we only want a  $(1-\epsilon)$  fraction of  $\Phi(0)$  to be satisfied for  $0 < \epsilon < 1$ . Recall that for  $c \leq 2|T|$ , the expected total deficit  $\Phi(r)$  decreases by approximately  $c \cdot \Phi(r)/|T|$  in each round r+1. 471 So it will take only  $(|T|/c)(\ln(1/\epsilon) + \ln(1/\delta))$  rounds to ensure this is true with probability at least  $1 - \delta$  (again, the  $\ln(1/\delta)$  factor is to ensure the probability guarantee).

For the case of c > 2|T|, we proceed similarly. Recall that in this case c' = c/|T| and 475 the expected number of unsatisfied tasks and the total deficit decrease by a constant 476 fraction in each round (this constant depends on c'). So, with probability at least  $1-\delta$ , 477 all tasks are satisfied by time approximately  $(1/c')(\min\{\ln |T|, \ln \Phi(0)\} + \ln(1/\delta))$ . The 478 reason for having a minimum is to take advantage of the smaller value between |T| and 479  $\Phi(0)$ . And similarly, if we only want to satisfy the tasks approximately the  $\ln \Phi(0)$  term 480 turns into  $\ln(1/\epsilon)$ . 481

#### Uniformly random unsatisfied tasks

In this section, we consider the second option for the *choice* component where in each 483 round choice returns a task  $i \in U(r)$  with probability 1/|U(r)|. This section includes 484 only proof overviews and approximate running times. For detailed proofs of the results 485 in this section, refer to S2 Text.

One of the main results for this option of the *choice* component states that for c > 1and any success probability  $1 - \delta$  that we choose, the time until workers re-allocate correctly is at most  $\mathcal{O}(\ln \Phi(0) + \ln(1/\delta))$ . We can see the time is logarithmically proportional to the total amount of work needed  $(\Phi(0))$  and the inverse of the failure probability. Since c may be extremely close to 1, we do not get any effect of c in this result.

**Theorem 3.** For c > 1 and for any  $\delta$ ,  $0 < \delta < 1$ , with probability at least  $1 - \delta$ , all tasks are satisfied by time  $\min\{|T|, \mathcal{O}(\ln \Phi(0) + \ln(1/\delta))\}$ .

*Proof Idea:* Suppose  $c \geq 1$  and consider some time r. We can show that in round 495 r+1 at least one of the following happens: (1) the total deficit decreases by a constant 496 fraction, or (2) the number of unsatisfied tasks decreases by a constant fraction. To show 497 the first property holds, we consider tasks with a fairly high deficit, which are not likely 498 to get satisfied in one round. We show that the number of new workers joining such 499 tasks is enough to decrease the total deficit by a constant fraction. To show the second 500

457

458

459

460

461

462

463

464

465

468

469

470

472

473

474

482

487

488

489

490

491

492

493

property (the number of unsatisfied tasks decreases by a constant fraction), we focus on tasks with fairly low deficit which are likely to get satisfied within one round. We can show that these tasks are enough to decrease the total number of unsatisfied tasks by a constant fraction in one round. For showing both (1) and (2), we first prove a bound on the probability to satisfy any given task in a single round and then use fact 5 to get a bound on the expected number of unsatisfied tasks and the expected total deficit.

Finally, we start at time 0, when the total deficit is  $\Phi(0)$  and the number of 507 unsatisfied tasks is at most |T|, and inductively apply the two results above. By facts 2 508 and 3, we know that both |U| and  $\Phi$  are non-increasing, so we just need to analyze how 509 fast they decrease. If it is the case that the expected total deficit  $\Phi(r)$  decreases by a 510 constant factor in each round, then it will take approximately  $\ln \Phi(0)$  rounds until the 511 total deficit decreases to 0. If it is the case that the number of unsatisfied tasks decrease 512 by a constant factor in each round, then it will take approximately  $\ln |U(0)|$  rounds 513 until the total deficit decreases to 0. Since  $\Phi(0) \geq |U(0)|$ , we know either  $\Phi(0)$  or |U(0)|514 will decrease to 0 in approximately  $2\ln\Phi(0)$  rounds. To turn this into a more formal 515 probabilistic claim, we can add approximately  $\ln(1/\delta)$  rounds, for some  $0 < \delta < 1$ , in 516 order to ensure that the tasks are satisfied not only in expectation, but with probability 517 at least  $1 - \delta$ . This trick works by applying a simple Markov bound (see S2 Text). The 518 minimum in the final bound follows by fact 6 in the General Facts section. 519

The second main result for this option of the *choice* component states that for c > 1and any success probability  $1 - \delta$  that we choose, the time until workers re-allocate correctly is at most  $\mathcal{O}(1/\ln c)(\ln |T| + \ln(1/\delta))$ . Similarly to the result above, the time is logarithmically proportional to the total amount of work ( $\Phi(0)$ ) needed initially, and the inverse of the failure probability. Now, c is strictly greater than 1, so we see that the time is also inversely proportional to the natural logarithm of c.

**Theorem 4.** For c > 1 and for any  $\delta$ ,  $0 < \delta < 1$ , with probability at least  $1 - \delta$ , all tasks are satisfied by time  $\min\{|T|, \mathcal{O}((1/\ln c)(\ln |T| + \ln(1/\delta)))\}$ .

*Proof Idea:* Suppose c > 1 and consider some time r. Unlike the case of  $c \ge 1$ , where 528 in round r+1 either the total deficit or the number of unsatisfied tasks decreases by a 529 constant fraction, here we can show that the number of unsatisfied tasks decreases by at 530 least a constant fraction in round r + 1. We consider all tasks with a fairly low deficit, 531 which are likely to get satisfied in a single round. The total deficit at time r is  $\Phi(r)$ , and 532 the total number of inactive workers in round r+1 is at least  $c \cdot \Phi(r)$ . The fact that the 533 number of inactive workers is at least a constant fraction greater than the total deficit 534 lets us show that the expected number of low-deficit tasks is at least a constant fraction 535 of all unsatisfied tasks. Therefore, by satisfying these low-deficit tasks the number of 536 unsatisfied tasks decreases by a constant fraction in expectation. Again, we can show 537 this by proving a bound on the probability to satisfy any given task and then using fact 538 5. The value of that constant fraction by which the number of unsatisfied tasks 539 decreases is what determines the dependence of the running time on  $1/\ln c$  in this case. 540

Finally, we start at time 0, when the total deficit is  $\Phi(0)$  and the number of unsatisfied tasks is |U(0)|, and inductively apply the result above to show that the workers will re-allocate correctly within  $\mathcal{O}(\ln |U(0)| + \ln(1/\delta))$  rounds. Note that  $\ln |U(0)| \leq \ln |T|$  and  $\ln |U(0)| \leq \Phi(0)$ . The minimum in the final bound follows by fact 6 in the General Facts section.

We can combine the results of the two theorems in this section. Clearly, if c is extremely close to 1, the  $1/\ln c$  term becomes very large, and in the limit the running time becomes  $\infty$ . Therefore, we can take the minimum of the running times in the cases of  $c \ge 1$  and c > 1 to get the overall running time of the algorithm. Essentially, the running time is determined mostly by the case of c > 1, except for the small range of values for c when c is very close to 1.

526

527

541

542

543

544

545

546

547

548

549

550

#### Unsatisfied tasks prioritized by deficit

In this section, we consider the third option for the *choice* component where in each round *choice* returns a task  $i \in U(r)$  with probability  $(d_i - w_i(r))/\Phi(r)$ . This section includes only proof overviews and approximate running times. For detailed proofs of the results in this section, refer to S2 Text.

One of the main results for this option of the *choice* component states that for  $c \ge 1$ and any success probability  $1 - \delta$  that we choose, the time until workers re-allocate correctly is at most  $\mathcal{O}(\ln \Phi(0) + \ln(1/\delta))$ . We can see the time is logarithmically proportional to the total amount of work needed ( $\Phi(0)$ ) and the inverse of the failure probability. Since c may be extremely close to 1, we do not get any effect of c in this result.

**Theorem 5.** For  $c \ge 1$  and for any  $\delta$ ,  $0 < \delta < 1$ , with probability at least  $1 - \delta$ , all tasks are satisfied by time  $\min\{|T|, \mathcal{O}(\log \Phi(0) + \log(1/\delta))\}$ .

Proof Idea: Since an inactive worker starts working on a task i with probability  $(d_i - w_i(r))/\Phi(r)$ , and since there are at least  $\Phi(r)$  inactive workers in round r + 1, the expected number of new workers to join task i in round r + 1 is at least a constant fraction of  $d_i - w_i(r)$ , which is exactly the deficit of the task at time r. We can show that each task is satisfied in round r + 1 with probability 1/2, and so, by fact 5 the total number of unsatisfied tasks and the total deficit decreases by half in expectation. Finally, we start at time 0, when the total deficit is  $\Phi(0)$  and inductively apply the observation above to show that the workers will re-allocate correctly in approximately  $\log \Phi(0)$  rounds. The minimum in the final bound follows by fact 6 in the General Facts section.

The second main result for this option of the *choice* component states that for c > 1and any success probability  $1 - \delta$  that we choose, the time until workers re-allocate correctly is at most  $\mathcal{O}(1/c)(\ln \Phi(0) + \ln(1/\delta))$ . Similarly to the result above, the time is logarithmically proportional to the total amount of work needed ( $\Phi(0)$ ) and the inverse of the failure probability. Now, c is strictly greater than 1, so we see that the time is also inversely proportional to the natural logarithm of c.

**Theorem 6.** For c > 1 and for any  $\delta$ ,  $0 < \delta < 1$ , with probability at least  $1 - \delta$ , all tasks are satisfied by time  $\min\{|T|, \mathcal{O}(1/c)\mathcal{O}(\ln \Phi(0) + \ln(1/\delta))\}$ .

Proof Idea: For the case of c > 1, similarly to the case of  $c \ge 1$ , we show that each task is satisfied with a constant probability, so the number of unsatisfied tasks and the total deficit decrease by a constant fraction in each round. The value of that constant fraction is what let us show that the running time depends on 1/c. The minimum in the final bound follows by fact 6 in the General Facts section.

We can combine the results the two theorems in this section. Clearly, if c is extremely close to 1, the 1/c term becomes very large, and in the limit the running time becomes  $\infty$ . Therefore, we can take the minimum of the running times in the cases of  $c \ge 1$  and c > 1.

Suppose the *success* component is not completely reliable and Introducing noise: 592 it can flip the 0/1 bits of at most  $0 \le z \le |A|$  workers in round r+1. Moreover, we 593 assume the information needed to determine the outputs of the *choice* component in the 594 same round is based on the state variables at time r. That is, the *choice* component 595 does not incorporate the z potential mistakes into its outputs. Also, suppose the *choice* 596 component is also not completely reliable and can change the probability of outputting 597 task *i* from exactly  $\Phi_i(r)/\Phi(r)$  to any value larger than  $(1-y)(\Phi_i(r)/\Phi(r))$  for any 598  $0 \le y < 1$  while still maintaining a probability distribution over all the tasks. 599

552

557

558

559

560

561

562

563

564

565

566

567

568

569

570

571

572

573

574

575

576

577

578

579

580

581

582

588

589

590

Although it is no longer possible to guarantee that all tasks are satisfied, we can show that the deficit does not exceed z, and the time to achieve this increases as yapproaches 1. For any success probability  $1 - \delta$  that we choose and any noise parameters y and z (within the permitted ranges), we study the time until workers re-allocate in such a way that at most z units of work remain unsatisfied. Similarly to above, the time is logarithmically proportional to the total amount of work needed  $(\Phi(0))$  and the inverse of the failure probability. Additionally, for the case of  $c \ge 1$  (in particular when c is very close to 1) the time is inversely proportional to  $\ln(1/y)$ , a value that gets extremely large as y gets very close to 1. In the case of c > 1, the time is inversely proportional to c and does not have the dependence on y.

**Theorem 7.** For  $c \ge 1$ , for any  $\delta$ ,  $0 < \delta < 1$ , and for  $r = \min\{|T|, \mathcal{O}(1/\ln(1/y))(\ln \Phi(0) + \ln(1/\delta))\}, \Pr[\Phi(r) \le z] \ge 1 - \delta.$ 

**Proof Idea:** Similarly to the proofs in the previous sections, we need to get a statement on how quickly the expected value of the total deficit decreases. Here, we get a similar result; however, the rate of decrease of the total deficit also depends on the parameters of the noise y and z. In particular, we can show that in each round, the expected total deficit decreases by a 1 - (3 + y)/4 fraction (note that this extremely small as y gets close to 1) and it may never go lower than z. The minimum in the final bound follows by fact 6 in the General Facts section.

With the above result in mind, we can apply the usual strategy of starting at time 0 when the total deficit is  $\Phi(0)$  and inductively applying the claim above. The time until the workers re-allocate correctly (with the exception of at most z units of work) is approximately  $(1/\ln(1/y))(\ln\Phi(0) + \ln(1/\delta))$ .

**Theorem 8.** For 
$$c > 1$$
, for any  $\delta$ ,  $0 < \delta < 1$ , and for  
 $r = \min\{|T|, \mathcal{O}(1/c)(\ln \Phi(0) + \ln(1/\delta))\}, \Pr[\Phi(r) \le z] \ge 1 - \delta.$ 

*Proof Idea:* Similarly to the previous sections, we can show a similar result for c > 1. 625 We show that the probability to satisfy each task in each round is some constant that 626 depends on c and that determines the 1/c factor in the running time. Then, we show 627 that the expected total deficit decreases by a constant fraction (that also depends on c) 628 and it may never go lower than z. Note that, unlike the case of  $c \geq 1$ , here the 'extra 629 workers' help cancel the effect of y on the running time. Finally, we start at time 0 630 when the total deficit is  $\Phi(0)$  and inductively apply the claim above. The time until the 631 workers re-allocate correctly (with the exception of at most z units of work) is 632 approximately  $(1/c)(\ln \Phi(0) + \ln(1/\delta))$ . The minimum in the final bound follows by fact 633 6 in the General Facts section. 634

As in the previous sections, we can combine the above two theorems by taking a minimum.

#### Non-technical summary of results

For the various options for the *choice* feedback component (keeping the *success* component the same), we study the time to correctly re-allocate all workers: the number of steps workers need to take until the demands of all tasks are satisfied or over-satisfied. In particular, we show three types of results, which differ in precisely what conditions are set on this performance measure (rows in Table 3).

First, we consider the case where the demand D has to be fully satisfied with a high probability  $(1 - \delta)$ . For this case, in options (2) and (3), we see that if the number of task types (|T|) is small, the time to allocation only depends on this parameter (see also Table 4). If the number of task types is high, we see a positive (logarithmic) dependence of the time to correctly re-allocate all workers on the deficit across all tasks (i.e. the

600

601

602

603

604

605

606

607

608

609

610

611

612

613

614

615

616

617

618

635

636

637

638

639

640

641

	option $(1)$	option $(2)$	option $(3)$
satisfy all	$\mathcal{O}( T (1/c))$	$\min\{ T ,$	$\min\{ T , \mathcal{O}(1/c)$
$\Phi$ work	$(\ln \Phi + \ln(1/\delta))$	$(\min\{1, \mathcal{O}(1/\ln c)\})$	$(\ln \Phi + \ln(1/\delta))\}$
with prob.		$(\ln \Phi + \ln(1/\delta)))$	
$1-\delta$			
satisfy	$\mathcal{O}( T (1/c))$	$\min\{ T ,$	$\min\{ T , \mathcal{O}(1/c)$
$\Phi(1-\epsilon)$ work	$(\ln(1/\epsilon) + \ln(1/\delta))$	$(\min\{1, \mathcal{O}(1/\ln c)\})$	$(\ln(1/\epsilon) + \ln(1/\delta))\}$
with prob.		$(\ln(1/\epsilon) + \ln(1/\delta)))\}$	
$1-\delta$			
satisfy	did not	did not	$\min\{ T ,$
$\Phi - z$ work	analyze	analyze	$(\ln \Phi + \ln(1/\delta))$
under			$\mathcal{O}(\max\{1/c,$
uncertainty			$1/\ln(1/y)\})\}$

Table 3.	Summary	of results.
----------	---------	-------------

The values in the table are upper bounds on the time for workers to achieve a task allocation that fulfills the criteria in the first column, given a particular option for the choice feedback. Results are presented in 'big O' (asymptotic) notation, which only gives the type of dependence on particular parameters, without specifying constant factors. This helps emphasize the parameters the results depend on, and does not give any information on the exact values of the running times. For precise values of these results, see the Numerical results section and S2 Text.

#### Fig 1. Time for workers to re-allocate as a function of c.

The three plots indicate the times until workers re-allocate successfully for options (1), (2), and (3) of the *chocie* component as a function of c. The x-axis denotes the value of c, and the y axis denotes the time for workers to re-allocate. For options (1) and (3) the plotted function is approximately 1/c multiplied by the corresponding time to re-allocate for c = 1. For option (2), the plotted function is approximately  $1/\ln c$ , truncated at the time for workers to re-allocate for c = 1.

value of  $\Phi$ ). That is, correct allocation takes longer if more workers have to be re-allocated; this relationship is not linear but saturates over time. In the case of option (1) (where workers can only check for demand in different tasks sequentially rather than instantaneously), we also see a linear positive dependence on the number of tasks |T|. Finally, as the workers-to-work-ratio (c) increases, the time to re-allocate all workers decreases: this means that if there are 'extra workers' (workers in excess of the total demand for work), task allocation becomes faster. In options (1) and (3), that dependence is approximately 1/c, and in option (2), the dependence is slightly weaker:  $1/\ln c$  (Fig 1). However, note that extra ants do not contribute towards a faster task allocation until c is large enough (approximately until  $c \ge e$ ).

Second, we studied the time until the demand D in different tasks is satisfied approximately (to within a  $(1 - \epsilon)$  fraction) rather than exactly as above (but still with high probability of  $1 - \delta$ ). In general, the effect of different parameters on performance is similar to the case where task demands are satisfied exactly. However, we show that in this case, for all options of *choice*, surprisingly, the time to re-allocate all workers does not depend on the total deficit ( $\Phi$ ) at all. Instead, it depends on the value of  $\epsilon$ . In particular, the smaller  $\epsilon$  gets, the more accurately we need to re-allocate all workers, leading to a longer time to do so, until the same time as for the exact case is reached (as in the first row in Table 4).

The results in both cases (exact and approximate matching of task demands) are the

648

649

650

651

652

653

654

655

656

657

658

659

660

661

662

663

664

665

#### Fig 2. Time for workers to allocate as a function of c and $1 - \delta$ .

The two plots indicate the times until workers re-allocate successfully for options (1), (2), and (3) of the *chocie* component as a function of c and  $1 - \delta$  respectively, with specific parameter values assumed (compare the left plot to Fig 1). For both plots, we assume |T| = 4,  $\Phi = 10$ , and  $\epsilon = 0$ . Additionally, for the plot on the left, we assume  $1 - \delta = 0.99$ , and for the plot on the right, we assume c = 1. For the plot on the left, the y-intercept for option (1) (corresponding to c = 1) is approximately 221 (and thus this is also the value for option 1 at  $1 - \delta = 0.99$  in the right plot.

same for  $\epsilon = 1/\Phi$ . This implies that for very large  $\Phi$ ,  $\epsilon$  needs to be very small in order to have equal values in the two rows. Approximate task allocation is achieved faster than precisely accurate task allocation when  $\Phi > 1/\epsilon$ .

Finally, for the third option of the *choice* component, we also study the time to re-allocate all workers under some noise in the *success* and *choice* components. In particular, we assume the *success* component can make a limited number of 'mistakes' (at most z flipped bits from 0 to 1 and vice versa) and the *choice* component may return a task with a probability slightly larger or smaller than we require in option (3) (change the probability of a task being suggested to a worker by at most a factor of 1 - y). We show that the best the workers can do in re-allocating is to satisfy all but z units of work, and the time to reach such a re-allocation increases as the range of the probabilities of *choice* increases.

#### Numerical results

Here, we choose some sample values for the parameters in the model and calculate numerical results (Table 4 and Fig 2). The expressions used to generate these values roughly correspond to the first two rows of the table in Table 3, with the difference that here the values are exact upper bounds and not asymptotic (big-oh) notation (see S2 Text for how they are calculated).

The most obvious pattern here is that task allocation takes a lot more rounds under option (1) (workers are not able to assess quickly which tasks need more work) than under options (2) and (3) for choice. Is task allocation then a 'difficult' problem that requires a significant amount of time? This depends on how long, in real time, a 'round' is. If workers require time on the order of minutes to choose a task, attempt to perform work in it, and assess whether they have successfully contributed to the colony with this work, then the results for option (1) imply that a colony will need one or several hours to correctly match workers to tasks when the demand for work in the different tasks changes. For the examples given here, that would imply a definite cost, in terms of not being able to maintain a correct match of workers to the tasks that need work (since the level of demand for work is likely to change more frequently than every few hours, or because a lag in matching demand in the realm of hours implies a significant cost). If workers only require seconds to assess demand for work across all tasks (e.g. because task stimuli are volatile pheromones, or global variables like temperature), and can choose a task based on this information, then the time cost of correct allocation in options (2) and (3) is likely insignificant. This would imply that a correct allocation can be achieved quickly, and thus workers should be dynamically and optimally reallocated to changing demands on a timescale of less than a minute.

Another pattern emerging from these calculations is that under options (2) and (3) for choice, it is primarily the number of task types (|T|) that affects how fast task allocation proceeds. Neither the number of extra workers (c) nor the size of the initial work deficit ( $\Phi$ ) play a major role; also neither does  $\epsilon$ , i.e. allowing a small amount of error in allocation does not decrease the time to successful reallocation in a meaningful 705 706 707 708 708 709 709 709 709 709

668

669

670

671

672

673

674

675

676

677

678

679

680

681

682

683

684

685

686

687

688

689

690

691

692

693

694

695

696

697

698

699

700

701

702

Insect name	T	c	Φ	$1-\delta$	$1-\epsilon$	(1)	(2)	(3)
Honey bee	10	1.3	5000	0.95	0.7	708.49	10	6.32
(Apis mellifera)								
predator attack						(258.44)	(10)	(4.73)
Honey bee	10	1.3	150	0.8	0.7	407.39	10	4.93
(Apis mellifera)								
change in foraging						(173.13)	(10)	(3.35)
conditions								
Rock ants	4	1.7	5	0.5	0.7	43.34	4	2.69
(Temnothorax								
rugutulus)								
change in foraging						(35.71)	(4)	(2.43)
conditions								
Rock ants	4	1.7	25	0.9	0.9	103.93	4	4
(Temnothorax								
rugutulus)								
emigration after						(86.69)	(4)	(4)
nest breakdown								
Bumble bee	8	1.5	5	0.9	0.75	166.91	8	4.62
(Bombus impatiens)								
						(157.39)	(8)	(4.3)

Table 4. Numerical results.

We calculated the time to successful allocation, in the three options of our model, using numerical parameter values that approximate the conditions in some example cases of task re-allocation in social insects. For each option, we calculate the number of rounds until the entire demand D (consequently, the entire initial deficit  $\Phi$ ) is satisfied and, in parentheses, the number of rounds until a  $(1 - \epsilon) \cdot \Phi$  fraction of the demand is satisfied. These are not intended to be exact time estimates; the values for  $c, \delta$ , and  $\epsilon$  have not been estimated empirically for any species, nor is it clear how long a 'round' precisely should be. The intent, here, is to check whether task allocation might take a significant amount of time in realistic scenarios (and thus be considered a difficult problem, and its solutions, i.e. task allocation algorithms, subject to natural selection). These numerical estimates also serve to illustrate how the different parameters affect the time to successful reallocation in a realistic context of other parameter values.

way. How accurate are these conclusions, given that we are only examining somewhat arbitrarily chosen parameter combinations? Our results in Table 3 give a more complete picture, as do the plots in Fig 2; this table is only intended as an illustration of the results. However, the parameter values illustrated here are not entirely arbitrary, but represent best-guesses given empirical data (see Table 4). For example, many authors have tried to examine the number of task types in social insects, and our results cover the range generally found (2–30; Table 4).

## Discussion

Modeling, in general, can serve different purposes in the scientific process [62, 63]. Our paper has the goal of examining, first, whether 'task allocation', i.e. the process of using a distributed, self-organized algorithm to dynamically match workers to work, is a difficult problem, and second, what factors determine the optimal algorithm to achieve such task allocation. Our paper thus aims to provide a 'proof of principle' sensu [63]: 721 722 723 724 726 726 727 727 727 728

namely, we aim to show under which factors should be expected, or not expected, to affect the performance of task allocation mechanisms given certain assumptions. Next, we survey the relevant work on theoretical modeling and empirical studies of task allocation; then, we discuss our results, and examine the assumptions we made in the model to achieve them.

#### Related work

The process of task allocation and its typical outcome, division of labor, have received a lot of attention in the social insect literature. Empirical studies typically focus on determining the individual traits or experiences that shape, or at least correlate with, individual task specialization: e.g. when larger or older individuals are more likely to forage (e.g. [53]) or when interaction rates or positive experience in performing a task affect task choices [32, 64]. Generally the re-allocation of workers to tasks after changes in the demand for work often needs to happen on a time scale that is shorter than the production of new workers (which, in bees or ants, takes weeks or months, [65]), and indeed empirical studies have found that the traits of new workers do not seem to be modulated by colonies to match the need for work in particular tasks [66]. Therefore, more recent empirical and most modeling studies focus on finding simple, local behavior rules that generate individual task specialization (i.e. result in division of labor at the colony level), while simultaneously also enabling group-level responsiveness to the changing needs for work in different tasks [35, 67, 68]. For example, in classic papers, Bonabeau et al. [69] showed theoretically that differing task stimulus response thresholds among workers enable both task specialization and a flexible group-level response to changing task needs; and Tofts and others [70,71] showed that if workers inhabit mutually-avoiding spatial fidelity zones, and tasks are spread over a work surface, this also enables both task specialization and flexible response to changing needs for work.

In this paper we examined how well we should expect task allocation to be able to match actual demands for work, and how this will depend on group size and the number of 'extra', thus inactive, workers. Neither of the modeling studies cited above explicitly considered whether task allocation is improved or hindered by colony size and inactive workers. In addition, while several studies find increasing levels of individual specialization in larger groups, the empirical literature overall does not show a consensus on how task allocation or the proportion of inactive workers is or should be affected by group size (reviewed in [14, 22]).

In general, few studies have cosidered the efficiency of the task allocation process 755 itself, and how it relates to the algorithm employed [72], often in the context of 756 comparing bio-(ant-)inspired algorithms to algorithms of an entirely different 757 nature [73,74]. For example, Pereira and Gordon, assuming task allocation by social 758 interactions, demonstrate that speed and accuracy of task allocation may trade off 759 against each other, mediated by group size, and thus 'optimal' allocation of workers to 760 tasks is not achieved [72]. Duarte et al. also find that task allocation by response 761 thresholds does not achieve optimal allocation, and they also find no effect of colony 762 size on task allocation performance [75]. Some papers on task allocation in social insects 763 do not examine how group size *per se* influences task allocation, but look at factors such 764 as the potential for selfish worker motives [76], which may be affected by group size, 765 and which imply that the task allocation algorithm is not shaped by what maximizes 766 collective outcomes. When interpreting modeling studies on task allocation, it is also 767 important to consider whether the number of inactive workers is an outcome emerging 768 from particular studied task allocation mechanisms, or whether it is an assumption put 769 into the model to study its effect on efficiency of task allocation. In our study, we 770 examined how an assumed level of 'superfluous', thus by definition 'inactive', workers 771 would affect the efficiency of re-allocating workers to tasks after demands had changed. 772

722

723

724

725

726

727

728

729

730

731

732

733

734

735

736

737

738

739

740

741

742

743

744

745

746

747

748

749

750

751

752

753

While the above models concern the general situation of several tasks, such as 773 building, guarding, and brood care, being performed in parallel but independently of 774 one another, several published models of task allocation specifically consider the case of 775 task partitioning [77], defined in the social insect literature as a situation where, in an 776 assembly-line fashion, products of one task have to be directly passed to workers in the 777 next task, such that a tight integration of the activity in different tasks is required. This 778 is, for example, the case in wasp nest building, where water and pulp are collected by 779 different foragers, these then have to be handed to a construction worker (who mixes 780 the materials and applies them to the nest). Very limited buffering is possible because 781 the materials are not stored externally to the workers, and a construction worker cannot 782 proceed with its task until it receives a packet of water and pulp. One would expect 783 different, better-coordinated mechanisms of task allocation to be at work in this case. 784 In task partitioning situations, a higher level of noise (variation in availability of 785 materials, or in worker success at procuring them) increases the optimal task switching 786 rate as well as the number of inactive workers, although this might reverse at very high 787 noise levels [78]. Generally larger groups are expected to experience relatively lower 788 levels of noise [79]. In this line of reasoning, inactive workers are seen as serving a 789 function as 'buffer' (or 'common stomach', as they can hold materials awaiting 790 work) [79,80]; this implies that as noise or task switching rate increase, so does the 791 benefit (and optimal number) of inactive workers. 792

#### Does task allocation matter?

Is task allocation a difficult problem, and does it matter which algorithm is chosen? If task allocation is an easy problem, then the match of work to workers can be achieved without significant costs. If task allocation is difficult, on the other hand, the choice of task allocation algorithm matters for system performance; in biological systems where this is the case, we would expect task allocation mechanisms to be under strong selection, and their evolution to reflect the specific ecological context of the system. In social insect colonies, for example, task allocation mechanisms appear to differ between species - this could be the case because they are not under selection, and different species happen to have hit on different, equally good, solutions, or because they are under selection, and different species have different requirements (e.g. because they differ in the frequency with which demand for work in different tasks changes). There is some evidence that even brief mismatches of work to workers, i.e. incorrect task allocation, can be detrimental in certain species (e.g. because brood do not develop well when briefly not thermoregulated [81]).

Here we estimate the time to correct allocation for several species and contexts 808 (Table 4). For example, we estimate that when a honey bee colony is attacked by a 809 large predator, and 5000  $(\pm 30\%)$  bees should ideally be allocated to defense, the time to 810 achieve this within our generalized task allocation algorithm would be around 5-10811 rounds if all bees can directly sense the need for more defenders (options (2) or (3)), 812 and 700 rounds if they cannot (and only arrive in the defense task because they 813 randomly tested different tasks in different rounds, option (1)). Since this particular 814 situation requires a quick collective response, the difference between option (1) and 815 options (2) or (3) appears meaningful, regardless of whether a 'round' takes minutes or 816 seconds to complete. In another example, a change in foraging conditions in the case of 817 rock ants (Temnothorax) may imply that only five additional workers need to be 818 allocated to the task of foraging; however, in that system it appears likely that 819 individuals need on the order of a minute rather than seconds to assess both the state of 820 their environment and whether their own task performance is successful (in the sense of 821 fulfilling a demand). If that is the case, a delay of 40 rounds may also be a meaningful 822 and costly delay to appropriately exploiting novel food sources, for example. In all cases, 823

793

794

795

796

797

798

799

800

801

802

803

804

805

806

the main effect on the difficulty of task allocation is whether or not individuals can assess the demand across different tasks simultaneously (instead of only in the one task 825 they are working on), and what time period a 'round' in our model corresponds to (i.e. 826 how long it takes a worker to assess whether its current work is needed, i.e. whether it 827 is 'successful' in the task according to the terms used in our model). In addition, the 828 costs as presented in Table 4 have to be paid each time the demands for work in 829 different tasks change, and workers have to be reallocated to match these new demands. 830 Overall, our calculations show that realistic parameter estimates can lead to potentially 831 meaningful costs of slow task allocation. Our calculations are pretty coarse however, as 832 the precise values of many of the parameters are not known (however see Table 2 for 833 references on parameter estimates). More empirical work in this area would be useful. 834

Our work also addresses a more general question. Division of labor is widespread in 835 complex systems from developing embryos to human organizations; it implies a degree 836 of individual specialization, i.e. more or less consistent differences between individuals 837 in the tasks chosen. Division of labor is often associated with 'progress' or 'increase in 838 complexity' (e.g. [17]). All systems with division of labor must implement some 839 algorithm that lets individuals choose their task. How do these task allocation 840 algorithms evolve, i.e. which external or internal conditions select for which kinds of 841 mechanism? For example, under which conditions and in which systems do the best task 842 allocation algorithms produce highly specialized workers, insensitive to small changes in 843 demands across tasks? One might argue that in a system with highly specialized 844 workers, the cost of allocation mismatch is never more than the average allocation 845 minus current demands, because the system can make specialized workers in the correct 846 proportion for the average expected allocation. Any algorithm that allows workers to be 847 fully generalist, i.e. to freely switch between any tasks, must ensure that the mismatch 848 of workers to demands is not on average greater than that. Understanding more about 849 why particular task allocation mechanisms are selected for would thus increase our 850 understanding about the evolution of specialization and division of labor more generally. 851

#### Colony size does not affect ease of task allocation

Does colony size lead to a change in which task allocation algorithms perform well, and does it lead to selection for specialization? The answers to these questions are not straightforward (and neither are the empirical results on this [22]). Contrary perhaps to conventional wisdom in both biology and computer science, we do not find a direct dependence of the time to solve the task allocation problem on 'colony size' or 'problem size', if we assume that the total amount of work scales linearly with the number of workers (c = |A|/D), the number of workers per work available, is constant across different |A|). This holds even if all work only has to be satisfied with a certain probability, and if only close to the total needed work has to be satisfied. This result is perhaps logical because we implemented neither the type of noise that would lead to a benefit of large numbers (where the relative amount of variation in environments experienced decreases with colony size), nor did we implement any economies of scale (there are no broadcast signals; we did not model any communication explicitly, and if the task feedback is thought of as the result of communication, we did not implement any constant costs with colony size). No matter how logical in hindsight however, this was not what we had intuitively expected nor what is sometimes suggested in the literature [22].

If we find empirically that in some systems the level of specialization or the task allocation mechanism implemented change with colony size, some factors not modeled here have to be at play: e.g. fixed costs leading to economies of scale, or non-linear scaling in the effectiveness of communication. For example, it may be that the feedback on whether an individual worker contributes to reducing a deficit depends on social

852

853

854

855

856

857

858

859

860

861

862

863

864

865

866

867

868

interactions that do not scale linearly with colony size. This is plausible of course (and 875 has been demonstrated empirically in some cases, e.g. [50]). Importantly however, it is 876 not obvious that task allocation will perform better at larger colony sizes in all systems. 877 It is worth noting that even if the time to correct allocation did change with colony size, 878 this does not make obvious predictions for the evolution of division of labor (the degree 879 to which workers should be specialized). If task allocation is difficult (takes a long 880 time), it may be that colonies abandon the attempt to dynamically reallocate workers at 881 all, and instead employ specialized, 'preprogrammed' workers in proportions of the 882 average expected demands across tasks. 883

# The amount of work available per worker affects ease of task allocation

We discover that to understand the dependence of task allocation on the number of 886 workers in the colony (|A|), actually what we really need to know is (D), the total 887 amount of work that needs to be done. Note that D refers to currently open tasks, thus 888 is not likely to be 'unlimited'; in social insects, if nothing else, in the short term, 889 available work will be limited by the queen's egg laying rate. This total amount of work 890 available (or necessary) has not been studied explicitly either empirically or in models of 891 social insect task allocation, with few exceptions [28]. So, we do not have a good 892 understanding of how D behaves with |A| intra- or inter-specifically. Here we have 803 simply assumed that |A|/D is constant, but this may well not generally be so: previous 894 studies and conceptual papers have suggested either that larger colonies are relatively 895 less productive, perhaps suggesting that less work is available per worker, or that they 896 are more productive (because they are capitalizing on some economies of scale) it is 897 unclear what the latter would imply for the amount of work per worker available. One 898 interesting new hypothesis here is that the evolution of task allocation across social 899 insects may, in part, be driven by the factors that limit productivity -- e.g. is the colony 900 raising brood at near the queen's maximal egg laying rate? In this case D may increase 901 less than linearly with increasing colony size, and thus task allocation may become 902 easier, even trivial, at higher colony sizes. Our modeling study thus suggests a new 903 hypothesis (one for the purposes of modeling more generally, [82]), by providing the 904 insight that a previously ignored variable impacts the outcome of a well-studied process. 905

#### 'Extra' workers make task allocation faster

Our results also suggest that c (the ratio of |A|/D, or the number of workers divided by 907 the amount of work available) matters, and higher c generally leads to faster allocation 908 time. Thus colonies may benefit from having more workers available than work. This is 909 a novel hypothesis for the existence of 'inactive' workers in social insect colonies and 910 other complex systems [14]. That is, colonies may produce more workers than needed to 911 complete available work simply in order to speed up the process of (re-)allocating 912 workers to work, and thus potentially reducing costs of temporary mismatches of 913 workers with needed work. In other words, inactive, 'surplus' workers in colonies may 914 increase colony flexibility and how close colonies get to an 'optimal' task allocation in 915 environments where task demands often change and workers frequently have to be 916 reallocated. The benefit of extra workers (higher c) does not depend on colony size 917 (|A|), thus we would expect both large and small colonies to have as many extra workers 918 as they can afford. Although the dependence on c varies with task allocation algorithm 919 (it is least strong in option (2)), higher c is always beneficial. 920

Apparently inactive workers are common in social insect colonies. While these 921 workers may be selfish [40,41] or immature [42], or temporarily unemployed due to 922 fluctuating total demand [14], our work here thus implies that they may also be present 923

PLOS

884

885

simply to improve task allocation. That is, colonies may produce extra workers such that some workers are 'unemployed' at all times on average, but so that the time to correct reallocation of workers when demands across tasks change is minimal. This is a novel hypothesis for the function of inactive workers in complex systems more generally.

#### The number of task types matters

It is intuitive that task allocation may be more difficult if workers have to choose among 929 many different possible tasks to perform (high |T|). However, we show that the effects 930 of |T| are mixed and depend both on the information available to workers and the 931 actual combination of parameter values, particularly on the size of |T|. Specifically, in 932 the parameter ranges we explored numerically (based on empirically plausible parameter 933 values), the time to correctly allocated workers to tasks depends linearly on the number 934 of task types for options (1) and (2), and not at all for option (3). In option (1), where 935 workers effectively have to 'test' tasks sequentially to discover where work is needed 936 (because they only find out through the success mechanism), |T| always enters into 937 performance as a linear factor. This would be the case for example if workers have to 938 walk to different locations in the nest, or if they have to invest some other significant 939 effort into assessing demand for each specific task. In options (2) and (3), workers can 940 effectively assess demand across all tasks in parallel; this may be the case if task 941 demand is communicated through global stimuli, such as temperature or volatile 942 pheromone levels. In such a case, the number of task types matters only if it is lower 943 than the second term in the minimum function (for example, see Corollary C.6 in S2 944 Text). Thus, whether the number of task types affects task allocation performance 945 depends on the context of other parameter values. 946

What do we know about |T| empirically? Several authors have attempted to quantify this number (see Table 2). However, empirically studies have often acknowledged that what are 'separate tasks' and what are just elements of the same task is difficult to define, and that this may lead to number estimates that are quite subjective. In our model, workers within the same task are assumed to immediately (within one round) correctly distribute the work among themselves, whereas the demand for work in a different task is only assessed via the *choice* and *success* feedback mechanisms as defined above. So, one may think, for example, of each item to be worked on as a 'task' (e.g. each larva that needs tending and feeding, or each breach in the wall), in which case |T| might be a quite large number; or one may think that all larvae are part of the single task of brood care, and all places in the wall that need repair are part of the task of nest building, in which case |T| is likely to be a small number (perhaps below 20, or even below 10). Which is the more appropriate way of counting tasks, in the context of our model, depends on whether, for example, each ant worker dedicated to brood care will be able to immediately assess which particular larvae need care, not loosing time in arriving at a consensus with other brood care workers about who is tending to which exact brood item, or alternatively where each brood care worker can jointly and concurrently contribute to the work in that task without internal coordination required at the timescale of overall task allocation.

#### Assumptions made in our approach

The results presented in this paper were derived using methods from the field of theoretical distributed computing. The problems considered in this field are very similar to those that are relevant in the biological study of distributed systems – and almost all biological units, from cells with their metabolic and molecular networks to ecosystems, are really distributed systems. We believe that the techniques and results from theoretical distributed computing may lead to many novel approaches and insights in

PLOS

928

947

948

949

950

951

952

953

954

955

956

957

958

959

960

961

962

963

964

965

biology in the future, and interdisciplinary work in this area is 973 increasing [29, 46, 47, 83, 84]. In particular, research in theoretical distributed computing 974 has examined the limitations of distributed algorithms, for example in such contexts as 975 distributed task allocation as we study here. 976

Generally, this field analytically derives results about models that often assume stochastic individual behavior, and in particular quantifies system-level performance given specific individual algorithms (i.e. behavioral rules). Here, we have analyzed how our model, a generalized form of an insect-inspired task allocation algorithm, performs in terms of how long it takes to correctly allocate workers to different task types which need work. We have allowed for approximate solutions, by looking at the time to allocating workers correctly only with a certain minimum probability  $(1 - \delta)$ , and only to within  $\epsilon$  of the best allocation. We have also allowed for errors in the demand assessment function, e.g. if workers make mistakes when assessing whether they are needed in a particular task. We have made the assumption that the relevant measure of how well a task allocation mechanism performs is related to the time to correct allocation, that is the time until workers are matched to tasks that need work. Other performance measures are possible, such as assessing how quickly the task-worker match approaches an ideal allocation, or how good the match can ever get; or entirely different parameters may be under selection, such as how much workers have to switch tasks [38], how well workers prioritize more important tasks over unimportant ones, or how much information workers need to collect in order to allocate correctly.

Second, our approach makes another assumption about how the performance of a task allocation mechanism is measured: we only quantify this performance for the worst-case inputs, namely the configuration of task deficits (i.e. the distribution of unfulfilled demands across tasks) that leads to the longest possible time to re-allocate. Thus, while stochasticity in worker decisions and information is taken into account and expected results derived, we do not make any assumptions about what configuration of task deficits workers are likely to encounter. If this was known, more precise 1000 expectations for performance could be derived. In distributed computing theory, there 1001 is a general assumption that such a worst-case scenario (generally called the upper 1002 bound of performance) is a good measure of algorithm performance; however it does not 1003 need to be close to the overall expected case. 1004

Finally, we make the crucial assumption that all workers are identical in preferences 1005 and skills. Thus, our model represents a system of flexible, homogeneous workers. If 1006 workers randomly differed in their ability to perform different tasks, matching them 1007 optimally to tasks with changing demands for work becomes an extremely hard 1008 problem [12]. On the other hand, worker skills in a task may be linked to their 1009 preferences for that task, either because these are innately linked, or because workers 1010 learn to prefer the tasks they do well, or learn to do the tasks well they prefer [85]. How 1011 much the dynamic (re-)allocation of workers in response to changing demands in 1012 different tasks is affected by such worker heterogeneity remains to be analyzed. 1013

#### Conclusion

We mathematically derived how the time it takes to correctly allocate workers to work 1015 is affected by several factors, such as colony size and the number of 'extra' workers. We 1016 make only minimal assumptions about the algorithm used, and we explore several ways 1017 of measuring performance of task allocation, which means these relationships should 1018 hold fairly generally. Our model brings several insights. First, costs or benefits of group 1019 size do not arise in task allocation 'automatically', that is from minimal assumptions 1020 such as ours. Second, such a result clarifies our thinking and suggests how, for example, 1021 colony-size-dependencies may occur (e.g. if information on work deficits is 1022 communicated faster in larger colonies), thus guiding future research as well as 1023

1014

977

978

979

980

981

982

983

984

985

986

987

988

989

990

991

992

993

994

995

996

997

998

identifying which variables qualitatively affect system behavior. One such variable is the 1024 amount of work available; this has not been considered in previous empirical studies but 1025 appears to be a crucial factor affecting the evolution of task allocation algorithms [28]. 1026 Third, the model results have generated a novel hypothesis for the existence of inactive 1027 workers in social insect colonies [14], namely that they may serve to speed up the task 1028 allocation process. It now can be studied whether this may be the reason for their 1029 evolution. All of these results are derived analytically, using approaches from theoretical 1030 distributed computing, without the need for parameter estimation such as would be 1031 necessary in a simulation study. In summary, our 'proof of concept' model sensu [63] 1032 helps to identify how limitations and processes at the individual level can affect group 1033 level processes in a distributed system. 1034

## Acknowledgments

We wish to thank the organizers of the annual 'Biological Distributed Algorithms' 1036 workshop for creating a venue to encourage biology-computer science interaction and 1037 collaboration. 1038

# References

- Becker GS, Murphy KM. The division of labor, coordination costs, and knowledge. In: Human Capital: A Theoretical and Empirical Analysis with Special Reference to Education (3rd Edition). The University of Chicago Press; 1994. p. 299–322.
- Gerkey BP, Matarić MJ. A Formal Analysis and Taxonomy of Task Allocation in Multi-Robot Systems. The International Journal of Robotics Research. 2004;23(9):939–954.
- Lindbeck A, Snower DJ. Multitask Learning and the Reorganization of Work: From Tayloristic to Holistic Organization. Journal of Labor Economics. 2000;18(3):353–376.
- 4. Maynard Smith J, Szathmáry E. The Major Transitions in Evolution. Oxford University Press; 1995.
- 5. Older MT, Waterson PE, Clegg CW. A critical assessment of task allocation methods and their applicability. Ergonomics. 1997;40(2):151–171.
- 6. Smith A. The Wealth of Nations. W. Strahan and T. Cadell, London; 1776.
- Herron MD, Hackett JD, Aylward FO, Michod RE. Triassic origin and early radiation of multicellular volvocine algae. Proceedings of the National Academy of Sciences. 2009;106(9):3254–3258.
- 8. Artavanis-Tsakonas S, Rand MD, Lake RJ. Notch Signaling: Cell Fate Control and Signal Integration in Development. Science. 1999;284(5415):770–776.
- 9. Dean J, Ghemawat S. MapReduce: simplified data processing on large clusters. Communications of the ACM. 2008;51(1):107–113.
- Hunt P, Konar M, Junqueira FP, Reed B. ZooKeeper: Wait-free Coordination for Internet-scale Systems. In: USENIX Annual Technical Conference. vol. 8; 2010. p. 9.

- 11. Georgiou C. Do-All Computing in Distributed Systems: Cooperation in the Presence of Adversity. Springer Science & Business Media; 2007.
- Cornejo A, Dornhaus AR, Lynch NA, Nagpal R. Task Allocation in Ant Colonies. In: Proceedings of the 2014 Symposium on Distributed Computing (DISC); 2014. p. 46–60.
- 13. Lynch NA. Distributed algorithms. Morgan Kaufmann; 1996.
- 14. Charbonneau D, Dornhaus A. When doing nothing is something. How task allocation mechanisms compromise between flexibility, efficiency, and inactive agents. Journal of Bioeconomics. 2015;17:217–242.
- 15. Charbonneau D, Dornhaus A. Workers 'specialized' on inactivity: behavioral consistency of inactive workers and their role in task allocation. Behavioral Ecology and Sociobiology. 2015;published online.
- Johnson BR. Global information sampling in the honey bee. Naturwissenschaften. 2008;95(6):523 – 530.
- 17. Bonner JT. Why size matters: from bacteria to blue whales. Princeton University Press; 2011.
- 18. Herron MD, Michod RE. Evolution of Complexity in the Volvocine Algae: Transitions in Individuality through Darwin's Eye. Evolution. 2008;62(2):436–451.
- Hall RH, Johnson NJ, Haas JE. Organizational Size, Complexity, and Formalization. American Sociological Review. 1967;32(6):903–912.
- Moch MK, Morse EV. Size, Centralization and Organizational Adoption of Innovations. American Sociological Review. 1977;42(5):716–725.
- 21. Easley D, Kleinberg J. Networks, crowds, and markets: Reasoning about a highly connected world. Cambridge University Press; 2010.
- 22. Dornhaus A, Powell S, Bengston S. Group size and its effects on collective organization. Annual review of entomology. 2012;57:123–141.
- Dornhaus A, Klugl F, Oechslein C, Puppe F, Chittka L. Benefits of recruitment in honey bees: effects of ecology and colony size in an individual-based model. Behavioral Ecology. 2006;17(3):336–344.
- Garicano L, Hubbard TN. Specialization, Firms, and Markets: The Division of Labor within and between Law Firms. Journal of Law, Economics, and Organization. 2009;25(2):339–371.
- Beckers R, Goss S, Deneubourg JL, Pasteels JM. Colony size, communication and ant foraging strategy. Psyche. 1989;96:239–256.
- Cormen TH, Leiserson CE, Rivest RL, Stein C. Introduction to Algorithms. MIT Press; 2009.
- 27. Attiya H, Welch J. Distributed computing: fundamentals, simulations, and advanced topics. vol. 19. John Wiley & Sons; 2004.
- Dornhaus A. Finding optimal collective strategies using individual-based simulations: colony organization in social insects. Mathematical and Computer Modelling of Dynamical Systems. 2012;18(1):25–37.

- 29. Dornhaus A, Korman A, Feinerman O. Distributed problem solving applying computer science to learn about a biological complex system: social insect colonies. in prep;.
- 30. Wilson EO. The insect societies. Cambridge: Belknap Press of Harvard University Press; 1971.
- Seeley TD. Honeybee ecology. A study of adaptation in social life. Princeton University Press; 1985.
- 32. Gordon DM. The organization of work in social insect colonies. Nature. 1996;380(14 March):121–124.
- Jeanne RL. Division of labor is not a process or a misleading concept. Behavioral Ecology and Sociobiology. 2016;70(7):1109–1112.
- Duarte A, Weissing FJ, Pen I, Keller L. An evolutionary perspective on self-organized division of labor in social insects. Annual Review of Ecology, Evolution, and Systematics. 2011;42:91–110.
- 35. Beshers SN, Fewell JH. Models of division of labor in social insects. Annual review of entomology. 2001;46(1):413–440.
- Pinter-Wollman N, Hubler J, Holley JA, Franks NR, Dornhaus A. How is activity distributed among and within tasks in Temnothorax ants? Behavioral Ecology and Sociobiology. 2012;66(10):1407–1420.
- Gordon DM. Dynamics of task switching in harvester ants. Animal Behaviour. 1989;38(2):194–204.
- Leighton GM, Charbonneau D, Dornhaus A. Task switching is associated with temporal delays in Temnothorax rugatulus ants. Behavioral Ecology. 2016;28(1):319–327.
- 39. Charbonneau D, Dornhaus A. Who needs 'lazy' workers? Inactive workers act as a 'reserve' labor force, but inactive workers are not replaced when they are removed. submitted;.
- 40. Charbonneau D, Hillis NB, Dornhaus A. Are 'lazy' ants selfish? Testing whether highly inactive workers invest more in their own reproduction than highly active workers. submitted;.
- Jandt JM, Dornhaus A. Competition and cooperation: bumblebee spatial organization and division of labor may affect worker reproduction late in life. Behavioral Ecology and Sociobiology. 2011;65:2341–2349.
- 42. Charbonneau D, Nguyen H, Shin MC, Dornhaus A. Who are the 'lazy' ants? Concurrently testing multiple hypotheses for the function of inactivity in social insects. Scientific Reports. submitted;.
- Armbrust M, Fox A, Griffith R, Joseph AD, Katz RH, Konwinski A, et al. Above the clouds: A Berkeley view of cloud computing. EECS Department, University of California, Berkeley; 2009. UCB/EECS-2009-28.
- 44. Varia J. Architecting for the cloud: Best practices. Amazon Web Services. 2010;.
- Afek Y, Alon N, Barad O, Hornstein E, Barkai N, Bar-Joseph Z. A biological solution to a fundamental distributed computing problem. Science. 2011;331(6014):183–185.

- Feinerman O, Korman A. Memory lower bounds for randomized collaborative search and implications for biology. In: International Symposium on Distributed Computing. Springer; 2012. p. 61–75.
- Feinerman O, Korman A. Theoretical distributed computing meets biology: A review. In: International Conference on Distributed Computing and Internet Technology. Springer; 2013. p. 1–18.
- Feinerman O, Korman A, Lotker Z, Sereni JS. Collaborative search on the plane without communication. In: Proceedings of the 2012 ACM Symposium on Principles of Distributed Computing. ACM; 2012. p. 77–86.
- Lenzen C, Lynch N, Newport C, Radeva T. Trade-offs between selection complexity and performance when searching the plane without communication. In: Proceedings of the ACM Symposium on Principles of Distributed Computing. ACM; 2014. p. 252–261.
- Pacala SW, Gordon DM, Godfray HCJ. Effects of social group size on information transfer and task allocation. Evolutionary Ecology. 1996;10:127–165.
- 51. Wilson EO. Behavioral discretization and the number of castes in an ant species. Behavioral Ecology and Sociobiology. 1976;1(2):141–154.
- Herbers JM. Social organisation in Leptothorax ants: within-and between-species patterns. Psyche. 1983;90(4):361–386.
- Wilson EO. Caste and division of labor in leaf-cutter ants (Hymenoptera: Formicidae: Atta) I. The overall pattern in A.sexdens. Behavioral Ecology and Sociobiology. 1980;7:143–156.
- 54. Donaldson-Matasci MC, DeGrandi-Hoffman G, Dornhaus A. Bigger is better: honeybee colonies as distributed information-gathering systems. Animal Behaviour. 2013;85(3):585–592.
- 55. Dornhaus A, Holley JA, Pook VG, Worswick G, Franks NR. Why do not all workers work? Colony size and workload during emigrations in the ant Temnothorax albipennis. Behavioral Ecology and Sociobiology. 2008;63(1):43–51.
- Dornhaus A. Specialization does not predict individual efficiency in an ant. PLoS Biology. 2008;6(11):e285.
- 57. Jandt JM, Dornhaus A. Bumblebee response thresholds and body size: does worker diversity increase colony performance? Animal Behaviour. 2014;87:97–106.
- Jandt JM, Huang E, Dornhaus A. Weak specialization of workers inside a bumble bee (Bombus impatiens) nest. Behavioral Ecology and Sociobiology. 2009;63(12):1829–1836.
- Retana J, Cerdá X. Social Organization of Cataglyphis cursor Ant Colonies (Hymenoptera, Formicidae): Inter-, and Intraspecific Comparisons. Ethology. 1990;84(2):105–122.
- Schmid-Hempel P. Reproductive Competition and the Evolution of Work Load in Social Insects. The American Naturalist. 1990;135:501–526.
- Charbonneau D, Hillis N, Dornhaus A. 'Lazy' in nature: ant colony time budgets show high 'inactivity' in the field as well as in the lab. Insectes Sociaux. 2015;62(1):31–35.

- 62. Gunawardena J. Models in biology: 'accurate descriptions of our pathetic thinking'. BMC Biology. 2014;12(1):1–11.
- 63. Servedio MR, Brandvain Y, Dhole S, Fitzpatrick CL, Goldberg EE, Stern CA, et al. Not Just a Theory The Utility of Mathematical Models in Evolutionary Biology. PLoS Biol. 2014;12(12):e1002017.
- Ravary F, Lecoutey E, Kaminski G, Châline N, Jaisson P. Individual experience alone can generate lasting division of labor in ants. Current Biology. 2007;17(15):1308–1312.
- 65. Hölldobler B, Wilson EO. The ants. Harvard University Press; 1990.
- Schmid-Hempel P. Worker castes and adaptive demography. Journal of Evolutionary Biology. 1992;5(1):1–12.
- Calabi P. Behavioral flexibility in Hymenoptera: a re-examination of the concept of caste. Advances in Myrmecology. 1988; p. 237–258.
- Robinson GE. Regulation of division of labor in insect societies. Annual Review of Entomology. 1992;37(1):637–665.
- Bonabeau E, Theraulaz G, Deneubourg JL. Fixed response thresholds and the regulation of division of labor in insect societies. Bulletin of Mathematical Biology. 1998;60(4):753–807.
- Franks NR, Tofts C. Foraging for work: how tasks allocate workers. Animal Behaviour. 1994;48(2):470–472.
- 71. Tofts C. Algorithms for task allocation in ants. (A study of temporal polyethism: theory). Bulletin of Mathematical Biology. 1993;55(5):891–918.
- Pereira HM, Gordon DM. A trade-off in task allocation between sensitivity to the environment and response time. Journal of Theoretical Biology. 2001;208(2):165–184.
- Campos M, Bonabeau E, Theraulaz G, Deneubourg JL. Dynamic scheduling and division of labor in social insects. Adaptive Behavior. 2000;8(2):83–95.
- 74. Price R, Tino P. Evaluation of adaptive nature inspired task allocation against alternate decentralised multiagent strategies. In: Proceedings of the International Conference on Parallel Problem Solving from Nature. Springer; 2004. p. 982–990.
- Duarte A, Pen I, Keller L, Weissing FJ. Evolution of self-organized division of labor in a response threshold model. Behavioral Ecology and Sociobiology. 2012;66(6):947–957.
- Bourke A. Colony size, social complexity and reproductive conflict in social insects. Journal of Evolutionary Biology. 1999;12(2):245–257.
- Ratnieks F, Anderson C. Task partitioning in insect societies. Insectes Sociaux. 1999;46(2):95–108.
- Hamann H, Karsai I, Schmickl T. Time delay implies cost on task switching: A model to investigate the efficiency of task partitioning. Bulletin of Mathematical Biology. 2013;75(7):1181–1206.

- Karsai I, Phillips MD. Regulation of task differentiation in wasp societies: A bottom-up model of the "common stomach". Journal of Theoretical Biology. 2012;294:98–113.
- Karsai I, Runciman A. The 'common stomach' as information source for the regulation of construction behaviour of the swarm. Mathematical and Computer Modelling of Dynamical Systems. 2012;18(1):13–24.
- Groh C, Tautz J, Rossler W. Synaptic organization in the adult honey bee brain is influenced by brood-temperature control during pupal development. PNAS. 2004;101(12):4268–4273.
- Franks NR, Dornhaus A, Marshall JAR, Dechaume-Mincharmont FX. The dawn of a golden age in mathematical insect sociobiology. Organization of Insect Societies: From Genome to Sociocomplexity. 2009; p. 437–459.
- Feinerman O, Haeupler B, Korman A. Breathe before speaking: efficient information dissemination despite noisy, limited and anonymous communication. In: Proceedings of the 2014 ACM Symposium on Principles of Distributed Computing. ACM; 2014. p. 114–123.
- Gelblum A, Pinkoviezky I, Fonio E, Ghosh A, Gov N, Feinerman O. Ant groups optimally amplify the effect of transiently informed individuals. Nature Communications. 2015;6.
- 85. Dornhaus A. Does learning increase or decrease behavioral variation? The role of experience in division of labor of the ant Temnothorax albipennis. in prep;.

## Supporting Information

**S1 Text.** Formal definitions We provide mathematically rigorous definitions of our task allocation model.

**S2 Text. Full proofs** We provide full formal proofs of the mathematical statements in the Results section.